

United Nations Development Programme



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REQUEST FOR PROPOSAL

FOR THE PROVISION OF SFD FINANCIAL AND PROCUREMENT CAPACITY BUILDING

RFP No.: RFP-YEM-0007-2022

Project: ESPECRP PROJECT

Country: YEMEN

Issued on: 27 January 2022

Contents

Section 1. Letter of Invitation.....	3
Section 2. Instruction to Bidders.....	5
A. GENERAL PROVISIONS 5	
1. Introduction	5
2. Fraud & Corruption, Gifts and Hospitality.....	5
3. Eligibility	5
4. Conflict of Interests	6
B. PREPARATION OF PROPOSALS 6	
5. General Considerations	6
6. Cost of Preparation of Proposal	6
7. Language	6
8. Documents Comprising the Proposal.....	6
9. Documents Establishing the Eligibility and Qualifications of the Bidder	7
10. Technical Proposal Format and Content.....	7
11. Financial Proposals	7
12. Proposal Security	7
13. Currencies	8
14. Joint Venture, Consortium or Association.....	8
15. Only One Proposal.....	9
16. Proposal Validity Period.....	9
17. Extension of Proposal Validity Period	9
18. Clarification of Proposal.....	9
19. Amendment of Proposals.....	9
20. Alternative Proposals	10
21. Pre-Bid Conference.....	10
C. SUBMISSION AND OPENING OF PROPOSALS 10	
22. Submission.....	10
23. Deadline for Submission of Proposals and Late Proposals	11
24. Withdrawal, Substitution, and Modification of Proposals.....	11
25. Proposal Opening.....	12
D. EVALUATION OF PROPOSALS 12	
26. Confidentiality	12
27. Evaluation of Proposals	12
28. Preliminary Examination	12
29. Evaluation of Eligibility and Qualification.....	12
30. Evaluation of Technical and Financial Proposals.....	13
31. Due Diligence	14

32. Clarification of Proposals	14
33. Responsiveness of Proposal.....	14
34. Nonconformities, Reparable Errors and Omissions	14
E. AWARD OF CONTRACT 15	
35. Right to Accept, Reject, Any or All Proposals	15
36. Award Criteria	15
37. Debriefing	15
38. Right to Vary Requirements at the Time of Award.....	15
39. Contract Signature	15
40. Contract Type and General Terms and Conditions	15
41. Performance Security.....	15
42. Bank Guarantee for Advanced Payment.....	16
43. Liquidated Damages	16
44. Payment Provisions.....	16
45. Vendor Protest	16
46. Other Provisions	16
Section 3. Bid Data Sheet.....	17
Section 4. Evaluation Criteria.....	25
Section 5. Terms of Reference	29
Section 6: Returnable Bidding Forms / Checklist.....	45
form a: technical proposal submission form.....	47
form b: bidder information form.....	49
form c: joint venture/consortium/association information form	50
form d: qualification form	51
form e: format of technical proposal.....	53
form f: financial proposal submission form.....	59
form g: financial proposal form.....	1
form h: form of proposal security	5

SECTION 1. LETTER OF INVITATION

The United Nations Development Programme (UNDP) hereby invites you to submit a Proposal to this Request for Proposal (RFP) for the above-referenced subject.

This RFP includes the following documents and the General Terms and Conditions of Contract which is inserted in the Bid Data Sheet (BDS):

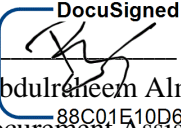
- Section 1: This Letter of Invitation
- Section 2: Instruction to Bidders
- Section 3: Bid Data Sheet (BDS)
- Section 4: Evaluation Criteria
- Section 5: Terms of Reference
- Section 6: Returnable Bidding Forms
 - Form A: Technical Proposal Submission Form
 - Form B: Bidder Information Form
 - Form C: Joint Venture/Consortium/Association Information Form

- Form D: Qualification Form
- Form E: Format of Technical Proposal
- Form F: Financial Proposal Submission Form
- Form G: Financial Proposal Form
- Form H: Form of Proposal Security

If you are interested in submitting a Proposal in response to this RFP, please prepare your Proposal in accordance with the requirements and procedure as set out in this RFP and submit it by the Deadline for Submission of Proposals set out in Bid Data Sheet.

Please acknowledge receipt of this RFP by sending an email to procurement.yemen@undp.org, indicating whether you intend to submit a Proposal or otherwise. You may also utilize the "Accept Invitation" function in eTendering system, where applicable. This will enable you to receive amendments or updates to the RFP. Should you require further clarifications, kindly communicate with the contact person/s identified in the attached Bid Data Sheet as the focal point for queries on this RFP.

UNDP looks forward to receiving your Proposal and thank you in advance for your interest in UNDP procurement opportunities.

