

|  |
| --- |
|  |

**REQUEST FOR PROPOSAL**

|  |  |
| --- | --- |
| NAME & ADDRESS OF FIRM: UNDP\GEF Project on energy effective design and construction | DATE: 22.06.2015 (28.05.2015) |
| REFERENCE: |

Dear Sir / Madam:

We kindly request you to submit your Proposal for **development of chapter “Energy” to KazGBC standard on green construction for residential multiapartment buildings**.

Please be guided by the form attached hereto as Annex 2, in preparing your Proposal.

Proposals may be submitted not later 17:00 hours 3 July 2015 via courier mail to the address below:

**United Nations Development Programme**

***26 Bokeikhan str., 010000, Astana***

***Yerzhan Temirgaliyev, Procurement Associate***

***The proposal should be submitted in sealed envelope with the title of the tender on it***

Your Proposal must be expressed in the Russian or English languages, and valid for a minimum period of 120 days.

In the course of preparing your Proposal, it shall remain your responsibility to ensure that it reaches the address above on or before the deadline. Proposals that are received by UNDP after the deadline indicated above, for whatever reason, shall not be considered for evaluation.

Services proposed shall be reviewed and evaluated based on completeness and compliance of the Proposal and responsiveness with the requirements of the RFP and all other annexes providing details of UNDP requirements.

The Proposal that complies with all of the requirements, meets all the evaluation criteria and offers the best value for money shall be selected and awarded the contract. Any offer that does not meet the requirements shall be rejected.

Any discrepancy between the unit price and the total price shall be re-computed by UNDP, and the unit price shall prevail and the total price shall be corrected. If the Service Provider does not accept the final price based on UNDP’s re-computation and correction of errors, its Proposal will be rejected.

No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted by UNDP after it has received the Proposal. At the time of Award of Contract or Purchase Order, UNDP reserves the right to vary (increase or decrease) the quantity of services and/or goods, by up to a maximum twenty five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.

Any Contract or Purchase Order that will be issued as a result of this RFP shall be subject to the General Terms and Conditions attached hereto. The mere act of submission of a Proposal implies that the Service Provider accepts without question the General Terms and Conditions of UNDP, herein attached as Annex 3.

Please be advised that UNDP is not bound to accept any Proposal, nor award a contract or Purchase Order, nor be responsible for any costs associated with a Service Providers preparation and submission of a Proposal, regardless of the outcome or the manner of conducting the selection process.

UNDP’s vendor protest procedure is intended to afford an opportunity to appeal for persons or firms not awarded a Purchase Order or Contract in a competitive procurement process. **In the event that** you believe you have not been fairly treated, you can find detailed information about vendor protest procedures in the following link: <http://www.undp.org/procurement/protest.shtml>.

**UNDP encourages every prospective Service Provider to** prevent and avoid conflicts of interest, by disclosing to UNDP if you, or any of your affiliates or personnel, were involved in the preparation of the requirements, design, cost estimates, and other information used in this RFP.

UNDP implements a zero tolerance on fraud and other proscribed practices, and is committed to preventing, identifying and addressing all such acts and practices against UNDP, as well as third parties involved in UNDP activities. UNDP expects its Service Providers to adhere to the UN Supplier Code of Conduct found in this link : <http://www.un.org/depts/ptd/pdf/conduct_english.pdf>

