# **STANDARD ‘CALL FOR PROPOSALS’ (CFP)**

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| **Overriding Principle**  SGP is calling for proposals based on a new grant making modality to be administered at the global level. This is in addition to similar ‘Call for Proposals’ (CFP) which are undertaken by national level SGP country programmes. The principles under which this CFP will work is based on the interest of fairness, transparency and integrity. This modality is recommended as the most appropriate solicitation method for announcing available grant support under the competitive selection method. The selection will be undertaken by a committee whose decisions will be final. The eligible entities who may wish to pursue further information related to their bids may follow the UNOPS advisory related to this call for proposals.  **Background of GEF SGP**  The GEF Small Grants Programme (SGP) is a corporate programme of the Global Environment Facility (GEF) implemented by the United Nations Development Programme (UNDP) since 1992. SGP grant-making in over 125 countries promotes community-based innovation, capacity development, and empowerment through sustainable development projects of communities’ local civil society organizations with special consideration for indigenous peoples, women, and youth. SGP projects support biodiversity conservation, climate change mitigation and adaptation, prevention of land degradation, protection of international waters, and reduction of the impact of chemicals, within a sustainable development framework that promotes sustainable livelihoods and citizen empowerment.  Under the **7th Operational Phase of SGP, one of the key strategic initiaves is on Chemical and Waste Management as well as on promoting Sustainable Urban Solutions, including plastics management. SGP is working closely with the concerned GEF Secretariat team, UNDP Plastic Offer and other partners on the issue.** Plastics have become an integral part of our daily life: the packaging industry has the most intensive use of plastics, but also agriculture, textiles, transportation and consumer products have a supply chain that is filled with plastic. If we do not reimagine and reset our current consumption patterns and waste management practices, by 2050 there will be about 12 billion tons of plastic litter in landfills and the natural environment.[[1]](#footnote-1) It takes whole-of-society to address one of the most prominent environment and health crises of our time.  Unsustainable practices and behaviors in the production, use and disposal of plastics are fundamental drivers to plastic pollution crisis we are facing. Responding to those practices and behaviors can transform the system towards sustainability. Behaviors are difficult to change. Informed by academic and research on behavior change, there are six strategic levers to shift behaviors: 1) material incentives; 2) rules and regulations; 3) information; 4) context in which choices are made; 5) emotional appeals; 6) social influence.[[2]](#footnote-2)  **Objective**  SGP is looking for a civil society, **non-UN**, non-governmental and non-profit partner, including a university or research institute to collaborate in **providing global technical guidance and support** in the development and implementation of behavior change activities in plastics and waste management. The selected partner organization should have a track record of behavior change research and application. There is a need to transfer global cutting-edge knowledge in this area into national and local actions, and support the experimentation, monitoring and further refining of tools, methodologies and processes of behavior change initiatives. **The selected organization’s proposal is expected to have a global implementation, with particular emphasis in the Global South.**    Grant funding available for the activity is up to a maximum of US$ 150,000, with an expected duration of 18-24 months. **The full grant amount will be awarded to one organization/proposal.** |

**Primary project/program title:**

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| Plastic Waste Management & Behavior Change |

**and/or UNOPS reference number (if applicable):**

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| 1. General instructions for proposal submission |  |  |
| **How to submit?**  Fill out this simple CFP form using the Grant Application template (attached to UNGM post) to assist the submission of a more detailed application. While an application in English will be most appreciated, there are templates available in Spanish or French in case it is necessary for a particular proposal. | | |
| **When to submit?**  Deadline: 15 September 2022, 1700hrs Eastern Time (New York) | | |
| **Where to submit?**  Email: [**KristofferK@unops.org**](mailto:KristofferK@unops.org) subject line: **CFP/Plastic Waste Management & Behavior Change/001** | | |
| **For questions and clarification:**  Please contact **Ms. Sulan Chen at sulan.chen@undp.org** at UNDPfor technical questions, or **Mr. Kristoffer Kappy at** [**KristofferK@unops.org**](mailto:KristofferK@unops.org)at UNOPS for questions on the modality of submission. Requests for clarifications or questions submitted after the deadline of submission will not be considered. | | |

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| 1. Eligibility criteria as per project agreement |  |  |
| **Eligibility Criteria:**  .   * A nonprofit entity (universities, research institutes or non-governmental organizations) with demonstrated expertise and experiences in behavior change research, experiment and practices. ***United Nations organizations and any government/municipal organizations are NOT eligible.*** * Ability to develop tools, training and communications materials to enhance the capacity of partner universities/entities to undertake a coherent behavior change experiment/project. * Experience in organizing training, workshops and meetings to transfer knowledge and facilitate learning and sharing. * Knowledge of plastic and waste management issues, and how human behaviors have affected environmental protection. * **Ability to work with a global diverse team**, and understanding of developing countries’ setting. * Experiences in development and implementation of development projects. * Experiences in plastics/waste management, ocean and chemicals and waste issue areas highly desirable. * Adequate Administrative staff and proven track record in administering similar grants. | | |
| **Other critical considerations.**   * No sub-agreements allowed. * Past performance will be strongly considered when selecting the most suitable proposal. | | |