Issued by:  DocuSigned by:

Name: Abdulrahman Almekhlafi

Title: Procurement Assistant

Date: **January 27, 2022**

Approved by:  DocuSigned by:

Name: Samira AlFarah

Title: Head of Procurement

Date: **January 27, 2022**

SECTION 2. INSTRUCTION TO BIDDERS

A. GENERAL PROVISIONS

<p><i>1. Introduction</i></p>	<p>1.1 Bidders shall adhere to all the requirements of this RFP, including any amendments in writing by UNDP. This RFP is conducted in accordance with the UNDP Programme and Operations Policies and Procedures (POPP) on Contracts and Procurement which can be accessed at https://popp.undp.org/SitePages/POPPBSUnit.aspx?TermID=254a9f96-b883-476a-8ef8-e81f93a2b38d</p> <p>1.2 Any Proposal submitted will be regarded as an offer by the Bidder and does not constitute or imply the acceptance of the Proposal by UNDP. UNDP is under no obligation to award a contract to any Bidder as a result of this RFP.</p> <p>1.3 As part of the bid, it is desired that the Bidder registers at the United Nations Global Marketplace (UNGM) website (www.ungm.org). The Bidder may still submit a bid even if not registered with the UNGM. However, if the Bidder is selected for contract award, the Bidder must register on the UNGM prior to contract signature.</p>
<p><i>2. Fraud & Corruption, Gifts and Hospitality</i></p>	<p>2.1 UNDP strictly enforces a policy of zero tolerance on proscribed practices, including fraud, corruption, collusion, unethical or unprofessional practices, and obstruction of UNDP vendors and requires all bidders/vendors observe the highest standard of ethics during the procurement process and contract implementation. UNDP's Anti-Fraud Policy can be found at http://www.undp.org/content/undp/en/home/operations/accountability/audit/office_of_audit_andinvestigation.html#anti</p> <p>2.2 Bidders/vendors shall not offer gifts or hospitality of any kind to UNDP staff members including recreational trips to sporting or cultural events, theme parks or offers of holidays, transportation, or invitations to extravagant lunches or dinners.</p> <p>2.3 In pursuance of this policy, UNDP (a) Shall reject a proposal if it determines that the selected bidder has engaged in any corrupt or fraudulent practices in competing for the contract in question; (b) Shall declare a vendor ineligible, either indefinitely or for a stated period of time, to be awarded a contract if at any time it determines that the vendor has engaged in any corrupt or fraudulent practices in competing for, or in executing a UNDP contract.</p> <p>2.4 All Bidders must adhere to the UN Supplier Code of Conduct, which may be found at http://www.un.org/depts/ptd/pdf/conduct_english.pdf</p>
<p><i>3. Eligibility</i></p>	<p>3.1 A vendor should not be suspended, debarred, or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization. Vendors are therefore required to disclose to UNDP whether they are subject to any sanction or temporary suspension imposed by these organizations.</p> <p>3.2 It is the Bidder's responsibility to ensure that its employees, joint venture members, sub-contractors, service providers, suppliers and/or their employees meet the eligibility requirements as established by UNDP.</p>

<p>4. <i>Conflict of Interests</i></p>	<p>4.1 Bidders must strictly avoid conflicts with other assignments or their own interests, and act without consideration for future work. Bidders found to have a conflict of interest shall be disqualified. Without limitation on the generality of the above, Bidders, and any of their affiliates, shall be considered to have a conflict of interest with one or more parties in this solicitation process, if they:</p> <ul style="list-style-type: none"> a) Are or have been associated in the past, with a firm or any of its affiliates which have been engaged by UNDP to provide services for the preparation of the design, specifications, Terms of Reference, cost analysis/estimation, and other documents to be used for the procurement of the goods and services in this selection process; b) Were involved in the preparation and/or design of the programme/project related to the services requested under this RFP; or c) Are found to be in conflict for any other reason, as may be established by, or at the discretion of UNDP. <p>4.2 In the event of any uncertainty in the interpretation of a potential conflict of interest, Bidders must disclose to UNDP, and seek UNDP's confirmation on whether or not such a conflict exists.</p> <p>4.3 Similarly, the Bidders must disclose in their proposal their knowledge of the following:</p> <ul style="list-style-type: none"> a) If the owners, part-owners, officers, directors, controlling shareholders, of the bidding entity or key personnel are family members of UNDP staff involved in the procurement functions and/or the Government of the country or any Implementing Partner receiving services under this RFP; and b) All other circumstances that could potentially lead to actual or perceived conflict of interest, collusion or unfair competition practices. <p>Failure to disclose such an information may result in the rejection of the proposal or proposals affected by the non-disclosure.</p> <p>4.4 The eligibility of Bidders that are wholly or partly owned by the Government shall be subject to UNDP's further evaluation and review of various factors such as being registered, operated and managed as an independent business entity, the extent of Government ownership/share, receipt of subsidies, mandate and access to information in relation to this RFP, among others. Conditions that may lead to undue advantage against other Bidders may result in the eventual rejection of the Proposal.</p>
<p>B. PREPARATION OF PROPOSALS</p>	
<p>5. <i>General Considerations</i></p>	<p>5.1 In preparing the Proposal, the Bidder is expected to examine the RFP in detail. Material deficiencies in providing the information requested in the RFP may result in rejection of the Proposal.</p> <p>5.2 The Bidder will not be permitted to take advantage of any errors or omissions in the RFP. Should such errors or omissions be discovered, the Bidder must notify the UNDP</p>
<p>6. <i>Cost of Preparation of Proposal</i></p>	<p>6.1 The Bidder shall bear any and all costs related to the preparation and/or submission of the Proposal, regardless of whether its Proposal was selected or not. UNDP shall not be responsible or liable for those costs, regardless of the conduct or outcome of the procurement process.</p>
<p>7. <i>Language</i></p>	<p>7.1 The Proposal, as well as any and all related correspondence exchanged by the Bidder and UNDP, shall be written in the language (s) specified in the BDS.</p>
<p>8. <i>Documents</i></p>	<p>8.1 The Proposal shall comprise of the following documents:</p>