**Thank you and we look forward to receiving your Proposal.**

**Sincerely yours,**

Alexandr Belyi

Project Manager

22 June 2015

**Annex 1**

**Description of Requirements**

|  |  |
| --- | --- |
| Context of the Requirement | UNDP\GEF Project on energy effective design and construction of residential buildings |
| Implementing Partner of UNDP | Ministry of National Economy of the Republic of Kazakhstan |
| Brief Description of the Required Services | Development of Chapter “Energy” to KazGBC standard on green construction for residential multiapartment buildings |
| List and Description of Expected Outputs to be Delivered | * Аnalysis of EE requirements, approved by building norms and standards effective in the Republic of Kazakhstan for residential multiapartment buildings. Identification of minimal EE level of such buildings which is necessary for certification under KazGBC standard on green construction; * Analysis of respective chapters of international green construction standards (LEED and BREEAM as minimum). Sampling the solutions and technologies applicable for Kazakhstan conditions; * Аnalysis of proposed solutions and technologies for enforcement\application costs; * Development of Chapter «Energy» of the standard with focus at solutions and technologies applicable in Kazakhstan conditions and having the less enforcement\application price\cost |
| Person to Supervise the Work/Performance of the Service Provider | Project Manager |
| Frequency of Reporting | According to received deliverables for each stage of works as specified in the terms of reference |
| Progress Reporting Requirements | Reports and any information (draft and final) should be submitted in Russian or English languages in MS Word (2007 or later), presentation made in Power Point (2007 or later). Used font Arial, size 12 |
| Location of work | Home-based |
| Expected duration of work | 3 months after Contract singing |
| Target start date | End of July 2015 |
| Latest completion date | Mid of November 2015 |
| Travels Expected | One Mission to Astana (Kazakhstan) is planned, with duration no longer than 3 days. All travel costs (air tickets, accommodation, catering, transfer) should be included into total price by submission of financial proposal |
| Facilities to be Provided by UNDP (i.e., must be excluded from Price Proposal) | Not provided |
| Implementation Schedule indicating breakdown and timing of activities/sub-activities | Required |
| Names and curriculum vitae of individuals who will be involved in completing the services | Required |
| Currency of Proposal | United States Dollars  *Reference date for determining UN Operational Exchange Rate: 3 July 2015* |
| Value Added Tax on Price Proposal | Must be exclusive of VAT and other applicable indirect taxes  Note: the UNDP is exempt from all direct taxes |
| Validity Period of Proposals *(Counting for the last day of submission of quotes)* | 120 days  In exceptional circumstances, UNDP may request the Proposer to extend the validity of the Proposal beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever on the Proposal. |
| Partial Quotes | Not permitted |
| Payment Terms | |  |  |  |  | | --- | --- | --- | --- | | Outputs | Percentage | Timing | Condition for Payment Release | | Аnalysis of EE requirements, approved by building norms and standards effective in the Republic of Kazakhstan for residential multiapartment buildings. Identification of minimal EE level of such buildings which is necessary for certification under standard. Analysis of international green construction standards (LEED and BREEAM as minimum). Sampling the solutions and technologies applicable for Kazakhstan conditions | 50% | 1 month after contract singing | Within thirty (30) days from the date of meeting the following conditions:   1. UNDP’s written acceptance (i.e., not mere receipt) of the quality of the outputs; and 2. Receipt of invoice from the Service Provider. | | Аnalysis of proposed solutions and technologies for enforcement\application costs |  | 2 months after Contract singing | | Development of Chapter «Energy» of the standard with focus at solutions and technologies applicable in Kazakhstan conditions and having the less enforcement\application price\cost |  | 3 months after Contract singing | |
| Person(s) to review/inspect/ approve outputs/completed services and authorize the disbursement of payment | Project Manager |
| Type of Contract to be Signed | Contract for Professional Services |
| Criteria for Contract Award | * Highest Combined Score (based on the 70% technical offer and 30% price weight distribution) * Full acceptance of the UNDP Contract General Terms and Conditions (GTC). This is a mandatory criteria and cannot be deleted regardless of the nature of services required. Non acceptance of the GTC may be grounds for the rejection of the Proposal. |
| Criteria for the Assessment of Proposal | Technical Proposal (70%)   1. Expertise of the Firm [550]:   1.1 Reputation and reliability of the Offeror [250]:  a. as minimum 3 years of successful experience in conducting the technological, energy, and environmental inspections, research and development works in technologies reducing environmental impact, and further satisfactorily operating these technologies [50],  b. as minimum 3 years of experience in developing the chapters of design documents such as Environmental Protection Measures List, Environmental Protection, and Environmental Impact Assessment. Providing methodological support and support during state environmental expertise[50],  c. as minimum 3 years of experience in designing the facilities with “green standard” components - or technical solutions, enabling to achieve considerable energy-efficiency results and significantly reduce operating costs [50],  d. participation in development of regulatory and standardization documents on energy efficiency and environmental friendliness of buildings [50],  e. civil legal capacity of the Company to conclude business contracts confirmed  with respective documents (certificates of incorporation/re-incorporation, and  founding documents) [50];  1.2 Financial stability confirmed with respective documents (statements on debt clearance in any tax, bank and other authorized bodies, balance sheet for 2014) [100];  1.3 Quality assurance methods [150]:  a. valid quality certificates, patents, etc. (if available), reference letters [50],  b. availability of as minimum one fully implemented project certified against LEED, BREEAM or DGNB systems [50],  c. participation in projects on certification of green buildings constructed within the Republic of Kazakhstan [50];   1. Methodology, Its Appropriateness to the Condition and Timeliness of the Implementation Plan [250%]:   2.1 To what degree does the Proposer understand the task [85],  2.2 Have the important aspects of the task been addressed in sufficient detail [85],  2.2 Is the scope of services to be provided well defined and does it correspond to the TOR? (Services Performance Schedule) [80];   1. Management Structure and Qualification of Key Personnel [200%]:]   3.1 Team Leader [100]:  - University technical\engineering degree [30],  - Experience (as minimum 3 years) and qualification on energy and environmental modeling, aerodynamics tasks in buildings, including the experience in working with software: Star CCM, Fluent, eQUEST, and IES [30],  - Accreditation under LEED AP/ Breeam assesor/Breeam AP [40]  3.2 1st accredited expert [50]:  - University technical\engineering degree [10],  - Experience (as minimum 3 years) and qualification on energy and environmental modeling, aerodynamics tasks in buildings, including the experience in working with software: Star CCM, Fluent, eQUEST, and IES [20],  - Accreditation under LEED AP/ Breeam assesor/Breeam AP [20]  3.3 2d accredited expert [50]:  - University technical\engineering degree [10],  - Experience (as minimum 3 years) and qualification on energy and environmental modeling, aerodynamics tasks in buildings, including the experience in working with software: Star CCM, Fluent, eQUEST, and IES [20],  - Accreditation under LEED AP/ Breeam assesor/Breeam AP [20]  Financial Proposal (30%)  To be computed as a ratio of the Proposal’s offer to the lowest price among the proposals received by UNDP |
| UNDP will award the contract to: | One and only one Service Provider |
| Annexes to this RFP | х Form for Submission of Proposal (Annex 2)  х General Terms and Conditions / Special Conditions (Annex 3)[[1]](#footnote-1)  х Detailed TOR (Annex 4)  х Template of standard contract for professional services (Annex 5) |
| Contact Person for Inquiries  (Written inquiries only)[[2]](#footnote-2) | Yerzhan Temirgaliyev  *Procurement Associate*  [*yerzhan.temirgaliyev@undp.org*](mailto:yerzhan.temirgaliyev@undp.org)  Any delay in UNDP’s response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers |
| Other Information | **Qualifications and experience:**  1) As minimum 3 years of experience in conducting the technological, energy, and environmental inspections, research and development works in technologies reducing environmental impact, successful experience in introducing and further operating these technologies;  2) As minimum 3 years of experience in developing and providing methodological support in development of chapters of design documents such as Environmental Protection Measures List, Environmental Protection, and Environmental Impact Assessment. Experience in supporting the design documents when conducting state environmental expertise;  3) As minimum 3 years of experience in designing the facilities for which “green standard” components were used or technical solutions, enabling to achieve considerable energy-efficiency results and significantly reduce operating costs, were implemented;  4) Availability of as minimum one fully implemented project certified against LEED, BREEAM or DGNB systems;  5) Participation in development of regulatory and standardization documents on energy efficiency and environmental friendliness of buildings;  6) Participation in projects on certification of green buildings constructed within the Republic of Kazakhstan;  7) Availability of at least 2 staff members accredited under LEED AP/ Breeam assesor/Breeam AP with 3 years of work experience as minimum;  8) As minimum 3 years of work experience by personnel (to be involved into service performance) on energy and environmental modeling, aerodynamics tasks in buildings, including the experience in working with software: Star CCM, Fluent, eQUEST, and IES;  9) The company should have civil legal capacity to conclude business contracts (certificates of incorporation/re-incorporation, and founding documents);  10) The company should be solvent, should not be under dissolution, should be free of any distress, and its business operations should not be suspended in accordance with legislation (statements confirming debt clearance in any tax, bank and other authorized bodies, balance sheet for 2014);  11) Cost estimates (according to the attached template);  12) Works performance schedule;  13) As minimum 3 recommendation letters from previous customers/clients for similar services |

**Annex 2**

**FORM FOR SUBMITTING SERVICE PROVIDER’S PROPOSAL[[3]](#footnote-3)**

***(This Form must be submitted only using the Service Provider’s Official Letterhead/Stationery[[4]](#footnote-4))***

[insert: *Location]*.

