CALL FOR PROPOSALS

Socially Inclusive Sustainable Energy Transition for Provinces in Indonesia

**CFP reference number: CFP-2023-56**

**CFP document issue date:** **August 23, 2023**

# PARTICULARS

## UNOPS and UNEP-CCC project objective(s)

UNEP Copenhagen Climate Centre (UNEP-CCC) draws on experience from more than 30 years of working with developing countries, as part of the long-lasting partnership between UNEP, Danish research institutions (notably the Risø National Laboratory and Danish Technical University (DTU)) and the Ministry of Foreign Affairs (MFA), Denmark. UNEP-CCC was established in early 2022 to continue providing scientific and technical support to UNEP and assist in the delivery of UNEP’s Programme of Work with a focus on climate change and the needs of developing countries.

The mandate of the UNEP Copenhagen Climate Centre (UNEP-CCC) is to provide scientific analysis, knowledge, and capacity building for developing countries that allows them to pursue low-emission, climate-resilient pathways for sustainable development and global climate action. To achieve this objective, UNEP-CCC has set out three areas in its strategy for 2022-2025: i) policy, ii) implementation, and iii) transparency. The current project supports both the policy and implementation area.

The primary objective is to support countries with their decarbonization pathways, to offer normative and scientific evidence for decision-making and governance, to offer frameworks, methodologies, and practical tools. Facilitating and supporting energy transitions requires establishing an enabling environment for uptake of actions, preparedness of the workforce and skills, multi-stakeholder engagement, and for ensuring that the transition is just and equitable. The overall focus also includes high impact sectors (e.g., energy) and high-emitter coal-dependent developing nations (e.g., Indonesia)

## Background and objectives of the grant/funding

The funding for the project is part of a proposed three-year Danish contribution to support UNEP Copenhagen Climate Centre (UNEP-CCC) located in the UN City Copenhagen.

Alongside energy transitions and long-term energy planning pathways adopted by countries, it is equally important to focus on the just, inclusive and the developmental aspects of such transition. Just transition entails a systemic view of the opportunities and challenges, an effective dialogue and engagement with relevant actors. This means putting considerations of employment, equity, inclusion, affordability, and sustainable economic development at the centre of the transition process.

These are especially critical in the South-East emerging economies that are embarking simultaneously on multiple energy and developmental transitions. Indonesia plans to achieve net zero emissions by 2060. It aims to generate 34% of electricity from renewables by 2030. It signed the Just Energy Transition Partnership (JETP) in 2022, aiming to stabilize emissions by 2030. Despite plans to stop new coal plants by 2023, this would still mean an addition of 35 GW as per the current plan, and continued investments into natural gas extraction and transportation. New electricity regulations (PR 112), aim to resolve tariff issues (renewables) and introduce tenders. Such energy transitions need to be sensitive to multi-faceted concerns and spillover impacts (including negative impacts) on socio-economic livelihoods, on jobs and skills, on new IPPs and SME landscape, on vulnerability and marginalisation, on local content and manufacturing capabilities, on revenue streams and income losses, and on finance flows and NDC implementation.

To make the energy transition as sustainable and impactful as possible, it is evident that activities need to integrate social and just considerations into mainstream policies, plans, decision-making criteria, and reflect in the country’s NDCs, both in the plans as well as in the implementation as well. The objective of this grant is to enable and support in this process at a local level, in select provinces in Indonesia.

## Targeted impact of the grant/funding

The targeted impact of the funding is to ensure that the policy makers and decision makers adopt decarbonization pathways through incorporating just and social development aspects, thereby minimizing the trade-offs entailed in the transition processes and enabling them to be more equitable.

Envisaged Outcome – Better informed policymakers and improved capacities with regard to adoption and utilization of the ‘just transition’ framework/tool/criteria in energy planning, monitoring, and implementation, and ensuring coordination across sub-national and national level.