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| 1. Description of scope of work |  |  |
| **Overall sector / Area of work / Background (for knowledge purposes)**  SGP is developing a global plastics and behavior change program in ten communities and cities in ten countries, with the following targeted behaviors:   * **Government:** improved policy and regulations (bans, import restrictions and fiscal/monetary policies). Organize National Task Forces on Plastics Policy. * **Private Sector:** responsible production, better design and pay for pollution. Plastics data/information (PlasticsApp). Develop Plastics App to analyze sources, quantity, and types of plastics. * **Society**: no littering, improved collection and waste management. Whole of Society Clean-up (an integrated clean-up and waste management plan). Monthly Clean-up Day.   **Further background on the key activities of this overall program:**   * **Long term Clean-up Campaign:** Local to global whole of society clean-up campaign (e.g. clean-up day replicating Rwanda model) that are sustainable and long lasting that lead to behavial change. Aim to remove 100,000 metric tons of plastic waste from the environment. * **Science:** connecting citizen science, universities and global data platform to collect site-specific country based data with global presentation and consolidation, including alternative to plastics (connecting ten national universities with a global research institute in a global platform, connecting citizen science to scientific community) * **Policy:** national policy dialogues linking to global legal instrument negotiation * **Behavior change:** methodology development/implementation, questionnaires and research to monitor behavior change.   **SPECIFIC ACTIVITIES TO BE FUNDED (and must be included in the proposal):**  **The project proposal should include a global implementation plan, with particular emphasis in the Global South, and should cover the key areas of work described below, as they relate to plastic, waste management and behavior change. The specific activities to be carried out within project proposals should be clearly presented in the proposal. These activities can be further elaborated during the project’s planning and inception workshops.**  **Development of a Global Framework Strategy and Plan on Plastics and Behavior Change**   * Develop a global framework Strategy and Plan on plastics and behavior change, including 1) why behavior change is important to addressing plastic pollution crisis; 2) what methodologies and process will be undertaken; 3) how to conduct trainings and capacity development; 4) a work plan to carry out the capacity building and application of theories and methodologies. * Support national teams in reviewing project proposals and ensure the design of projects is sound and scientifically valid to achieve maximum impact in behavior change.   **Technical Guidance Notes, Tools, Trainings and Capacity Development**   * Develop technical guidance notes, tools and materials to enhance national and local capacity in developing and implementing behavior change projects, with a special focus on plastic and waste management. * Develop questionnaires/surveys to identify behavior patterns, measure change overtime, and identify trends, with attribution to project activities in order to identify key drivers of behavior change. * Organize global and regional trainings/workshops to train national teams in behavior change theory and practices.   **Knowledge Management, Learning and Networking**   * Establish a global network of universities, research institutes, and civil society organizations (to be selected by SGP at the national level) and ensure learning and sharing in this network. * Work with national universities/research institutes to produce a global report on the community-based application of behavior change * Host visiting fellows and researchers from developing countries   **Monitoring, Evaluation and Reporting**   * The entity will undertake participatory monitoring and evaluation of the project activities, on the basis of agreed schedules in the memorandum of agreement between it and the grantee. It is expected that the organization/entity will have inbuilt real-time monitoring process (i.e. quarterly) as best designed by them on the basis of the capacity of in-country partners they will be working with and be ready to provide information as requested in an ad hoc basis. * The entity will maintain regular consultation and contact with SGP Technical Advisor regarding the implementation of the project, provide acceptable project and programme level progress (financial and narrative) reports on quarterly basis and prepare a final evaluation report (s) for the period of the agreement. The entity will have a mandatory annual progress reporting to SGP based on an agreed format. The report will reach the SGP global office by end of November. | | |

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| 1. Evaluation process |  |  |
| In line with UNOPS evaluation principles of fairness, transparency and integrity, an independent Grant Evaluation and Selection Committee will be responsible for the review of proposals and the Grantee selection. The review is based on the criteria outlined in the ‘Call for Proposals’ (CFP) and includes an assessment of the grant proposal’s formal, technical and financial aspects. The review should usually include at least two (2) ‘substantially compliant’ proposals and result in the selection of the lowest priced, substantially compliant proposal. Any non-compliant proposal may automatically be eliminated from the evaluation process.  Shortlisted organizations may be invited to make a presentation, and revisions may be required in consultation with UNDP Plastics Task Force and GEF Small Grants Programme. | | |

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| 1. UNOPS Grant Support Agreement |  |  |
| The UNOPS Standard Grant Support Agreement (GSA) containing UNOPS General Conditions for Grant Support  Agreements (Annex D of the UNOPS Grant Support Agreement template). The GSA constitutes an integral part  of this CFP as it is mandatory to accept this agreement with its conditions before submitting a proposal. | | |

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| 1. Interest / Grantee Application template |  |  |
| If your organization is interested in submitting a grant proposal in response to this CFP, please kindly prepare/submit a short ‘Expression of Interest’ statement (as below) prior to completing/submitting the Grantee Application template (herewith attached to UNGM post). | | |

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| My organization \_\_\_\_\_\_\_\_\_\_\_\_\_ is hereby formally interested in the advertised grant program/component and will submit a proposal within the established timeframe. | |
| **Authorized signature:** |  |
| **Title:** |  |
| **Date:** |  |

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1. Geyer, R., Jambeck, J. R., & Law, K. L. 2017. “Production, use, and fate of all plastics ever made.” *Science advances*, *3*(7), e1700782. [↑](#footnote-ref-1)
2. <https://www.thegef.org/sites/default/files/council-meeting-documents/EN_GEF.C.59.STAP_.Inf_.02_Why%20behavior%20change%20matters%20to%20the%20GEF%20and%20what%20to%20do%20about%20it.pdf> [↑](#footnote-ref-2)