[insert: *Date]*

To: Mr. Yerzhan Temirgaliyev,

Procurement Associate

Dear Sir/Madam:

We, the undersigned, hereby offer to render the following services to UNDP in conformity with the requirements defined in the RFP dated on 22.06.2015, and all of its attachments, as well as the provisions of the UNDP General Contract Terms and Conditions :

1. **Qualifications of the Service Provider**

*The Service Provider must describe and explain how and why they are the best entity that can deliver the requirements of UNDP by indicating the following:*

1. *Profile – describing the nature of business, field of expertise, licenses, certifications, accreditations;*
2. *Business Licenses – Registration Papers, Tax Payment Certification, etc.*
3. *Balance sheet to indicate Its financial stability, liquidity, credit standing, and market reputation, etc. for 2014 ;*
4. *Track Record – list of clients for similar services as those required by UNDP, indicating description of contract scope, contract duration, contract value, contact references;*
5. *Certificates and Accreditation – including Quality Certificates, Patent Registrations, Environmental Sustainability Certificates, etc.*
6. *Written Self-Declaration that the company is not in the UN Security Council 1267/1989 List, UN Procurement Division List or Other UN Ineligibility List.*
7. **Proposed Methodology for the Completion of Services**

|  |
| --- |
| *The Service Provider must provide a detailed description of the essential performance characteristics, reporting conditions and quality assurance mechanisms that will be put in place, while demonstrating that the proposed methodology will be appropriate to the local conditions and context of the work* |

1. **Qualifications of Key Personnel**

*The Service Provider must provide:*

1. *Names and qualifications of the key personnel that will perform the services;*
2. *CVs demonstrating qualifications must be submitted; and*
3. *Written confirmation from each personnel that they are available for the entire duration of the contract*
4. **Cost Breakdown per Deliverable\***

|  |  |  |  |
| --- | --- | --- | --- |
|  | **Deliverables** | **Percentage of Total Price *(Weight for payment)*** | **Price** |
| 1 | Аnalysis of EE requirements, approved by building norms and standards effective in the Republic of Kazakhstan for residential multiapartment buildings. Identification of minimal EE level of such buildings which is necessary for certification under standard | 50% |  |
| 2 | Analysis of international green construction standards (LEED and BREEAM as minimum). Sampling the solutions and technologies applicable for Kazakhstan conditions |  |
| 3 | Аnalysis of proposed solutions and technologies for enforcement\application costs | 25% |  |
| 4 | Development of Chapter «Energy» of the standard with focus at solutions and technologies applicable in Kazakhstan conditions and having the less enforcement\application price\cost | 25% |  |
|  | Total | 100% |  |

*\*This shall be the basis of the payment tranches*

1. **Cost Breakdown by Cost Component *[This is only an Example]*:**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Description of Activity** | **Remuneration per Unit of Time** | **Total Period of Engagement** | **No. of Personnel** | **Total Rate** |
| **I. Personnel Services** |  |  |  |  |
| Аnalysis of EE requirements, approved by building norms and standards effective in the Republic of Kazakhstan for residential multiapartment buildings. Identification of minimal EE level of such buildings which is necessary for certification under standard |  |  |  |  |
| Analysis of international green construction standards (LEED and BREEAM as minimum). Sampling the solutions and technologies applicable for Kazakhstan conditions |  |  |  |  |
| Аnalysis of proposed solutions and technologies for enforcement\application costs |  |  |  |  |
| Development of Chapter «Energy» of the standard with focus at solutions and technologies applicable in Kazakhstan conditions and having the less enforcement\application price\cost |  |  |  |  |
| **II. Travel costs** |  |  |  |  |
| 1. Air ticket: home- Astana – home |  |  |  |  |
| 1. Daily Allowance, no longer than 3 days |  |  |  |  |
| 1. Accommodation ,no longer than 3 days |  |  |  |  |
| 1. Transfer (from airport to destination and contrary) |  |  |  |  |

Alexandr Belyi

Project Manager

22.06.2015

**Annex 3**

## General Terms and Conditions for Services

**1.0 LEGAL STATUS**:

The Contractor shall be considered as having the legal status of an independent contractor vis-à-vis the United Nations Development Programme (UNDP). The Contractor’s personnel and sub-contractors shall not be considered in any respect as being the employees or agents of UNDP or the United Nations.

**2.0 SOURCE OF INSTRUCTIONS**:

The Contractor shall neither seek nor accept instructions from any authority external to UNDP in connection with the performance of its services under this Contract. The Contractor shall refrain from any action that may adversely affect UNDP or the United Nations and shall fulfill its commitments with the fullest regard to the interests of UNDP.

**3.0 CONTRACTOR'S RESPONSIBILITY FOR EMPLOYEES:**

The Contractor shall be responsible for the professional and technical competence of its employees and will select, for work under this Contract, reliable individuals who will perform effectively in the implementation of this Contract, respect the local customs, and conform to a high standard of moral and ethical conduct.

**4.0 ASSIGNMENT:**

The Contractor shall not assign, transfer, pledge or make other disposition of this Contract or any part thereof, or any of the Contractor's rights, claims or obligations under this Contract except with the prior written consent of UNDP.

**5.0 SUB-CONTRACTING:**

In the event the Contractor requires the services of sub-contractors, the Contractor shall obtain the prior written approval and clearance of UNDP for all sub-contractors. The approval of UNDP of a sub-contractor shall not relieve the Contractor of any of its obligations under this Contract. The terms of any sub-contract shall be subject to and conform to the provisions of this Contract.

**6.0 OFFICIALS NOT TO BENEFIT:**

The Contractor warrants that no official of UNDP or the United Nations has received or will be offered by the Contractor any direct or indirect benefit arising from this Contract or the award thereof. The Contractor agrees that breach of this provision is a breach of an essential term of this Contract.

**7.0 INDEMNIFICATION**:

The Contractor shall indemnify, hold and save harmless, and defend, at its own expense, UNDP, its officials, agents, servants and employees from and against all suits, claims, demands, and liability of any nature or kind, including their costs and expenses, arising out of acts or omissions of the Contractor, or the Contractor's employees, officers, agents or sub-contractors, in the performance of this Contract. This provision shall extend, inter alia, to claims and liability in the nature of workmen's compensation, products liability and liability arising out of the use of patented inventions or devices, copyrighted material or other intellectual property by the Contractor, its employees, officers, agents, servants or sub-contractors. The obligations under this Article do not lapse upon termination of this Contract.

**8.0 INSURANCE AND LIABILITIES TO THIRD PARTIES:**

**8.1** The Contractor shall provide and thereafter maintain insurance against all risks in respect of its property and any equipment used for the execution of this Contract.

**8.2** The Contractor shall provide and thereafter maintain all appropriate workmen's compensation insurance, or the equivalent, with respect to its employees to cover claims for personal injury or death in connection with this Contract.

**8.3** The Contractor shall also provide and thereafter maintain liability insurance in an adequate amount to cover third party claims for death or bodily injury, or loss of or damage to property, arising from or in connection with the provision of services under this Contract or the operation of any vehicles, boats, airplanes or other equipment owned or leased by the Contractor or its agents, servants, employees or sub-contractors performing work or services in connection with this Contract.

**8.4** Except for the workmen's compensation insurance, the insurance policies under this Article shall:

**8.4.1** Name UNDP as additional insured;

**8.4.2** Include a waiver of subrogation of the Contractor's rights to the insurance carrier against the UNDP;

**8.4.3** Provide that the UNDP shall receive thirty (30) days written notice from the insurers prior to any cancellation or change of coverage.