The outcome will be achieved through:

* Improved capacity to fully understand and utilize the concept of just and inclusive transition at the provincial levels aligned with the potential decarbonization opportunities being pursued at sectoral levels.
* Improved ability to plan, negotiate and support implementation programs/projects through the lens of just and development aspects in energy transition work.
* Improved capacity to incorporate socio-economic co-benefits and inclusive stakeholder engagement into NDC planning and implementation processes, as well as reporting etc. in alignment with cross-ministerial coordination (esp. industry, finance etc.)

## Scope of the grant/funding

The project’s scope will be Just Transition Framework/Criteria development tailored to a provincial context and application across various implementation settings for centralized and decentralized energy planning and programs. In addition, the scope will also include gauging the stakeholder engagement mechanisms and processes and ways of strengthening the same, along with providing capacity building/technical support on potential job and skill gaps and opportunities aligned with local industrial and developmental plans and policies and with climate finance.   
  
The spatial focus will be on two provinces, potentially West Java and East/West Nnusa Tenggara.

## Target beneficiaries

The call for proposals will target preferably two provinces in Indonesia that have previously expressed interest, through parallel programme being carried out by the Danish Energy Agency (DEA) in Indonesia, on low-carbon energy planning/implementation with incorporation of social, developmental, and just considerations – overall supported by the Ministry of Foreign Affairs Denmark. The applicants/Implementing partner should also specify further the potential interest from the provincial governments in such topics, also in line with devolution vis-a-vis the central level. The provinces already have decarbonization plans underway and there is interest to localize the process and maximize development as part of energy transitions.

The target beneficiaries include the provincial government ministries and other agencies responsible for energy transition processes. The project as such will engage with a wider set of stakeholders including but not limited to the electricity utility authorities, national and provincial planning authorities, renewable energy industry associations, vocational training institutions, research centres and think tanks, civil society bodies, among others.

## Activities under grant/funding

The proposed implementation will be divided into two phases. The first phase will start from contract signature and is linked to completion of WP1 which is expected to end by June 2024. The second phase will be linked to implementation of WP2 and 3 and start from July 2024 and is expected to go until December 2025.

The potential applicant will be awarded for Phase 1 and 2. However, signing of Phase 2 will be subject to funds availability and the performance of the implementing partner. Hence, the grant is for Phase 1 and Phase 2, but with potential amendment for Phase 2.

The energy transitions project will encompass the following activities:

**Work Package 1 (Phase I): Baseline Analysis and Conceptual Framing for JET**

**The activities include the following:**  
   
i) Analysis of national and provincial level energy plans/pathways (current and future demand, RE potential), and of critical issues with regard to energy transitions (including institutional, legal, financial, socio-economic, political etc.) and potential opportunities and priorities of the local government with regard sub-sectors (energy-nexus beyond electrification, productive use sectors etc. industry, tourism, transport, agriculture etc).

ii) Critical review of the NDC (from national to local – Climate Change, Net Zero, RUEN, RUED) to assess gaps and opportunities regarding aspects of just transition, skills and capacities, entrepreneurship and SME support, stakeholder inclusion, gender, vulnerable groups, job creation etc. and highlight how these elements are considered in the design, implementation, and monitoring measures, and how these can be strengthened further.

iii) Stakeholder engagement and establishing stakeholder relations for the project design and implementation. Mapping existing partners (domestic and international) and programmes in the provinces and establishing synergies and partnerships for further coordination and complementarity at a provincial level. Developing modalities and practices of working closely with the provincial government focal points, key stakeholders, and local community representatives through the project period and points of continuity for sustainability post-exit period.

iv) Analysis of good regional practices and empirical cases in terms of necessary elements of an inclusive framework to promote and realize energy solutions at local scale and assess existing mechanisms of stakeholder engagement. Incorporate socio-economic and just literature into the analysis, and tailor its applicability in the provincial context. Summarise the past/ongoing concerns and issues raised by stakeholder groups regarding social, developmental and equity aspects of energy transition programs and projects implemented or under implementation at the provincial levels. Critically highlight the key areas requiring further attention.

v) Co-develop - a socio-economic and just transition framework/objective multi-criteria/guidance tool - suitable for sub-national provincial level governance, aligned with the political decisions, governance framework, and cross-ministerial coordination – and supplementing and integrating with the energy modelling tools used by the provinces. Establish a technical working group (TWG) for this and the criteria should cover various phases of energy transition (conceptualization, planning, monitoring, evaluation, and implementation).