<i>Comprising the Proposal</i>	<ul style="list-style-type: none"> a) Documents Establishing the Eligibility and Qualifications of the Bidder; b) Technical Proposal; c) Financial Proposal; d) Proposal Security, if required by BDS; e) Any attachments and/or appendices to the Proposal.
<i>9. Documents Establishing the Eligibility and Qualifications of the Bidder</i>	<p>9.1 The Bidder shall furnish documentary evidence of its status as an eligible and qualified vendor, using the Forms provided under Section 6 and providing documents required in those forms. In order to award a contract to a Bidder, its qualifications must be documented to UNDP's satisfaction.</p>
<i>10. Technical Proposal Format and Content</i>	<p>10.1 The Bidder is required to submit a Technical Proposal using the Standard Forms and templates provided in Section 6 of the RFP.</p> <p>10.2 The Technical Proposal shall not include any price or financial information. A Technical Proposal containing material financial information may be declared non-responsive.</p> <p>10.3 Samples of items, when required as per Section 5, shall be provided within the time specified and unless otherwise specified by UNDP, and at no expense to UNDP</p> <p>10.4 When applicable and required as per Section 5, the Bidder shall describe the necessary training programme available for the maintenance and operation of the services and/or equipment offered as well as the cost to the UNDP. Unless otherwise specified, such training as well as training materials shall be provided in the language of the Bid as specified in the BDS.</p>
<i>11. Financial Proposals</i>	<p>11.1 The Financial Proposal shall be prepared using the Standard Form provided in Section 6 of the RFP. It shall list all major cost components associated with the services, and the detailed breakdown of such costs.</p> <p>11.2 Any output and activities described in the Technical Proposal but not priced in the Financial Proposal, shall be assumed to be included in the prices of other activities or items, as well as in the final total price.</p> <p>11.3 Prices and other financial information must not be disclosed in any other place except in the financial proposal.</p>
<i>12. Proposal Security</i>	<p>12.1 A Proposal Security, if required by BDS, shall be provided in the amount and form indicated in the BDS. The Proposal Security shall be valid up to thirty (30) days after the final date of validity of the Proposal.</p> <p>12.2 The Proposal Security shall be included along with the Technical Proposal. If Proposal Security is required by the RFP but is not found along with the Technical Proposal, the Proposal shall be rejected.</p> <p>12.3 If the Proposal Security amount or its validity period is found to be less than what is required by UNDP, UNDP shall reject the Proposal.</p> <p>12.4 In the event an electronic submission is allowed in the BDS, Bidders shall include a copy of the Bid Security in their proposal and the original of the Proposal Security must be sent via courier or hand delivery as per the instructions in BDS.</p> <p>12.5 The Proposal Security may be forfeited by UNDP, and the Proposal rejected, in the event of any one or combination, of the following conditions:</p> <ul style="list-style-type: none"> a) If the Bidder withdraws its offer during the period of the Proposal Validity specified in the BDS, or; b) In the event that the successful Bidder fails:

	<p>i. to sign the Contract after UNDP has issued an award; or</p> <p>12.6 to furnish the Performance Security, insurances, or other documents that UNDP may require as a condition precedent to the effectivity of the contract that may be awarded to the Bidder.</p>
<p>13. Currencies</p>	<p>13.1 All prices shall be quoted in the currency or currencies indicated in the BDS. Where Proposals are quoted in different currencies, for the purposes of comparison of all Proposals:</p> <p>a) UNDP will convert the currency quoted in the Proposal into the UNDP preferred currency, in accordance with the prevailing UN operational rate of exchange on the last day of submission of Proposals; and</p> <p>b) In the event that UNDP selects a proposal for award that is quoted in a currency different from the preferred currency in the BDS, UNDP shall reserve the right to award the contract in the currency of UNDP's preference, using the conversion method specified above.</p>
<p>14. Joint Venture, Consortium or Association</p>	<p>14.1 If the Bidder is a group of legal entities that will form or have formed a Joint Venture (JV), Consortium or Association for the Proposal, they shall confirm in their Proposal that : (i) they have designated one party to act as a lead entity, duly vested with authority to legally bind the members of the JV, Consortium or Association jointly and severally, which shall be evidenced by a duly notarized Agreement among the legal entities, and submitted with the Proposal; and (ii) if they are awarded the contract, the contract shall be entered into, by and between UNDP and the designated lead entity, who shall be acting for and on behalf of all the member entities comprising the joint venture.</p> <p>14.2 After the Deadline for Submission of Proposal, the lead entity identified to represent the JV, Consortium or Association shall not be altered without the prior written consent of UNDP.</p> <p>14.3 The lead entity and the member entities of the JV, Consortium or Association shall abide by the provisions of Clause 9 herein in respect of submitting only one proposal.</p> <p>14.4 The description of the organization of the JV, Consortium or Association must clearly define the expected role of each of the entity in the joint venture in delivering the requirements of the RFP, both in the Proposal and the JV, Consortium or Association Agreement. All entities that comprise the JV, Consortium or Association shall be subject to the eligibility and qualification assessment by UNDP.</p> <p>14.5 A JV, Consortium or Association in presenting its track record and experience should clearly differentiate between:</p> <p>a) Those that were undertaken together by the JV, Consortium or Association; and</p> <p>b) Those that were undertaken by the individual entities of the JV, Consortium or Association.</p> <p>14.6 Previous contracts completed by individual experts working privately but who are permanently or were temporarily associated with any of the member firms cannot be claimed as the experience of the JV, Consortium or Association or those of its members, but should only be claimed by the individual experts themselves in their presentation of their individual credentials.</p> <p>14.7 JV, Consortium or Associations are encouraged for high value, multi-sectoral requirements when the spectrum of expertise and resources required may not be available within one firm.</p>