**8.5** The Contractor shall, upon request, provide the UNDP with satisfactory evidence of the insurance required under this Article.

**9.0 ENCUMBRANCES/LIENS:**

The Contractor shall not cause or permit any lien, attachment or other encumbrance by any person to be placed on file or to remain on file in any public office or on file with the UNDP against any monies due or to become due for any work done or materials furnished under this Contract, or by reason of any other claim or demand against the Contractor.

**10.0 TITLE TO EQUIPMENT:**

Title to any equipment and supplies that may be furnished by UNDP shall rest with UNDP and any such equipment shall be returned to UNDP at the conclusion of this Contract or when no longer needed by the Contractor. Such equipment, when returned to UNDP, shall be in the same condition as when delivered to the Contractor, subject to normal wear and tear. The Contractor shall be liable to compensate UNDP for equipment determined to be damaged or degraded beyond normal wear and tear.

**11.0 COPYRIGHT, PATENTS AND OTHER PROPRIETARY RIGHTS:**

**11.1** Except as is otherwise expressly provided in writing in the Contract, the UNDP shall be entitled to all intellectual property and other proprietary rights including, but not limited to, patents, copyrights, and trademarks, with regard to products, processes, inventions, ideas, know-how, or documents and other materials which the Contractor has developed for the UNDP under the Contract and which bear a direct relation to or are produced or prepared or collected in consequence of, or during the course of, the performance of the Contract, and the Contractor acknowledges and agrees that such products, documents and other materials constitute works made for hire for the UNDP.

**11.2** To the extent that any such intellectual property or other proprietary rights consist of any intellectual property or other proprietary rights of the Contractor: (i) that pre-existed the performance by the Contractor of its obligations under the Contract, or (ii) that the Contractor may develop or acquire, or may have developed or acquired, independently of the performance of its obligations under the Contract, the UNDP does not and shall not claim any ownership interest thereto, and the Contractor grants to the UNDP a perpetual license to use such intellectual property or other proprietary right solely for the purposes of and in accordance with the requirements of the Contract.

**11.3** At the request of the UNDP; the Contractor shall take all necessary steps, execute all necessary documents and generally assist in securing such proprietary rights and transferring or licensing them to the UNDP in compliance with the requirements of the applicable law and of the Contract.

**11.4** Subject to the foregoing provisions, all maps, drawings, photographs, mosaics, plans, reports, estimates, recommendations, documents, and all other data compiled by or received by the Contractor under the Contract shall be the property of the UNDP, shall be made available for use or inspection by the UNDP at reasonable times and in reasonable places, shall be treated as confidential, and shall be delivered only to UNDP authorized officials on completion of work under the Contract.

**12.0 USE OF NAME, EMBLEM OR OFFICIAL SEAL OF UNDP OR THE UNITED NATIONS:**

The Contractor shall not advertise or otherwise make public the fact that it is a Contractor with UNDP, nor shall the Contractor, in any manner whatsoever use the name, emblem or official seal of UNDP or THE United Nations, or any abbreviation of the name of UNDP or United Nations in connection with its business or otherwise.

**13.0 CONFIDENTIAL NATURE OF DOCUMENTS AND INFORMATION:**

Information and data that is considered proprietary by either Party and that is delivered or disclosed by one Party (“Discloser”) to the other Party (“Recipient”) during the course of performance of the Contract, and that is designated as confidential (“Information”), shall be held in confidence by that Party and shall be handled as follows:

**13.1** The recipient (“Recipient”) of such information shall:

**13.1.1** use the same care and discretion to avoid disclosure, publication or dissemination of the Discloser’s Information as it uses with its own similar information that it does not wish to disclose, publish or disseminate; and,

**13.1.2** use the Discloser’s Information solely for the purpose for which it was disclosed.

**13.2** Provided that the Recipient has a written agreement with the following persons or entities requiring them to treat the Information confidential in accordance with the Contract and this Article 13, the Recipient may disclose Information to:

**13.2.1** any other party with the Discloser’s prior written consent; and,

**13.2.2** the Recipient’s employees, officials, representatives and agents who have a need to know such Information for purposes of performing obligations under the Contract, and employees officials, representatives and agents of any legal entity that it controls controls it, or with which it is under common control, who have a need to know such Information for purposes of performing obligations under the Contract, provided that, for these purposes a controlled legal entity means:

**13.2.2.1** a corporate entity in which the Party owns or otherwise controls, whether directly or indirectly, over fifty percent (50%) of voting shares thereof; or,

**13.2.2.2** any entity over which the Party exercises effective managerial control; or,

**13.2.2.3** for the UNDP, an affiliated Fund such as UNCDF, UNIFEM and UNV.

**13.3** The Contractor may disclose Information to the extent required by law, provided that, subject to and without any waiver of the privileges and immunities of the United Nations, the Contractor will give the UNDP sufficient prior notice of a request for the disclosure of Information in order to allow the UNDP to have a reasonable opportunity to take protective measures or such other action as may be appropriate before any such disclosure is made.

**13.4** The UNDP may disclose Information to the extent as required pursuant to the Charter of the UN, resolutions or regulations of the General Assembly, or rules promulgated by the Secretary-General.

**13.5** The Recipient shall not be precluded from disclosing Information that is obtained by the Recipient from a third party without restriction, is disclosed by the Discloser to a third party without any obligation of confidentiality, is previously known by the Recipient, or at any time is developed by the Recipient completely independently of any disclosures hereunder.

**13.6** These obligations and restrictions of confidentiality shall be effective during the term of the Contract, including any extension thereof, and, unless otherwise provided in the Contract, shall remain effective following any termination of the Contract.

**14.0 FORCE MAJEURE; OTHER CHANGES IN CONDITIONS**

**14.1** In the event of and as soon as possible after the occurrence of any cause constituting force majeure, the Contractor shall give notice and full particulars in writing to the UNDP, of such occurrence or change if the Contractor is thereby rendered unable, wholly or in part, to perform its obligations and meet its responsibilities under this Contract. The Contractor shall also notify the UNDP of any other changes in conditions or the occurrence of any event that interferes or threatens to interfere with its performance of this Contract. On receipt of the notice required under this Article, the UNDP shall take such action as, in its sole discretion; it considers to be appropriate or necessary in the circumstances, including the granting to the Contractor of a reasonable extension of time in which to perform its obligations under this Contract.

**14.2** If the Contractor is rendered permanently unable, wholly, or in part, by reason of force majeure to perform its obligations and meet its responsibilities under this Contract, the UNDP shall have the right to suspend or terminate this Contract on the same terms and conditions as are provided for in Article 15, "Termination", except that the period of notice shall be seven (7) days instead of thirty (30) days.