**Deliverables for WP1 (Phase I):**

1. Baseline analysis report covering Points i and ii of the WP activities, summarising regional plans, targets, gaps, and opportunities.
2. Provincial level energy transition report covering Points iii and iv reflecting on the literature, on stakeholder perspectives and local provincial experiences in Indonesia.
3. Establishing working relationships with key stakeholders at centre and provinces for the project.
4. Just Transition Multi-Criterial Tool / Framework / Methodology, with advisory support from a TWG – to serve as a decision-making tool for the provinces (potentially with endorsement and governmental buy-in).

**Work Package 2 (Phase II): Tailored JET Framework and Co-developed Stakeholder Engagement Mechanisms**

**The activities include the following:**   
   
i) Testing and piloting the framework/criteria tool across implementation settings at the provincial level and tailoring it further for practical use by the stakeholders and for further inputs into the NDC updation process.

ii) Identify local decarbonization opportunities (sectoral or sub-sectoral) and programmes where the framework could potentially be tested, piloted etc. Analyse how the criteria developed intersects with other localization practices (such as local content requirements, or local employment criteria) already practiced/applied, if any.

iii) Strengthen and co-develop mechanisms for stakeholder engagement and participation across energy planning, implementation, and monitoring processes, attempting to respond to Point IV from WP 1. Identify and co-develop new incentives tied to new RE business and finance opportunities that can leverage on maximising socio-economic co-benefits from energy-nexus projects.

iv) Develop capacity building materials and trainings responding to technical skill gaps, vocational training needs, particularly targeting vulnerable stakeholder groups, but also to strengthen policy capacities of stakeholders across the provincial government.

**Deliverables for WP2 (Phase II):**

1. Application of the framework and its integration into the energy planning, monitoring and implementation process.
2. Short note on decarbonization opportunities and potential for maximising socio-economic co-benefits
3. Developing new stakeholder mechanisms, and incentives in collaboration with the local government – potentially in a concrete practical format as a working group, or a platform etc. and documented.
4. Capacity building materials, trainings developed aligned with the gaps and needs mapped.

**Work Package 3 (Phase II): Capacity Building Support and Policy Recommendations for Integration/Alignment with JET**

**The activities include the following:**

i) Develop roadmaps, policy briefs and recommendation material on localized approaches (including gender-sensitive) as practical reference for policy actors and stakeholder groups covering some of the findings learnt and ensure uptake of the same across other provinces too, through a peer-to-peer learning process. The work could focus on how RE deployment/energy transition can be brought to fruition on the ground, through a process for dialogue and co-creation to facilitate input and ownership of local stakeholders.

ii) Host / organise capacity building sessions, events, and training materials (including handling logistics, venue etc.) targeting different stakeholder groups including building capacities of relevant local stakeholders, to ensure adoption of inclusive strategies and minority considerations in implementation of climate solutions through two workshops with local partners.

iii) Support the provincial governments with concrete inputs into new programmes and/or financial concept notes on just, equity, skill, training, employment, entrepreneurship, inclusion, gender aspects, tied to various sub-sector opportunities and proposals (including transport, tourism, industry/manufacturing etc.).

iv) Scale-up concept note to enable further replication of the project in other provinces, and also to maintain continuity of activities beyond the project period.

**Deliverables for WP3 (Phase II):**

1. Organising and offering in-person events and online (hybrid) capacity building sessions (multiple) for different stakeholder groups, in consultation with the local government and also in collaboration with relevant experts in the field. Peer-to-peer workshops for provinces.
2. Developing policy materials, briefs, recommendations – as needed - in line with abovementioned topics (at least 2 per province).
3. Concrete inputs into programmes, proposals etc. to enable just finance flows
4. Scaled-up concept note.