<p>15. Only One Proposal</p>	<p>15.1 The Bidder (including the individual members of any Joint Venture) shall submit only one Proposal, either in its own name or as part of a Joint Venture.</p> <p>15.2 Proposals submitted by two (2) or more Bidders shall all be rejected if they are found to have any of the following:</p> <ul style="list-style-type: none"> a) they have at least one controlling partner, director or shareholder in common; or b) any one of them receive or have received any direct or indirect subsidy from the other/s; or c) they have the same legal representative for purposes of this RFP; or d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about, or influence on the Proposal of, another Bidder regarding this RFP process; e) they are subcontractors to each other's Proposal, or a subcontractor to one Proposal also submits another Proposal under its name as lead Bidder; or f) some key personnel proposed to be in the team of one Bidder participates in more than one Proposal received for this RFP process. This condition relating to the personnel, does not apply to subcontractors being included in more than one Proposal.
<p>16. Proposal Validity Period</p>	<p>16.1 Proposals shall remain valid for the period specified in the BDS, commencing on the Deadline for Submission of Proposals. A Proposal valid for a shorter period may be rejected by UNDP and rendered non-responsive.</p> <p>16.2 During the Proposal validity period, the Bidder shall maintain its original Proposal without any change, including the availability of the Key Personnel, the proposed rates and the total price.</p>
<p>17. Extension of Proposal Validity Period</p>	<p>17.1 In exceptional circumstances, prior to the expiration of the proposal validity period, UNDP may request Bidders to extend the period of validity of their Proposals. The request and the responses shall be made in writing, and shall be considered integral to the Proposal.</p> <p>17.2 If the Bidder agrees to extend the validity of its Proposal, it shall be done without any change in the original Proposal.</p> <p>17.3 The Bidder has the right to refuse to extend the validity of its Proposal, and in which case, such Proposal will not be further evaluated.</p>
<p>18. Clarification of Proposal</p>	<p>18.1 Bidders may request clarifications on any of the RFP documents no later than the date indicated in the BDS. Any request for clarification must be sent in writing in the manner indicated in the BDS. If inquiries are sent other than specified channel, even if they are sent to a UNDP staff member, UNDP shall have no obligation to respond or confirm that the query was officially received.</p> <p>18.2 UNDP will provide the responses to clarifications through the method specified in the BDS.</p> <p>18.3 UNDP shall endeavor to provide responses to clarifications in an expeditious manner, but any delay in such response shall not cause an obligation on the part of UNDP to extend the submission date of the Proposals, unless UNDP deems that such an extension is justified and necessary.</p>
<p>19. Amendment of Proposals</p>	<p>19.1 At any time prior to the deadline of Proposal submission, UNDP may for any reason, such as in response to a clarification requested by a Bidder, modify the RFP in the form of an amendment to the RFP. Amendments will be made available to all prospective bidders.</p>

	19.2 If the amendment is substantial, UNDP may extend the Deadline for submission of proposal to give the Bidders reasonable time to incorporate the amendment into their Proposals.
20. Alternative Proposals	<p>20.1 Unless otherwise specified in the BDS, alternative proposals shall not be considered. If submission of alternative proposal is allowed by BDS, a Bidder may submit an alternative proposal, but only if it also submits a proposal conforming to the RFP requirements. UNDP shall only consider the alternative proposal offered by the Bidder whose conforming proposal ranked the highest as per the specified evaluation method. Where the conditions for its acceptance are met, or justifications are clearly established, UNDP reserves the right to award a contract based on an alternative proposal.</p> <p>20.2 If multiple/alternative proposals are being submitted, they must be clearly marked as "Main Proposal" and "Alternative Proposal"</p>
21. Pre-Bid Conference	21.1 When appropriate, a Bidder's conference will be conducted at the date, time and location specified in the BDS. All Bidders are encouraged to attend. Non-attendance, however, shall not result in disqualification of an interested Bidder. Minutes of the Bidder's conference will be disseminated on the procurement website and shared by email or on the e-Tendering platform as specified in the BDS. No verbal statement made during the conference shall modify the terms and conditions of the RFP, unless specifically incorporated in the Minutes of the Bidder's Conference or issued/posted as an amendment to RFP.
C. SUBMISSION AND OPENING OF PROPOSALS	
22. Submission	<p>22.1 The Bidder shall submit a duly signed and complete Proposal comprising the documents and forms in accordance with the requirements in the BDS. The submission shall be in the manner specified in the BDS.</p> <p>22.2 The Proposal shall be signed by the Bidder or person(s) duly authorized to commit the Bidder. The authorization shall be communicated through a document evidencing such authorization issued by the legal representative of the bidding entity, or a Power of Attorney, accompanying the Proposal.</p> <p>22.3 Bidders must be aware that the mere act of submission of a Proposal, in and of itself, implies that the Bidder fully accepts the UNDP General Contract Terms and Conditions.</p>
Hard copy (manual) submission	<p>22.4 Hard copy (manual) submission by courier or hand delivery allowed or specified in the BDS shall be governed as follows:</p> <ul style="list-style-type: none"> a) The signed Proposal shall be marked "Original", and its copies marked "Copy" as appropriate. The number of copies is indicated in the BDS. All copies shall be made from the signed original only. If there are discrepancies between the original and the copies, the original shall prevail. b) The Technical Proposal and the Financial Proposal envelopes MUST BE COMPLETELY SEPARATE and each of them must be submitted sealed individually and clearly marked on the outside as either "TECHNICAL PROPOSAL" or "FINANCIAL PROPOSAL", as appropriate. Each envelope SHALL clearly indicate the name of the Bidder. The outer envelopes shall: <ul style="list-style-type: none"> i. Bear the name and address of the bidder; ii. Be addressed to UNDP as specified in the BDS