**14.3** Force majeure as used in this Article means acts of God, war (whether declared or not), invasion, revolution, insurrection, or other acts of a similar nature or force.

**14.4** The Contractor acknowledges and agrees that, with respect to any obligations under the Contract that the Contractor must perform in or for any areas in which the UNDP is engaged in, preparing to engage in, or disengaging from any peacekeeping, humanitarian or similar operations, any delays or failure to perform such obligations arising from or relating to harsh conditions within such areas or to any incidents of civil unrest occurring in such areas shall not, in and of itself, constitute force majeure under the Contract..

**15.0 TERMINATION**

**15.1** Either party may terminate this Contract for cause, in whole or in part, upon thirty (30) days notice, in writing, to the other party. The initiation of arbitral proceedings in accordance with Article 16.2 (“Arbitration”), below, shall not be deemed a termination of this Contract.

**15.2** UNDP reserves the right to terminate without cause this Contract at any time upon 15 days prior written notice to the Contractor, in which case UNDP shall reimburse the Contractor for all reasonable costs incurred by the Contractor prior to receipt of the notice of termination.

**15.3** In the event of any termination by UNDP under this Article, no payment shall be due from UNDP to the Contractor except for work and services satisfactorily performed in conformity with the express terms of this Contract.

**15.4** Should the Contractor be adjudged bankrupt, or be liquidated or become insolvent, or should the Contractor make an assignment for the benefit of its creditors, or should a Receiver be appointed on account of the insolvency of the Contractor, the UNDP may, without prejudice to any other right or remedy it may have under the terms of these conditions, terminate this Contract forthwith. The Contractor shall immediately inform the UNDP of the occurrence of any of the above events.

**16.0 SETTLEMENT OF DISPUTES**

**16.1** **Amicable Settlement**: The Parties shall use their best efforts to settle amicably any dispute, controversy or claim arising out of this Contract or the breach, termination or invalidity thereof. Where the parties wish to seek such an amicable settlement through conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules then obtaining, or according to such other procedure as may be agreed between the parties.

**16.2** **Arbitration:** Any dispute, controversy, or claim between the Parties arising out of the Contract or the breach, termination, or invalidity thereof, unless settled amicably under Article 16.1, above, within sixty (60) days after receipt by one Party of the other Party’s written request for such amicable settlement, shall be referred by either Party to arbitration in accordance with the UNCITRAL Arbitration Rules then obtaining. The decisions of the arbitral tribunal shall be based on general principles of international commercial law. For all evidentiary questions, the arbitral tribunal shall be guided by the Supplementary Rules Governing the Presentation and Reception of Evidence in International Commercial Arbitration of the International Bar Association, 28 May 1983 edition. The arbitral tribunal shall be empowered to order the return or destruction of goods or any property, whether tangible or intangible, or of any confidential information provided under the Contract, order the termination of the Contract, or order that any other protective measures be taken with respect to the goods, services or any other property, whether tangible or intangible, or of any confidential information provided under the Contract, as appropriate, all in accordance with the authority of the arbitral tribunal pursuant to Article 26 (“Interim Measures of Protection”) and Article 32 (“Form and Effect of the Award”) of the UNCITRAL Arbitration Rules. The arbitral tribunal shall have no authority to award punitive damages. In addition, unless otherwise expressly provided in the Contract, the arbitral tribunal shall have no authority to award interest in excess of the London Inter-Bank Offered Rate (“LIBOR”) then prevailing, and any such interest shall be simple interest only. The Parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such dispute, controversy, or claim.

**17.0 PRIVILEGES AND IMMUNITIES**:

Nothing in or relating to this Contract shall be deemed a waiver, express or implied, of any of the privileges and immunities of the United Nations, including its subsidiary organs.

**18.0 TAX EXEMPTION**

**18.1** Section 7 of the Convention on the Privileges and Immunities of the United Nations provides, inter-alia that the United Nations, including its subsidiary organs, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs duties and charges of a similar nature in respect of articles imported or exported for its official use. In the event any governmental authority refuses to recognize the United Nations exemption from such taxes, duties or charges, the Contractor shall immediately consult with the UNDP to determine a mutually acceptable procedure.

**18.2** Accordingly, the Contractor authorizes UNDP to deduct from the Contractor's invoice any amount representing such taxes, duties or charges, unless the Contractor has consulted with the UNDP before the payment thereof and the UNDP has, in each instance, specifically authorized the Contractor to pay such taxes, duties or charges under protest. In that event, the Contractor shall provide the UNDP with written evidence that payment of such taxes, duties or charges has been made and appropriately authorized.

**19.0 CHILD LABOUR**

**19.1** The Contractor represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

**19.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, at no cost to UNDP.

**20.0 MINES:**

**20.1** The Contractor represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term "Mines" means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

**20.2** Any breach of this representation and warranty shall entitle UNDP to terminate this Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind of UNDP.

**21.0 OBSERVANCE OF THE LAW:**

The Contractor shall comply with all laws, ordinances, rules, and regulations bearing upon the performance of its obligations under the terms of this Contract.

**22.0 SEXUAL EXPLOITATION:**

**22.1** The Contractor shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by it or by any of its employees or any other persons who may be engaged by the Contractor to perform any services under the Contract. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, the Contractor shall refrain from, and shall take all appropriate measures to prohibit its employees or other persons engaged by it from, exchanging any money, goods, services, offers of employment or other things of value, for sexual favors or activities, or from engaging in any sexual activities that are exploitive or degrading to any person. The Contractor acknowledges and agrees that the provisions hereof constitute an essential term of the Contract and that any breach of this representation and warranty shall entitle UNDP to terminate the Contract immediately upon notice to the Contractor, without any liability for termination charges or any other liability of any kind.

**22.2** The UNDP shall not apply the foregoing standard relating to age in any case in which the Contractor’s personnel or any other person who may be engaged by the Contractor to perform any services under the Contract is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Contractor’s personnel or such other person who may be engaged by the Contractor to perform any services under the Contract.

1. **AUTHORITY TO MODIFY**:

Pursuant to the Financial Regulations and Rules of UNDP, only the UNDP Authorized Official possesses the authority to agree on behalf of UNDP to any modification of or change in this Contract, to a waiver of any of its provisions or to any additional contractual relationship of any kind with the Contractor. Accordingly, no modification or change in this Contract shall be valid and enforceable against UNDP unless provided by an amendment to this Contract signed by the Contractor and jointly by the UNDP Authorized Official.