## Grant/funding available

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### Total amount of grant/funding available

The following table indicates the total amount of grant/funding available under this Call for Proposals.

|  |  |  |
| --- | --- | --- |
| **Currency** | **Amount** | **Amount in words** |
| Phase I [USD] | [25,000] | Twenty-five thousand |
| Phase II [USD] | [75,000] | Seventy-five thousand |

### Grant/funding amount limit per applicant

The following table indicates the limit of grant/funding allowable per applicant under this Call for Proposals.

|  |  |  |
| --- | --- | --- |
| **Currency** | **Amount** | **Amount in words** |
| **USD** | 25000 | Twenty-five thousand |
| **USD** | 75000 | Seventy-five thousand |

### Grant/funding amount limit per applicant per package

See above

## Grant/funding duration

#### The first phase will start from contract signature and is linked to completion of WP1 which is expected to end by **June 2024**. The second phase will be linked to implementation of WP2 and 3 and start from July 2024 and is expected to go until **December 2025.**

**The second phase can only signed upon the satisfactory completion of phase 1 and budget availability.**

#### **Phase I linked to WP 1 ends in June 2024. Phase II linked to WP 2 and WP 3 ends in December 2025.**

The expected duration of the grant/funding is (considering the phases above):

|  |  |  |  |
| --- | --- | --- | --- |
| **YEAR(S)** | [2] | **MONTH(S)** | [4] |

## Applicant eligibility

### Applicant category(ies)

The following categories of applicants are eligible to apply under this Call for Proposals:

|  |  |
| --- | --- |
| * Non-governmental organizations (NGOs) |  |
| * Foundations |  |
| * Civil society organizations (CSO) |  |
| * Institutions or federations |  |
| * Academic and research institutions |  |
|  |  |

### Applicant country of registration and nationality

Applicants that are included or excluded under this Call for Proposals with regard to country of registration and nationality (for individuals) are as follows:

Included countries [Indonesia]

### Additional conditions of ineligibility

The applicant shall not fall under any of the conditions listed in the[Instructions to Applicants](https://content.unops.org/service-Line-Documents/Infrastructure/Grant-Support-Call-for-Proposals-Instructions-to-Applicants_EN.pdf), Article 1, which makes the applicant ineligible for this grant/funding.

## Content of proposal submissions

Applicants shall include the following

#### The templates listed below are relevant for applicants to document their compliance with the evaluation criteria:

#### Annex 1: Technical [Proposal](https://docs.google.com/document/d/1VeXioKpyP0i7pRaaxKipSR--pDOAhAnziLaWF2DiFt4/edit?usp=share_link)

* [Annex 2: Declarations](https://docs.google.com/document/d/1Jn0rRaWAZVDAcpvTmMiGThVJfG8LfnFy_tAGrFmmnB0/edit?usp=share_link)
* [Annex 3: Financial proposal](https://docs.google.com/spreadsheets/d/1b8cYFR5Gwe7uxLBLNNUe-_aKxC0x4qWp2ZgC3J-Wrdg/edit?usp=share_link) (In Excel format)
* Annex 4: Letter of interest from the provincial government (Optional, but desirable)
* Annex 5: registration document to prove the legal status
* Annex 6: List of projects and reports with similar experience in the topics mentioned in this CFP and showcasing the diversity of skills in your organisation (max. 5 and no older than 7 years).

#### The UNOPS team may add any additional information or annexes below that are required by applicants as part of the Proposal or as an additional annex.

#### The UNOPS team may use alternate forms; however, all fields identified in the standard Proposal template and annexes must be covered in any alternate forms used. Sections that are not applicable (e.g., Section 7: Joint venture, consortium or association members, Section 8: Key personnel and Section 9: Curriculum vitae(CV) or resume) may be deleted from the Proposal template.

Applicants must carefully read and understand the[Requirements](#_heading=h.46r0co2) in this Call for Proposals and the [Instructions to Applicants](https://content.unops.org/service-Line-Documents/Infrastructure/Grant-Support-Call-for-Proposals-Instructions-to-Applicants_EN.pdf) before completing the Proposal and Annexes.