	<p>iii. Bear a warning that states “Not to be opened before the time and date for proposal opening” as specified in the BDS.</p> <p>If the envelopes and packages with the Proposal are not sealed and marked as required, UNDP shall assume no responsibility for the misplacement, loss, or premature opening of the Proposal.</p>
Email Submission	<p>22.5 Email submission, if allowed or specified in the BDS, shall be governed as follows:</p> <ul style="list-style-type: none"> a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with different passwords and clearly labelled. The files must be sent to the dedicated email address specified in the BDS. c) The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose Technical Proposal has been found to be technically responsive. Failure to provide correct password may result in the proposal being rejected.
eTendering submission	<p>22.6 Electronic submission through eTendering, if allowed or specified in the BDS, shall be governed as follows:</p> <ul style="list-style-type: none"> a) Electronic files that form part of the proposal must be in accordance with the format and requirements indicated in BDS; b) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE and each of them must be uploaded individually and clearly labelled. d) The Financial Proposal file must be encrypted with a password so that it cannot be opened nor viewed until the password is provided. The password for opening the Financial Proposal should be provided only upon request of UNDP. UNDP will request password only from bidders whose technical proposal has been found to be technically responsive. Failure to provide the correct password may result in the proposal being rejected. c) Documents which are required to be in original form (e.g. Bid Security, etc.) must be sent via courier or hand delivery as per the instructions in BDS. d) Detailed instructions on how to submit, modify or cancel a bid in the eTendering system are provided in the eTendering system Bidder User Guide and Instructional videos available on this link: http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notice/resources/
23. Deadline for Submission of Proposals and Late Proposals	<p>23.1 Complete Proposals must be received by UNDP in the manner, and no later than the date and time, specified in the BDS. UNDP shall only recognize the date and time that the bid was received by UNDP</p> <p>23.2 UNDP shall not consider any Proposal that is submitted after the deadline for the submission of Proposals.</p>
24. Withdrawal,	<p>24.1 A Bidder may withdraw, substitute or modify its Proposal after it has been</p>

<i>Substitution, and Modification of Proposals</i>	<p>submitted at any time prior to the deadline for submission.</p> <p>24.2 Manual and Email submissions: A bidder may withdraw, substitute or modify its Proposal by sending a written notice to UNDP, duly signed by an authorized representative, and shall include a copy of the authorization (or a Power of Attorney). The corresponding substitution or modification of the Proposal, if any, must accompany the respective written notice. All notices must be submitted in the same manner as specified for submission of proposals, by clearly marking them as "WITHDRAWAL" "SUBSTITUTION," or "MODIFICATION"</p> <p>24.3 eTendering: A Bidder may withdraw, substitute or modify its Proposal by Canceling, Editing, and re-submitting the proposal directly in the system. It is the responsibility of the Bidder to properly follow the system instructions, duly edit and submit a substitution or modification of the Proposal as needed. Detailed instructions on how to cancel or modify a Proposal directly in the system are provided in Bidder User Guide and Instructional videos.</p> <p>24.4 Proposals requested to be withdrawn shall be returned unopened to the Bidders (only for manual submissions), except if the bid is withdrawn after the bid has been opened</p>
25. <i>Proposal Opening</i>	<p>25.1 There is no public bid opening for RFPs. UNDP shall open the Proposals in the presence of an ad-hoc committee formed by UNDP, consisting of at least two (2) members. In the case of e-Tendering submission, bidders will receive an automatic notification once their proposal is opened.</p>
D. EVALUATION OF PROPOSALS	
26. <i>Confidentiality</i>	<p>26.1 Information relating to the examination, evaluation, and comparison of Proposals, and the recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process, even after publication of the contract award.</p> <p>26.2 Any effort by a Bidder or anyone on behalf of the Bidder to influence UNDP in the examination, evaluation and comparison of the Proposals or contract award decisions may, at UNDP's decision, result in the rejection of its Proposal and may be subject to the application of prevailing UNDP's vendor sanctions procedures.</p>
27. <i>Evaluation of Proposals</i>	<p>27.1 The Bidder is not permitted to alter or modify its Proposal in any way after the proposal submission deadline except as permitted under Clause 24 of this RFP. UNDP will conduct the evaluation solely on the basis of the submitted Technical and Financial Proposals.</p> <p>27.2 Evaluation of proposals is made of the following steps:</p> <ul style="list-style-type: none"> a) Preliminary Examination b) Minimum Eligibility and Qualification (if pre-qualification is not done) c) Evaluation of Technical Proposals d) Evaluation of Financial Proposals
28. <i>Preliminary Examination</i>	<p>28.1 UNDP shall examine the Proposals to determine whether they are complete with respect to minimum documentary requirements, whether the documents have been properly signed, and whether the Proposals are generally in order, among other indicators that may be used at this stage. UNDP reserves the right to reject any Proposal at this stage.</p>
29. <i>Evaluation of Eligibility and Qualification</i>	<p>29.1 Eligibility and Qualification of the Bidder will be evaluated against the Minimum Eligibility/Qualification requirements specified in the Section 4 (Evaluation Criteria).</p> <p>29.2 In general terms, vendors that meet the following criteria may be considered</p>