**Annex 4**

**TERMS OF REFERENCE**

**for development of chapter “Energy”**

**to KazGBC Green Building Standard for multiapartment buildings**

|  |  |
| --- | --- |
| **Project Name**  **Contract type:** | UNDP/GEF Project “Energy-Efficient Design and Construction of Residential Buildings”, 00074950  Service Contract |
| **Duty station:** | Home-based |
| **Duration:** | 3 months after signing the Contract |

**Introduction:**

A long-term goal of UNDP/GEF Project is a reduction of GHGs emissions in housing and construction sector of Kazakhstan through energy efficiency improvement in new residential buildings. The Project components are following:

* Improvement of compulsory construction regulations and enforcement procedures, assessment and monitoring system of energy efficiency,
* Standardization and certification of energy efficient construction materials and their components,
* Training and raising awareness for promotion of energy efficient design and application of energy efficient technologies,
* Development and demonstration of energy efficient building design.

**Background:**

Amid a trend in Kazakhstan to transfer to green economy, we may assert that the first green building projects have appeared in the construction market. Currently, the first projects have been certified against American LEED and British BREEAM standards. However, there are no local professionals accredited to operate with the green building certification systems, so professionals from Russia and abroad have been invited to certify first projects.

The fact that international certification systems have started to be used is a positive signal from the market, but it is important to understand that a wide application of these standards in the market is impossible for various reasons, including the costs of certification consultancy services, language barrier, differences in measurement units, and low adaptability to local climate and geographical conditions. Thus, an optimum solution for introducing the green building standards for wide usage in Kazakhstan is to create a national green building certification system that would eliminate these barriers.

These activities have already been carried by Kazakhstan Green Building Council KazGBC LEA (hereinafter as KazGBC), which has developed a pilot version of corporate green building standard for Samruk-Kazyna Real Estate Fund JSC, and is starting a comprehensive review of each section of the standard by taking into account regional specifics within Kazakhstan, which will result in the issuance of National Green Building Standard for multi-apartment buildings (hereinafter as Standard).

Chapter “Energy” will be one the chapters of the Standard, which should contain a structured approach to determining the energy efficiency level of to-be certified buildings, and describe solutions and technologies which when used will add points to energy category, thus stimulating the application of such solutions and technologies when designing and constructing buildings. Creating the Standard will serve as a foundation for creating national green building certification systems, enable developing a system for training Kazakhstani green building specialists, and create conditions for wide application of energy-efficient practices in construction sector in Kazakhstan.

Due to limited financial capabilities of KazGBC, which is a non-profit organization funded by contributions from members and sponsors, UNDP/GEF Project has decided to support the development of the Standard in regard to Chapter “Energy” through engagement of a contractor (company) operating in energy efficiency and green building.

**Objective:**

Development of Chapter “Energy” to the KazGBC Green Building Standard for multi-apartment buildings.

**Scope of works:**

* Аnalysis of energy efficiency requirements, approved by building norms and standards effective in the Republic of Kazakhstan for residential multiapartment buildings. Identification of minimal EE level of such buildings which is necessary for certification under KazGBC standard on green construction;
* Analysis of respective chapters of international green construction standards (LEED and BREEAM as minimum). Sampling the solutions and technologies applicable for Kazakhstan conditions;
* Аnalysis of proposed solutions and technologies for enforcement\application costs;

Development of Chapter «Energy» of the standard with focus at solutions and technologies applicable in Kazakhstan conditions and having the less enforcement\application price\cost

**Expected results:**

|  |  |  |  |
| --- | --- | --- | --- |
| **No** | **Deliverables** | **Timeline** | **Payment** |
| 1 | Energy efficiency requirements set forth in building regulations and requirements of the Republic of Kazakhstan for multiapartment building analyzed. Minimum energy efficiency level for such buildings needed to pass the certification against KazGBC Green Building Standard for multi-apartment buildings determined.  International green building standards (at least LEED and BREEAM) analyzed, and a set of solutions and technologies applicable in Kazakhstan prepared | 1 month after contract signing | 50 % |
| 2 | Proposed solutions and technologies prescribed in above Paragraph 1 of this Table analyzed for introduction/application costs | 2 months after contract signing | 25% |
| 3 | Chapter “Energy” of the Standard focusing on inclusion, first and foremost, of solutions and technologies applicable in Kazakhstan and that have the lowest introduction/application costs developed | 3 months after contract signing | 25 % |

Duty station: home-based. There will be one trip with duration no longer than 3 days (including travel time) to Astana (Kazakhstan) to present and discuss the received deliverables at a Round Table (to be arranged by UNDP/GEF Project). All transport costs (air tickets, accommodation, catering, transfer) should be included into total price by submission of financial proposal.

**Responsibility:**

* Agrees the actions with Project Manager of UNDP/GEF Projects on EE,
* Reports to Project Manager of UNDP/GEF Projects on EE,
* Ensures timely and quality execution of the Terms of Reference,
* Payments to be made after satisfactorily performance of services and by approval of Project Manager (mandatory signing of interim performance acts between UNDP and Contractor).

**Qualifications and requirements:**

1) As minimum 3 years of experience in conducting the technological, energy, and environmental inspections, research and development works in technologies reducing environmental impact, successful experience in introducing and further operating these technologies;

2) As minimum 3 years of experience in developing and providing methodological support in development of chapters of design documents such as Environmental Protection Measures List, Environmental Protection, and Environmental Impact Assessment. Experience in supporting the design documents when conducting state environmental expertise;

3) As minimum 3 years of experience in designing the facilities for which “green standard” components were used or technical solutions, enabling to achieve considerable energy-efficiency results and significantly reduce operating costs, were implemented;

4) Availability of as minimum one fully implemented project certified against LEED, BREEAM or DGNB systems;

5) Participation in development of regulatory and standardization documents on energy efficiency and environmental friendliness of buildings;

6) Participation in projects on certification of green buildings constructed within the Republic of Kazakhstan;

7) Availability of at least 2 staff members accredited under LEED AP/ Breeam assesor/Breeam AP with 3 years of work experience as minimum;

8) As minimum 3 years of work experience by personnel (to be involved into service performance) on energy and environmental modeling, aerodynamics tasks in buildings, including the experience in working with software: Star CCM, Fluent, eQUEST, and IES;

9) The company should have civil legal capacity to conclude business contracts (certificates of incorporation/re-incorporation, and founding documents);

10) The company should be solvent, should not be under dissolution, should be free of any distress, and its business operations should not be suspended in accordance with legislation (statements confirming debt clearance in any tax, bank and other authorized bodies, balance sheet for 2014);

11) Cost estimates (according to the attached template);

12) Works performance schedule;

13) As minimum 3 recommendation letters from previous customers/clients for similar services

Model Contract for Professional Consulting Services

between UNDP and a Company or other entity



Date \_\_\_\_\_\_\_\_\_\_\_\_\_

Dear Sir/Madam,

Ref.: \_\_\_\_\_\_/ \_\_\_\_\_\_\_/ \_\_\_\_\_\_ **[INSERT PROJECT NUMBER AND TITLE** **OR OTHER REFERENCE]**

The United Nations Development Programme (hereinafter referred to as "UNDP"), wishes to engage your [**company/organization/institution**], duly incorporated under the Laws of \_\_\_\_\_\_\_\_\_\_\_\_\_ **[INSERT NAME OF THE COUNTRY]** (hereinafter referred to as the "Contractor") in order to perform services in respect of \_\_\_\_\_\_\_\_\_\_\_ **[INSERT SUMMARY DESCRIPTION OF THE SERVICES]** (hereinafter referred to as the "Services"), in accordance with the following Contract:

1.Contract Documents

1.1 This Contract is subject to the UNDP General Conditions for Professional Services attached hereto as Annex I. The provisions of such Annex shall control the interpretation of this Contract and in no way shall be deemed to have been derogated by the contents of this letter and any other Annexes, unless otherwise expressly stated under section 4 of this letter, entitled "Special Conditions".

