**Section III: Returnable Bidding Forms - Technical Envelope**

**Request for Proposal (RFP) - Technical Support for Development and Impacts Assessment of Carbon Credit and Allowance Governance Mechanism in Vietnam**

**eSourcing reference:**  **RFP/2024/51515**

Note to Offerors: The following returnable forms are part of this RFP and must be completed and returned by offerors as part of their Proposal. Instructions to complete each Form are highlighted in blue in each Form. Please complete the Returnable Bidding Forms as instructed and return them as part of your proposal by uploading them against their specific Document Checklist in the UNOPS eSourcing system. Please ensure that the financial information in your proposal is uploaded in the financial envelope checklist under the Financial Offer Details tab of the eSourcing system.

This Section comprises the following Returnable Bidding Forms:

* Form A: Joint Venture Partner Information Form
* Form B: Proposal Submission Form
* Form D: Technical Proposal Form
* Form E: Format for Resume of Proposed Key Personnel
* Form F: Performance Statement Form

**Form A: Joint Venture Partner Information Form**

[The Offeror shall fill in this Form in accordance with the instructions indicated below]

RFP reference no: **RFP/2024/51515**

Name of Offeror: [insert name of Offeror]

Date: [insert submission date]

To be completed and returned with your Proposal **if the Proposal is submitted as a Joint Venture / Consortium / Association.**

| **JV / Consortium/ Association Information** | |
| --- | --- |
| **Name** | [complete] |
| **Names of each partner and contact information**  (address, telephone numbers, fax numbers, e-mail address) | [complete] |
| **Name of leading** partner (with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution) | [complete] |
| **Proposed proportion of responsibilities between partners (in %) with indication of the type of the services to be performed by each** | [complete] |

**Signatures of all partners of the JV:**

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNOPS for the fulfilment of the provisions of the Contract.

Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Name of partner: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Signature: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Date: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Form B: Proposal Submission Form**

Offerors are requested to complete this form, sign it and return it as part of their Proposal submission. The Offeror shall fill in this form in accordance with the instructions indicated. No alterations to its format shall be permitted and no substitutions shall be accepted.

Date: [Insert submission date]

**Subject: Proposal for theTechnical Support for Development and Impacts Assessment of Carbon Credit and Allowance Governance Mechanism in Vietnam,** RFP Case No**. RFP/2024/51515,** dated **[insert date]**

We, the undersigned, declare that:

* 1. We have examined and have no reservations to the Bidding documents, including amendments No.: [Insert the number and issuing date of each amendment];
  2. We offer to supply in conformity with the Bidding documents, including the UNOPS General Conditions of Contract and in accordance with the Schedule of Requirements;
  3. Our Proposal shall be valid for the period of time of 120 days from the date fixed for the Proposal submission deadline as set out in the RFP, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
  4. If our Proposal is accepted, and if so requested in the Tender Particulars section, we commit to obtain a performance security, in accordance with Instructions to Offerors, Article 35 and the General Conditions of Contract;
  5. We have no conflict of interest in any activity that would put it, if selected for this assignment, in a conflict of interest with UNOPS;
  6. We have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgement or pending legal action against them that could impair their operations in the foreseeable future;
  7. Our firm confirms that the offeror and sub-contractors have not been associated, or had been involved in any way, directly or indirectly, with the preparation of the design, terms of references and/or other documents used as a part of this solicitation;
  8. We embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact;
  9. Our firm, its affiliates or subsidiaries – including any subcontractors or suppliers for any part of the contract – has not been declared ineligible by UNOPS, nor is included in the suspended/ineligibility list of the UN/PD, other UN Agencies, the UN Security Council, and the World Bank, in accordance with Instructions to Offerors Article 4, Eligibility;
  10. We have not offered and will not offer fees, gifts and/or favours of kind in exchange for this RFP and will not engage in any such activity during the performance of any contract awarded;
  11. We understand that you are not bound to accept the lowest evaluated Proposal or any other Proposal that you may receive.

I, the undersigned, certify that I am duly authorized by [***insert name of Offeror***] to sign this Proposal and bind [***insert name of Offeror***] should UNOPS accept this Proposal:

Name : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

[*Stamp form of Proposal with official stamp of the Offeror*]

**Form D: Technical Proposal Form**

RFP reference no: **RFP/2024/51515**

Name of Offeror: [insert name of offeror]

The Offeror’s proposal must be organized to follow the format of this Technical Proposal Form. Where the offeror is presented with a requirement or asked to use a specific approach, the offeror must not only state its acceptance but also describe, where appropriate, how it intends to comply. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

Technical Proposal Evaluation sections:

| **Section 1: Offeror’s qualification, capacity, and expertise (25 points)** | |
| --- | --- |
| 1.1 | Brief description of the organisation, including the year and country of incorporation, and types of activities undertaken, including relevance of specialised knowledge and experience on similar engagements done in the past.  Similar engagement/service in this case is defined as providing technical advisory services on areas covering climate policies, carbon market, carbon crediting mechanisms, emission trading scheme, carbon pricing instruments, Article 6 mechanisms, NDC implementation and other related topics.  (Max 4 pages written text plus 1 Matrix )  *Assessment Criteria:*   1. The entity should demonstrate extensive experience of researching and producing technical reports on the subject matters (10) 2. Experience in providing similar services in the region, especially a strong record of similar experience in impact assessment and technical advisory for development of climate policies for the carbon market in Viet Nam (10)   *Total Point 20*  [Insert response here] |
| 1.2 | General organizational capability which is likely to affect implementation: management structure, and project management controls. *In case of JV, there should be a clear splitting of responsibilities among the JV members*  (Max 4 pages written text)  *Assessment Criteria:*   1. *Management structure, management controls, and the extent to which any part would be subcontracted. (5)*   *Total Point 5*  [Insert response here] |