	<p>qualified:</p> <ul style="list-style-type: none"> a) They are not included in the UN Security Council 1267/1989 Committee's list of terrorists and terrorist financiers, and in UNDP's ineligible vendors' list; b) They have a good financial standing and have access to adequate financial resources to perform the contract and all existing commercial commitments, c) They have the necessary similar experience, technical expertise, production capacity where applicable, quality certifications, quality assurance procedures and other resources applicable to the provision of the services required; d) They are able to comply fully with UNDP General Terms and Conditions of Contract; e) They do not have a consistent history of court/arbitral award decisions against the Bidder; and f) They have a record of timely and satisfactory performance with their clients.
<p>30. Evaluation of Technical and Financial Proposals</p>	<p>30.1 The evaluation team shall review and evaluate the Technical Proposals on the basis of their responsiveness to the Terms of Reference and other RFP documents, applying the evaluation criteria, sub-criteria, and point system specified in the Section 4 (Evaluation Criteria). A Proposal shall be rendered non-responsive at the technical evaluation stage if it fails to achieve the minimum technical score indicated in the BDS. When necessary and if stated in the BDS, UNDP may invite technically responsive bidders for a presentation related to their technical proposals. The conditions for the presentation shall be provided in the bid document where required.</p> <p>30.2 In the second stage, only the Financial Proposals of those Bidders who achieve the minimum technical score will be opened for evaluation. The Financial Proposals corresponding to Technical Proposals that were rendered non-responsive shall remain unopened, and, in the case of manual submission, be returned to the Bidder unopened. For emailed Proposals and e-tendering submissions, UNDP will not request for the password of the Financial Proposals of bidders whose Technical Proposal were found not responsive.</p> <p>30.3 The evaluation method that applies for this RFP shall be as indicated in the BDS, which may be either of two (2) possible methods, as follows: (a) the lowest priced method which selects the lowest evaluated financial proposal of the technically responsive Bidders; or (b) the combined scoring method which will be based on a combination of the technical and financial score.</p> <p>30.4 When the BDS specifies a combined scoring method, the formula for the rating of the Proposals will be as follows:</p> <div style="border: 1px solid black; padding: 10px; margin-top: 10px;"> <p><u>Rating the Technical Proposal (TP):</u></p> <p>TP Rating = (Total Score Obtained by the Offer / Max. Obtainable Score for TP) x 100</p> <p><u>Rating the Financial Proposal (FP):</u></p> <p>FP Rating = (Lowest Priced Offer / Price of the Offer Being Reviewed) x 100</p> <p><u>Total Combined Score:</u></p> <p>Combined Score = (TP Rating) x (Weight of TP, e.g. 70%) + (FP Rating) x (Weight of FP, e.g., 30%)</p> </div>