## Partial proposals

Partial proposals will not be permitted. Applicants shall submit a proposal for the total scope of the grant/funding and address all of the requirements in this Call for Proposals. Evaluation will be based on compliance with the total requirements.

## Sub-granting[[1]](#footnote-1) and contracting[[2]](#footnote-2)

Sub-granting and contracting are only permitted under this Call for Proposals as follows:

|  |  |
| --- | --- |
| **Sub-granting** | Not Permissible |
| **Contracting** | Permissible |

## Proposal currency

The proposal budget shall be prepared in the following currency(ies):

**USD**

## Language of proposals

All proposals, information, documents and correspondence exchanged between UNOPS and the applicant shall be in:

**English**

## Proposal submission

The deadline for the submission of proposals is **TBD**. Proposals shall be submitted using the following method:

**e-Mail**

Proposals shall be [aliamerah@unops.org](mailto:aliamerah@unops.org)

Refer to Article 10, “Proposal Submission”, of the [Instructions to Applicants](https://content.unops.org/service-Line-Documents/Infrastructure/Grant-Support-Call-for-Proposals-Instructions-to-Applicants_EN.pdf) for details on the specific requirements for proposal submission.

## Type of legal instrument

Identify the applicable legal instrument(s) from the list below and delete any that are inapplicable to this CFP. The UNOPS team should provide the relevant template(s) to the applicants with this CFP.

The applicable legal instrument(s) are identified hereunder.

* Grant Support Agreement

## Contact information

All correspondence, notifications and requests for clarifications in relation to this Call for Proposals shall be sent to:

|  |  |
| --- | --- |
| **Name** | [Ali Amer Taha AL-HINDAWI](mailto:aliamerah@unops.org) |
| Title | Senior Portfolio Officer |
| Email | aliamerah@unops.org |

## Important dates and deadlines

#### This section must include the applicable dates and deadlines for the submission of proposals, requests for clarification and the expected start date. Pre-proposal meetings and site visits are optional and may be deleted, as necessary. Insert additional rows if necessary.

The following tables provide the key dates and deadlines pertaining to this Call for Proposals.

|  |  |  |  |
| --- | --- | --- | --- |
|  | Date | Time | Timezone |
| Submission of proposals | Sep 24, 2023 | [00:00] | [Geneva time] |
| Request for clarification | Sep 4, 2023 | [00:00] | [Geneva time] |
| Expected agreement start date | Oct 15, 2023 | [00:00] | [Geneva time] |

## Additional instructions for applicants

# REQUIREMENTS

## Approach and methodology

##### [Identify the requirements for the approach and methodology to be adopted by the applicant. Explain in detail what is expected of the applicant under this section and what specific areas should be covered. The following cross-cutting areas should be covered in the proposal:

##### Health and Safety Requirements

##### Social and Environmental Requirements (such as gender and social inclusion)

##### Protection from Sexual Exploitation and Abuse Requirements

##### Sustainability Requirements.]

## Implementation Plan requirements

Using the proposed outputs, deliverables and activities, in view of achieving the outcomes of the grant/funding, complete the Implementation Plan. The Implementation Plan should accurately show the sequence and timeframe for the delivery of each activity and output.

[Identify any additional requirements related to the Implementation Plan. Delete this field if not relevant.]

## Implementing Partner Monitoring Plan requirements

Complete the Implementing Partner (IP) Monitoring Plan.

[Identify any additional requirements related to the IP Monitoring Plan to be developed by the applicant. Identify any possible requirements for selected applicants related to monitoring or evaluation to be carried out or administered by the UNOPS or the funding source.]