1.2 The Contractor and UNDP also agree to be bound by the provisions contained in the following documents, which shall take precedence over one another in case of conflict in the following order:

1. this letter;

b) the Terms of Reference [ref. ......dated........], attached hereto as Annex II;

c) the Contractor's technical proposal [ref......, dated ........], as clarified by the agreed minutes of the negotiation meeting[[5]](#footnote-5)[dated........], both documents not attached hereto but known to and in the possession of both parties.

1.3 All the above shall form the Contract between the Contractor and UNDP, superseding the contents of any other negotiations and/or agreements, whether oral or in writing, pertaining to the subject of this Contract.

2. Obligations of the Contractor

2.1 The Contractor shall perform and complete the Services described in Annex II with due diligence and efficiency and in accordance with the Contract.

2.2 The Contractor shall provide the services of the following key personnel:

Name Specialization Nationality Period of service

.... .............. ........... ..................

.... .............. ........... ..................

2.3 Any changes in the above key personnel shall require prior written approval of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **[NAME and TITLE],** UNDP.

2.4 The Contractor shall also provide all technical and administrative support needed in order to ensure the timely and satisfactory performance of the Services.

2.5 The Contractor shall submit to UNDP the deliverables specified hereunder according to the following schedule:

**[LIST DELIVERABLES] [INDICATE DELIVERY DATES]**

e.g.

Progress report ../../....

............... ../../....

Final report ../../....

2.6 All reports shall be written in the English language, and shall describe in detail the services rendered under the Contract during the period of time covered in such report. All reports shall be transmitted by the Contractor by \_\_\_\_\_\_\_\_\_ **[MAIL, COURIER AND/OR FAX]**  to the address specified in 9.1 below.

2.7 The Contractor represents and warrants the accuracy of any information or data provided to UNDP for the purpose of entering into this Contract, as well as the quality of the deliverables and reports foreseen under this Contract in accordance with the highest industry and professional standards.

**OPTION 1 (FIXED PRICE)**

3. Price and Payment[[6]](#footnote-6)

3.1 In full consideration for the complete and satisfactory performance of the Services under this Contract, UNDP shall pay the Contractor a fixed contract price of \_\_\_\_\_\_\_\_ **[INSERT CURRENCY & AMOUNT IN FIGURES AND WORDS]**.

3.2 The price of this Contract is not subject to any adjustment or revision because of price or currency fluctuations or the actual costs incurred by the Contractor in the performance of the Contract.

3.3 Payments effected by UNDP to the Contractor shall be deemed neither to relieve the Contractor of its obligations under this Contract nor as acceptance by UNDP of the Contractor's performance of the Services.

3.4 UNDP shall effect payments to the Contractor after acceptance by UNDP of the invoices submitted by the Contractor to the address specified in 9.1 below, upon achievement of the corresponding milestones and for the following amounts:

MILESTONE[[7]](#footnote-7) AMOUNT TARGET DATE

Upon..... ...... ./../....

......... ...... ../../....

Invoices shall indicate the milestones achieved and corresponding amount payable.

**OPTION 2 (COST REIMBURSEMENT)**

3. Price and payment[[8]](#footnote-8)

3.1 In full consideration for the complete and satisfactory performance of the Services under this Contract, UNDP shall pay the Contractor a price not to exceed \_\_\_\_\_\_\_\_\_\_ **[INSERT CURRENCY & AMOUNT IN FIGURES AND WORDS]**.

3.2 The amount contained in 3.1 above is the maximum total amount of reimbursable costs under this Contract. The Breakdown of Costs in Annex \_\_\_\_\_\_\_ **[INSERT ANNEX NUMBER]** contains the maximum amounts per cost category that are reimbursable under this Contract. The Contractor shall reflect in his invoices the amount of the actual reimbursable costs incurred in the performance of the Services.

3.3 The Contractor shall not do any work, provide any equipment, materials and supplies, or perform any other services which may result in any costs in excess of the amount under 3.1 or of any of the amounts specified in the Breakdown of Costs for each cost category without the prior written agreement of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **[NAME and TITLE]**, UNDP.

3.4 Payments effected by UNDP to the Contractor shall be deemed neither to relieve the Contractor of its obligations under this Contract nor as acceptance by UNDP of the Contractor's performance of the Services.

3.5 The Contractor shall submit invoices for the work done every \_\_\_\_\_\_\_\_\_\_\_ **[INSERT PERIOD OF TIME OR MILESTONES]**.

OR

3.5. The Contractor shall submit an invoice for \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **[INSERT AMOUNT AND CURRENCY OF THE ADVANCE PAYMENT IN FIGURES & WORDS]** upon signature of this Contract by both parties and invoices for the work done every \_\_\_\_\_\_\_\_\_\_\_\_\_\_ **[INSERT PERIOD OF TIME OR MILESTONES]**.

3.6 Progress and final payments shall be effected by UNDP to the Contractor after acceptance of the invoices submitted by the Contractor to the address specified in 9.1 below, together with whatever supporting documentation of the actual costs incurred is required in the Breakdown of Costs or may be required by UNDP. Such payments shall be subject to any specific conditions for reimbursement contained in the Breakdown of Costs.

4. Special conditions

4.1 The responsibility for the safety and security of the Contractor and its personnel and property, and of UNDP’s property in the Contractor’s custody, rests with the Contractor.

* + 1. Security

The Contractor shall:

* 1. put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the services are being provided;
  2. assume all risks and liabilities related to the Contractor’s security, and the full implementation of the security plan.
     1. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of this contract. Notwithstanding the foregoing, the Contractor shall remain solely responsible for the security of its personnel and for UNDP’s property in its custody as set forth in paragraph 4.1 above.
  3. Audits and Investigations

Each invoice paid by UNDP shall be subject to a post-payment audit by auditors, whether internal or external, of UNDP or the authorized agents of the UNDP at any time during the term of the Contract and for a period of three (3) years following the expiration or prior termination of the Contract. The UNDP shall be entitled to a refund from the Contractor for any amounts shown by such audits to have been paid by the UNDP other than in accordance with the terms and conditions of the Contract. Should the audit determine that any funds paid by UNDP have not been used as per contract clauses, the company shall reimburse such funds forthwith. Where the company fails to reimburse such funds, UNDP reserves the right to seek recovery and/or to take any other action as it deems necessary.