<p>31. Due Diligence</p>	<p>31.1 UNDP reserves the right to undertake a due diligence exercise, also called post qualification, aimed at determining to its satisfaction, the validity of the information provided by the Bidder. Such exercise shall be fully documented and may include, but need not be limited to, all or any combination of the following:</p> <ul style="list-style-type: none"> a) Verification of accuracy, correctness and authenticity of information provided by the Bidder; b) Validation of extent of compliance to the RFP requirements and evaluation criteria based on what has so far been found by the evaluation team; c) Inquiry and reference checking with Government entities with jurisdiction on the Bidder, or with previous clients, or any other entity that may have done business with the Bidder; d) Inquiry and reference checking with previous clients on the performance on on-going or contracts completed, including physical inspections of previous works, as necessary; e) Physical inspection of the Bidder's offices, branches or other places where business transpires, with or without notice to the Bidder; f) Other means that UNDP may deem appropriate, at any stage within the selection process, prior to awarding the contract.
<p>32. Clarification of Proposals</p>	<p>32.1 To assist in the examination, evaluation and comparison of Proposals, UNDP may, at its discretion, ask any Bidder for a clarification of its Proposal.</p> <p>32.2 UNDP's request for clarification and the response shall be in writing and no change in the prices or substance of the Proposal shall be sought, offered, or permitted, except to provide clarification, and confirm the correction of any arithmetic errors discovered by UNDP in the evaluation of the Proposals, in accordance with RFP.</p> <p>32.3 Any unsolicited clarification submitted by a Bidder in respect to its Proposal, which is not a response to a request by UNDP, shall not be considered during the review and evaluation of the Proposals.</p>
<p>33. Responsiveness of Proposal</p>	<p>33.1 UNDP's determination of a Proposal's responsiveness will be based on the contents of the Proposal itself. A substantially responsive Proposal is one that conforms to all the terms, conditions, TOR and other requirements of the RFP without material deviation, reservation, or omission.</p> <p>33.2 If a Proposal is not substantially responsive, it shall be rejected by UNDP and may not subsequently be made responsive by the Bidder by correction of the material deviation, reservation, or omission.</p>
<p>34. Nonconformities, Reparable Errors and Omissions</p>	<p>34.1 Provided that a Proposal is substantially responsive, UNDP may waive any non-conformities or omissions in the Proposal that, in the opinion of UNDP, do not constitute a material deviation.</p> <p>34.2 UNDP may request the Bidder to submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities or omissions in the Proposal related to documentation requirements. Such omission shall not be related to any aspect of the price of the Proposal. Failure of the Bidder to comply with the request may result in the rejection of its Proposal.</p> <p>34.3 For Financial Proposal that has been opened, UNDP shall check and correct arithmetical errors as follows:</p> <ul style="list-style-type: none"> a) if there is a discrepancy between the unit price and the line item total that

	<p>is obtained by multiplying the unit price by the quantity, the unit price shall prevail and the line item total shall be corrected, unless in the opinion of UNDP there is an obvious misplacement of the decimal point in the unit price; in which case the line item total as quoted shall govern and the unit price shall be corrected;</p> <p>b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and</p> <p>c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail.</p> <p>34.4 If the Bidder does not accept the correction of errors made by UNDP, its Proposal shall be rejected.</p>
E. AWARD OF CONTRACT	
35. <i>Right to Accept, Reject, Any or All Proposals</i>	35.1 UNDP reserves the right to accept or reject any Proposal, to render any or all of the Proposals as non-responsive, and to reject all Proposals at any time prior to award of contract, without incurring any liability, or obligation to inform the affected Bidder(s) of the grounds for UNDP's action. UNDP shall not be obliged to award the contract to the lowest priced offer.
36. <i>Award Criteria</i>	36.1 Prior to expiration of the proposal validity, UNDP shall award the contract to the qualified Bidder based on the award criteria indicated in the BDS.
37. <i>Debriefing</i>	37.1 In the event that a Bidder is unsuccessful, the Bidder may request a debriefing from UNDP. The purpose of the debriefing is to discuss the strengths and weaknesses of the Bidder's submission, in order to assist the Bidder in improving its future proposals for UNDP procurement opportunities. The content of other proposals and how they compare to the Bidder's submission shall not be discussed.
38. <i>Right to Vary Requirements at the Time of Award</i>	38.1 At the time of award of Contract, UNDP reserves the right to vary the quantity of services and/or goods, by up to a maximum twenty-five per cent (25%) of the total offer, without any change in the unit price or other terms and conditions.
39. <i>Contract Signature</i>	39.1 Within fifteen (15) days from the date of receipt of the Contract, the successful Bidder shall sign and date the Contract and return it to UNDP. Failure to do so may constitute sufficient grounds for the annulment of the award, and forfeiture of the Proposal Security, if any, and on which event, UNDP may award the Contract to the Second Ranked Bidder or call for new Proposals.
40. <i>Contract Type and General Terms and Conditions</i>	40.1 The types of Contract to be signed and the applicable UNDP Contract General Terms and Conditions, as specified in BDS, can be accessed at http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html
41. <i>Performance Security</i>	41.1 40.1 A performance security, if required in BDS, shall be provided in the amount specified in BDS and form available at https://popp.undp.org/_layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Solicitation_Performance%20Guarantee%20Form.docx&action=default within fifteen (15) days of the contract signature by both parties. Where a performance security is required, the receipt of the

	performance security by UNDP shall be a condition for rendering the contract effective.
42. <i>Bank Guarantee for Advanced Payment</i>	42.1 Except when the interests of UNDP so require, it is UNDP's preference to make no advance payment(s) (i.e., payments without having received any outputs). If an advance payment is allowed as per BDS, and exceeds 20% of the total contract price, or USD 30,000, whichever is less, the Bidder shall submit a Bank Guarantee in the full amount of the advance payment in the form available at https://popp.undp.org/layouts/15/WopiFrame.aspx?sourcedoc=/UNDP_POPP_DOCUMENT_LIBRARY/Public/PSU_Contract%20Management%20Payment%20and%20Taxes_Advanced%20Payment%20Guarantee%20Form.docx&action=default
43. <i>Liquidated Damages</i>	43.1 If specified in BDS, UNDP shall apply Liquidated Damages resulting from the Contractor's delays or breach of its obligations as per the Contract.
44. <i>Payment Provisions</i>	44.1 Payment will be made only upon UNDP's acceptance of the work performed. The terms of payment shall be within thirty (30) days, after receipt of invoice and certification of acceptance of work issued by the proper authority in UNDP with direct supervision of the Contractor. Payment will be effected by bank transfer in the currency of contract.
45. <i>Vendor Protest</i>	45.1 UNDP's vendor protest procedure provides an opportunity for appeal to those persons or firms not awarded a contract through a competitive procurement process. In the event that a Bidder believes that it was not treated fairly, the following link provides further details regarding UNDP vendor protest procedures: http://www.undp.org/content/undp/en/home/operations/procurement/business/protest-and-sanctions.html
46. <i>Other Provisions</i>	<p>46.1 In the event that the Bidder offers a lower price to the host Government (e.g. General Services Administration (GSA) of the federal government of the United States of America) for similar services, UNDP shall be entitled to same lower price. The UNDP General Terms and Conditions shall have precedence.</p> <p>46.2 UNDP is entitled to receive the same pricing offered by the same Contractor in contracts with the United Nations and/or its Agencies. The UNDP General Terms and Conditions shall have precedence.</p> <p>46.3 The United Nations has established restrictions on employment of (former) UN staff who have been involved in the procurement process as per bulletin ST/SGB/2006/15 http://www.un.org/en/ga/search/view_doc.asp?symbol=ST/SGB/2006/15&referer</p>