## Budget requirements

1. Budget Ceiling: [USD 100,000 as indicated in the [Particulars](#_heading=h.3znysh7), if applicable]
2. At a minimum, the budgets must include:
   1. An estimate of direct costs, which include all of the expenses that are required for, and can be tracked directly to, the grant/funding accounts. Direct costs must be broken down by expense subcategory, by expense line item and by year.
   2. A description of assumptions or justifications underlying the estimates
3. The costs will be eligible only if these are incurred for the purpose of this grant/funding and within the duration mentioned in the legal instrument (including any amendments)
4. [There are restrictions on funding the government salaries detailed in Section 6.2 of the OI on Grant Support. These restrictions should be considered while budgeting government salaries, if applicable.]
5. Article II, Section 7, of the Convention on the Privileges and Immunities of the United Nations provides, inter alia, that the United Nations, including UNOPS as a subsidiary organ, is exempt from all direct taxes, except charges for public utility services, and is exempt from customs restrictions, duties, and charges of a similar nature in respect of articles imported or exported for its official use. All proposals shall be submitted net of any direct taxes and any other taxes and duties
6. Grant budgets may include indirect costs up to [xx]% of direct costs. In case of subgrants, indirect costs on the subgrant amount should be calculated and presented separately.

#### Grant budgets may include indirect costs up to 10%. Any deviation must be approved by the Director of Implementation Practices and Standards (IPS).

1. [Include any additional requirements here]

# EVALUATION METHOD AND CRITERIA

Proposals submitted in response to this CFP document shall be evaluated following the cumulative analysis methodology, which consists of the following steps:

1. [Preliminary screening:](#_heading=h.4bvk7pj) This includes an assessment of whether proposals comply with the formal and eligibility criteria stated in [Table 1: Formal and eligibility criteria](#_heading=h.2r0uhxc). All proposals which pass this stage will go through a subsequent evaluation as follows.
2. [Technical evaluation:](#_heading=h.1664s55) This assesses the technical points achieved by each proposal, as per the maximum obtainable points assigned per criteria group in [Table 2.1: Parts of the technical proposal evaluation](#_heading=h.kgcv8k). Only proposals that meet the minimum threshold indicated in [Table 2: Technical criteria](#_heading=h.3q5sasy) shall be considered substantially compliant at this stage. Evaluation of the technical proposals shall be completed prior to opening the financial proposals.
3. [Financial evaluation:](#_heading=h.1baon6m) Financial proposals will only be opened for proposals that have achieved the minimum threshold in the technical evaluation. Financial proposals shall be checked for any mathematical errors in accordance with Article 15, “Minor Informalities, Errors or Omissions” in the [Instructions to Applicants](https://content.unops.org/service-Line-Documents/Infrastructure/Grant-Support-Call-for-Proposals-Instructions-to-Applicants_EN.pdf). The total financial proposal points achieved for each proposal are determined in accordance with [Table 3: Financial criteria](#_heading=h.3vac5uf).
4. Combined analysis: This evaluation will be conducted based on a combined analysis, analyzing all of the relevant costs, risks and benefits for each proposal. The combined analysis includes the scores from both the technical evaluation, including factors such as risks, sustainability, and others, and the financial evaluation, using a predefined weighting method.

The maximum number of points that an applicant may obtain for its proposal are as follows:

* Technical proposal: [80] points
* Financial proposal: [20] points

The maximum total number of points an applicant may obtain for both the technical and financial proposals is 100. The weighting of the technical and financial proposals will be 80:20 – the ratio determined for the technical proposal and the financial proposal.

UNOPS may request clarification or further information in writing from applicants at any point during the evaluation process. In this case, any response from an applicant shall not modify the substance of the proposal, including both the technical and financial aspects of the proposal. UNOPS may use such information to interpret and evaluate the relevant proposal.

The evaluation of a proposal by UNOPS shall be carried out against the evaluation criteria described in the following tables.

#### The criteria included in the following tables are examples of what may be included under each type of criteria. The evaluation shall include formal and eligibility criteria, technical criteria and financial criteria but the specific individual criteria within each of these three areas may be modified, removed or added to as needed for this CFP document.