* + 1. The Contractor acknowledges and agrees that, at anytime, UNDP may conduct investigations relating to any aspect of the Contract, the obligations performed under the Contract, and the operations of the Contractor generally. The right of UNDP to conduct an investigation and the Contractor’s obligation to comply with such an investigation shall not lapse upon expiration or prior termination of the Contract. The Contractor shall provide its full and timely cooperation with any such inspections, post-payment audits or investigations. Such cooperation shall include, but shall not be limited to, the Contractor’s obligation to make available its personnel and any documentation for such purposes and to grant to UNDP access to the Contractor’s premises. The Contractor shall require its agents, including, but not limited to, the Contractor’s attorneys, accountants or other advisers, to reasonably cooperate with any inspections, post-payment audits or investigations carried out by UNDP hereunder.
  1. Anti-terrorism

The Contractor agrees to undertake all reasonable efforts to ensure that none of the UNDP funds received under this Contract are used to provide support to individuals or entities associated with terrorism and that the recipients of any amounts provided by UNDP hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list can be accessed via <http://www.un.org/Docs/sc/committees/1267/1267ListEng.htm>. This provision must be included in all sub-contracts or sub-agreements entered into under this Contract.

4.4 The advance payment to be made upon signature of the contract by both parties is contingent upon receipt and acceptance by UNDP of a bank guarantee for the full amount of the advance payment issued by a Bank and in a form acceptable to UNDP.[[9]](#footnote-9)

4.5 The amounts of the payments referred to under section 3.6 above shall be subject to a deduction of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **[INSERT PERCENTAGE THAT THE ADVANCE REPRESENTS OVER THE TOTAL PRICE OF THE CONTRACT]** % (... percent) of the amount accepted for payment until the cumulative amount of the deductions so effected shall equal the amount of the advance payment.

4.6 Owing to [..........................], Article(s) [.........] of the General Conditions in Annex I shall be amended to read/be deleted.[[10]](#footnote-10)

5. Submission of invoices

5.1 An original invoice shall be submitted by mail by the Contractor for each payment under the Contract to the following address:

.................……………………………………………………………………………………………………………….

5.2 Invoices submitted by fax shall not be accepted by UNDP.

6. Time and manner of payment

6.1 Invoices shall be paid within thirty (30) days of the date of their acceptance by UNDP. UNDP shall make every effort to accept an invoice or so advise the Contractor of its non-acceptance within a reasonable time from receipt.

6.2 All payments shall be made by UNDP to the following Bank account of the Contractor:

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [NAME OF THE BANK]**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [ACCOUNT NUMBER]**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [ADDRESS OF THE BANK]**

7. Entry into force. Time limits.

7.1 The Contract shall enter into force upon its signature by both parties.

7.2 The Contractor shall commence the performance of the Services not later than \_\_\_\_\_\_ **[INSERT DATE]** and shall complete the Services within \_\_\_\_\_\_\_\_\_\_\_\_\_ **[INSERT NUMBER OF DAYS OR MONTHS]** of such commencement.

7.3 All time limits contained in this Contract shall be deemed to be of the essence in respect of the performance of the Services.

8. Modifications

* 1. Any modification to this Contract shall require an amendment in writing between both parties duly signed by the authorized representative of the Contractor and \_\_\_\_\_\_\_\_\_\_ **[NAME AND TITLE]** UNDP.

9. Notifications

For the purpose of notifications under the Contract, the addresses of UNDP and the Contractor are as follows:

**For the UNDP:**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [INSERT CONTRACT REFERENCE & NUMBER]**

|  |  |  |
| --- | --- | --- |
| **Telex:** | **Fax:** | **Cable:** |

**For the Contractor:**

[**INSERT NAME, ADDRESS AND TELEX, FAX AND CABLE NUMBERS]**

|  |
| --- |
| **Name:** |
| **Address**: |

|  |  |
| --- | --- |
| **Telex:** |  |
| **Fax:** |  |
| **Cable:** |  |

If the above terms and conditions meet with your agreement as they are typed in this letter and in the Contract Documents, please initial every page of this letter and its attachments and return to this office one original of this Contract, duly signed and dated.

Yours sincerely,

**[INSERT NAME AND TITLE]**

For **[INSERT NAME OF THE COMPANY/ORGANIZATION]**

Agreed and Accepted:

Signature \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. *Service Providers are alerted that non-acceptance of the terms of the General Terms and Conditions (GTC) may be grounds for disqualification from this procurement process*  [↑](#footnote-ref-1)
2. *This contact person and address is officially designated by UNDP. If inquiries are sent to other person/s or address/es, even if they are UNDP staff, UNDP shall have no obligation to respond nor can UNDP confirm that the query was received.* [↑](#footnote-ref-2)
3. *This serves as a guide to the Service Provider in preparing the Proposal.*  [↑](#footnote-ref-3)
4. *Official Letterhead/Stationery must indicate contact details – addresses, email, phone and fax numbers – for verification purposes*  [↑](#footnote-ref-4)
5. If there are updates to the technical proposal or correspondence exchanged in clarification of certain aspects, reference them too, provided that they are fully acceptable to UNDP. Otherwise, aspects which resolution is pending should be dealt with in this letter itself or in the Terms of Reference, as appropriate. [↑](#footnote-ref-5)
6. This version of section 3 is to be used for fixed price contracts. Fixed price contracts should normally be used when it is possible to estimate with reasonable accuracy the costs of the activities which are the subject of the Contract. [↑](#footnote-ref-6)
7. If an advance payment is granted, define the first milestone as "upon signature of the contract by both parties". Please note that advance payments should be granted only in exceptional cases, and that they must comply with UNDP policies and procedures. [↑](#footnote-ref-7)
8. This version of section 3 is to be used for cost reimbursement contracts. Normally, cost reimbursement contracts should be used when it is not possible to estimate with reasonable accuracy the total costs of the activities which are the subject of the Contract. [↑](#footnote-ref-8)
9. This clause must be used when an advance payment of $50,000 or more is granted to the Consultant and may be used for payments under $50,000 when appropriate. Please note that advance payments should be exceptional, whatever their amount and must comply with UNDP Financial Regulations and Rules. [↑](#footnote-ref-9)
10. This is a sample clause for the rare cases where there is a conflict with a provision of the General Conditions which does not involve privileges and immunities, arbitration or some other fundamental aspects of the UNDP legal status. All such changes to the General Conditions shall require consultation with OLPS/BOM. [↑](#footnote-ref-10)