| **Section 2: Proposed Methodology, Approach and Implementation Plan (30 points)** | |
| --- | --- |
| 2.1 | Description of the Offeror’s approach, and methodology for meeting or exceeding the requirements of the Terms of Reference  *Assessment Criteria:*   1. *Offeror’s approach and methodology to analyse the legal framework in Viet Nam and international experiences to identify different governance options for determination and development of the ETS (GHG emission allowance) governance in Vietnam, with focus on the feasible options for the pilot operation of the carbon market in 2025-2027 period as scheduled by the government (8)* 2. *Offeror’s approach to assess and model the impacts of the ETS governance options in Vietnam, and analysing the specific socio-economic and environmental impacts of different governance options, particularly the impacts on energy- intensive industries (4)* 3. *Offeror’s approach to assess and model the socio-economic and environmental impacts of international trading of carbon credits and mitigation outcomes on Viet Nam, especially the impacts on NDC implementation (3)* 4. *Offeror’s approach and methodology to provide recommendations for the determination of optimal governance options for carbon credits and allowances governance to maximise the benefits and minimise the negative impacts (5)*   *Total Point 20*  [Insert response here] |
| 2.2 | Quality Assurance Plan  *Assessment Criteria:*  *Quality Assurance Plan - A plan outlining how the bidder intends to ensure oversight and quality assurance throughout the assignment. A Quality Assurance plan should include discussions on risk assessment and its mitigation plan. (5)*  *Total Point 5*  [Insert response here] |
| 2.3 | Implementation Timeline  *Assessment Criteria:*  *Implementation Timeline - Bidder submits a detailed implementation timeline which includes detailed activities to be undertaken during this assignment and is completed with Gantt chart based on the TOR the requirement implementation period of 8 months. (5)*  *Total Point 5*  [Insert response here] |

| **Section 3: Key personnel proposed (25 points)** | |
| --- | --- |
| 3.1 | Qualifications of key personnel proposed aligned with the Terms of Reference  [Insert response here by filling up the below table]   | **Name and Nationality** | **Position to be Assumed in this Contract** | **Requirements as per Terms of reference** | **The Personnel Education and Experience highlight, aligning with the Requirements as per Terms of Reference** | | --- | --- | --- | --- | | [Insert] | Team Leader | Copy the requirements in the TOR. If you are proposing additional personnel not included in the min. structure, please make this column as N/A |  | | [Insert] | Carbon Market Expert |  |  | | [Insert] | Impact Assessment Expert |  |  | | [Insert] | Data Modelling Expert |  |  | |  |  |  |  |   *Assessment Criteria:*  *1.Team Leader (8)*  *2.Carbon Market Expert (4)*  *3.Impact Assessment Expert (4)*  *4. Data Modelling Expert (4)*  *Total point 20*  For each of the names identified above, attach his/her CV using the format in Form E: Format for Resume of Proposed Key Personnel.  Please also fill out **Annex 1 - Detailed Personnel Proposed** |
| 3.2 | The bidder shall provide a clear statement, approach, and methodology that demonstrates its commitment to support and mainstreaming gender equality and social inclusion through its operations and project implementation activities  *Assessment Criteria: The bidder shall provide a* ***response*** *that demonstrates its commitment to support gender equality through its operations*  *Total Point 5*  [Insert response here] |

I, the undersigned, certify that I am duly authorized by [***insert full name of Offeror***] to sign this Proposal and bind [***insert full name of Offeror***] should UNOPS accept this Proposal:

Name : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Title : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Form E: Format for Resume of Proposed Key Personnel**

RFP reference no: **RFP/2024/51515**

Name of Offeror: [insert name of Offeror]

| Position as per Tender Requirement | [Insert Role As Per Required in the TOR] |
| --- | --- |
| Name of Personnel | [Insert] |
| Title | [Insert] |
| Position base for this assignment |  |
| Years with firm | [Insert] |
| Nationality | [Insert] |
| Language proficiency | [Insert] |
| Education/ Qualifications | [Summarize college/university and other specialized education of personnel member, giving names of schools, dates attended, and degrees/qualifications obtained.] |
| Professional certifications | [Provide details of professional certifications relevant to the scope of services]   * Name of institution: [Insert] * Date of certification: [Insert] |
| Employment Record/ Experience | [Starting with present position, list in reverse order, every employment held. List all positions held by personnel since graduation, giving dates, names of employing organization, title of position held and location of employment. For experience in last five years, detail the type of activities performed, degree of responsibilities, location of assignments and any other information or professional experience considered pertinent for this assignment.] |
| References | [Provide names, addresses, phone and email contact information for two (2) references]  Reference 1:  Reference 2: |

I, the undersigned, certify to the best of my knowledge and belief, this bio-date is accurate.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature of Personnel (individual) or firm representative Date (Day/Month/Year)

**Form F: Performance Statement Form**

RFP reference no: **RFP/2024/51515**

Name of Offeror: [insert name of Offeror]

Date: [insert submission date]

*Please refer to the Qualification Criteria to fill this form :*

1. *The company should have a minimum of 5 years of continuous experience in delivering similar projects in the past with a track-record of success.*
2. *Offeror must provide a minimum of two (2) customer references* ***(including name, email address and/ phone number of the focal point)***  *from which similar services have been successfully provided, within any of the last 5 years. In case of JV, at least one reference from the JV should be submitted UNOPS may contact the customer reference when the bidder is awarded the contract.*

| **Insert detail of the client** | **Contract no. & date** | **Description of the scope of work** | **Value of Contract** | **Date of completion of delivery** | | **Remarks indicating reasons of late delivery, if any** | **Was the supply of goods satisfactory?** |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **As per Contract** | **Actual** |
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Name : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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Signature : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_