## Preliminary screening

|  |  |
| --- | --- |
| Table 1 FORMAL AND ELIGIBILITY CRITERIA | |
| Criteria evaluated on a pass/fail basis during the preliminary screening | Documents to establish compliance with the criteria |
| 1. The applicant is eligible as defined in Article 1, “Applicant Eligibility” in the [Instructions to Applicants](https://content.unops.org/service-Line-Documents/Infrastructure/Grant-Support-Call-for-Proposals-Instructions-to-Applicants_EN.pdf). | * Proposal * Annex 1: Declarations |
| 1. The proposal is complete and includes all completed forms and other documentation requested in the [Particulars, ‘Content of proposal submissions’](#_heading=h.1pxezwc). | * All documentation requested in the [Particulars, ‘Content of proposal submissions’](#_heading=h.1pxezwc) |
| 1. The applicant accepts the conditions in the template for agreement, as specified in the [Particulars, ‘Type of legal instrument’](#_heading=h.vx1227). | * Annex 1: Declarations |
| 1. Organisation must demonstrate at least 5 years of proven experience in research, policy analysis in energy transitions, energy finance, socio-economic aspects of transition, gender etc.   Organisation must demonstrate at least 5 years experience in the development and/or coordination of capacity building activities in climate and energy- related topics in Indonesia, and experience with the national and local provincial governments in the same. | * Proposal * List of projects and reports with similar experience in the topics mentioned in this CFP and showcasing the diversity of skills in your organisation. |

## Technical evaluation

|  |  |
| --- | --- |
| Table 2 TECHNICAL CRITERIA | |
| Criteria evaluated based on scoring during the technical evaluation | Documents to establish compliance with the criteria |
| The maximum number of technical points obtainable is detailed in [Table 2.1: Parts of the technical proposal evaluation](#_heading=h.kgcv8k).  To be technically compliant, applicants must obtain a minimum threshold of 70%of the total obtainable points. | * Proposal * Final reports, and/or reference letters from two most recent projects |

|  |  |  |
| --- | --- | --- |
|  | Table 2.1 Parts of the technical proposal evaluation | Obtainable points |
| 1. | Applicant’s capacity and expertise | 20 |
| 2. | Proposed methodology, approach, and implementation plan  **Including Letters of interest (EoI) from provincial governments (relevant authority) – West Java and Nusa Tenggara preferably. ((Optional but desirable)** | 30 |
| 3. | Key personnel proposed | 15 |
| 4. | Past experience | 15 |
| Total technical proposal points | | 80 |

|  |  |  |  |
| --- | --- | --- | --- |
|  | Table 2.1.1 Part 1: Applicant’s capacity and expertise | | |
| No. | Criteria to be evaluated | Documents to establish compliance with the criteria (not exhaustive) | Obtainable points |
| 1.1 | The applicant has the general organizational capability to support effective implementation: management structure; financial stability and project financing capacity; management controls; and the extent to which any work would be sub-granted/contracted. | Copy of audited financial statements for the last 2 years   * Proposal | 4 |
| 1.2 | The applicant has specialized knowledge in energy transition and experience in similar activities on just and inclusion aspects, finance, gender. | * Proposal | 4 |
| 1.3 | The applicant’s existing projects complement this grant support project activity(ies). | * Proposal | 4 |
| 1.4 | The applicant has experience successfully delivering similar grant support project activities during the last 4 year(s) prior to this CFP. | * Proposal | 4 |
| 1.5 | The application has experience of supporting governments at centre / province level and building capacity of stakeholders in climate change | * Proposal | 4 |
| Total points for Part 1 | | | 20 |

|  |  |  |  |
| --- | --- | --- | --- |
|  | Table 2.1.2 Part 2: Proposed methodology, approach and implementation plan | | |
| No. | Criteria to be evaluated | Documents to establish compliance with the criteria (not exhaustive) | Obtainable points |
| 2.1 | The proposal is substantially compliant and does not contain any material deviation(s) from the minimum requirements as stipulated in this CFP document, which indicates the applicant’s understanding of these requirements. | * Proposal | 2 |
| 2.2 | The applicant’s proposed approach and methodology is consistent with the objectives of the project and targeted outcomes of the grant/funding. | * Proposal | 5 |
| 2.3 | Overall implementation plan proposes relevant actions, strategy and tasks clearly linked to the achievement of the desired objectives and outcomes within the identified timelines, including inclusion of verifiable indicators to monitor activities against established objectives and tangible outputs. | * Proposal | 6 |
| 2.4 | The proposal provides a strategy for engaging with the  stakeholders and ensuring that the project outputs are mainstreamed into climate and energy policy planning at the province level. | * Proposal | 6 |
| 2.5 | The proposal satisfactorily demonstrates that the Health, Safety, Social and Environmental (HSSE) requirements in relation to the grant support project activities will be met. | * Proposal | 2 |
| 2.6 | The proposal satisfactorily demonstrates that the Protection from Sexual Exploitation and Abuse (PSEA) requirements in relation to the grant support project activities will be met. | * Proposal | 2 |
| 2.7 | Proposal presents a sound communication and stakeholder engagement strategy and provides a systematic approach to capture, share, and disseminate the knowledge, lessons learned and good practices | Proposal | 5 |
| 2.8 | Both internal and external risks are duly considered and the  proposed mitigation actions are appropriate | Proposal | 2 |
| Total points for Part 2 | | | 30 |

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| --- | --- | --- | --- |
|  | Table 2.1.3 Part 3: Key personnel proposed | | |
| No. | Criteria to be evaluated | Documents to establish compliance with the criteria (not exhaustive) | Obtainable points |
| 3.1 | The composition and structure of the applicant’s proposed team is appropriate and the proposed management roles and other key personnel roles are suitable for the implementation of the grant support project activities. | * Proposal | 7 |
| 3.2 | The applicant describes and justifies its plan for the size and composition of its team. | * Proposal | 4 |
| 3.3 | The qualifications and experience of the proposed key personnel meet the established requirements. Project Coordinator / Focal PointEnergy Specialist (Technical and Policy)Just Energy and Gender Specialist | * Proposal | 4 |
| 3.4 | Fluent in Bahasa Indonesian and support with translation work for the project team | Proposal | 2 |
| Total points for Part 3 | | | 15 |

## Financial evaluation

|  |  |  |  |
| --- | --- | --- | --- |
| Table 3 FINANCIAL CRITERIA | | | |
| Criteria evaluated based on a cumulative analysis methodology during the financial evaluation | | Documents to establish compliance with the criteria | Obtainable points |
| 1. | Total Budget: A maximum of 10 points will be allocated to the lowest total budget. Total budgets of other substantially compliant applicants will be scored according to the following formula:  Points for budget amount =  [lowest total budget amount] x [10] —----------------------------------------------------  [Total budget amount of proposal under evaluation] | * Annex 3: Financial proposal | 10 |
| 2. | Applicant organizations comply with the maximum budgets stipulated in the [Budget requirements](#_heading=h.2dlolyb). | * Annex 3: Financial proposal | 2 |
| 3. | The applicant has provided sufficient justification of budget lines and lump sums. | * Annex 3: Financial proposal | 2 |
| 4. | The allocation of budget among different categories is appropriate, particularly the allocation between activities and the operational budget. | * Annex 3: Financial proposal | 3 |
| 5. | The applicant’s cost estimates and the assumptions made for such estimates are reasonable. | * Annex 3: Financial proposal | 3 |
| Total financial proposal points | | | 20 |

1. Sub-grant is when an entity is selected by the implementing partner to implement activities on behalf of the implementing partner and complies with the same principles as outlined in the UNOPS Operational Instruction on [Grant Support](https://content.unops.org/documents/libraries/policies-2020/operational-directives-and-instructions/management-of-unops-partners-and-resulting-agreements/en/OI.IPS-Grant-Support-2.pdf). [↑](#footnote-ref-1)
2. Contracting is done when an implementing partner procures services, goods or works using the procurement procedures of the IP. [↑](#footnote-ref-2)