SECTION 3. BID DATA SHEET

The following data for the services to be procured shall complement, supplement, or amend the provisions in the Request for Proposals. In the case of a conflict between the Instructions to Bidders, the Data Sheet, and other annexes or references attached to the Data Sheet, the provisions in the Data Sheet shall prevail.

BDS No.	Ref. to Section.2	Data	Specific Instructions / Requirements
1	7	Language of the Proposal	English
2		Submitting Proposals for Parts or sub-parts of the TOR (partial bids)	Allowed since there are two LOTS , so Bidder can submit for LOT 1 And LOT 2 or one of them.
3	20	Alternative Proposals	Shall not be considered
4	21	Pre-proposal conference	<p>Will be Conducted</p> <p>Interested bidders invited for pre-bid meeting to answer all the clarification request.</p> <p>Time: At 11:00 hour GMT+3 (Sana'a Time)</p> <p>Date: February 2, 2022 11:00 AM</p> <p>Venue: Virtual meeting via zoom</p> <p>The zoom link is below:</p> <p>Join Zoom Meeting</p> <p>https://undp.zoom.us/j/86862647686?pwd=TmVHQjNSN09SbXpOSzJlZlI3eEJlQT09</p> <p>Meeting ID: 868 6264 7686</p> <p>Passcode: 946713</p> <p>One tap mobile</p> <p>+13126266799,,86862647686#,,, *946713# US (Chicago)</p> <p>+13462487799,,86862647686#,,, *946713# US (Houston)</p> <p>Dial by your location</p> <p>+1 312 626 6799 US (Chicago)</p> <p>+1 346 248 7799 US (Houston)</p> <p>+1 646 558 8656 US (New York)</p> <p>+1 669 900 9128 US (San Jose)</p> <p>+1 253 215 8782 US (Tacoma)</p> <p>+1 301 715 8592 US (Washington DC)</p> <p>+54 341 512 2188 Argentina</p> <p>+54 343 414 5986 Argentina</p> <p>+54 112 040 0447 Argentina</p> <p>+54 115 983 6950 Argentina</p> <p>+61 8 7150 1149 Australia</p> <p>+61 2 8015 6011 Australia</p> <p>+61 3 7018 2005 Australia</p> <p>+61 7 3185 3730 Australia</p> <p>+61 8 6119 3900 Australia</p> <p>+43 72 011 5988 Austria</p> <p>+43 120 609 3072 Austria</p> <p>+43 12 535 501 Austria</p>

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Passcode: 946713

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5	10	Proposal Validity Period	<p>90 days</p> <p>In exceptional circumstances, UNDP may request the Vendor to extend the validity of the Quotation beyond what has been initially indicated in this RFP. The Proposal shall then confirm the extension in writing, without any modification whatsoever in the Quotation.</p>
6	14	Bid Security	<p>Required in the amount of USD 2,000</p> <p>Acceptable Forms of Bid Security</p> <p>Bank Guarantee (See Section 8 for template)</p> <p>Any Bank-issued Check / Cashier's Check / Certified Check</p> <p>Validity of proposal security= 120 days (90 days proposal validity + 30 days).</p> <p>Note: Due to the ongoing global pandemic (COVID19) the soft copy(PDF) of the bid security is acceptable. It should be included in Technical Proposal</p>
7	41	Advanced Payment upon signing of contract	Not Allowed
8	42	Liquidated Damages	<p>Will be imposed as follows:</p> <p>0.5% penalty against the total Contract/PO value for each day of delay caused beyond the contract milestone delivery dates; When the penalty amount reaches up to a maximum of 10% of the total PO amount, UNDP may cancel the Contract/PO. When such delays occur frequently, UNDP reserves the right to terminate the LTA or contract at its own discretion.</p>

9	40	Performance Security	Not Required
10	18	Currency of Proposal	<p>United States Dollar OR other currency at the discretion of the bidder. The contract will be signed in the currency in which bidder has submitted their bid.</p> <p><i>UNDP will use the UN Operational exchange rate prevailing on the last day of bid closure, for converting the currency for the bid comparison purpose.</i></p>
11	31	Deadline for submitting requests for clarifications/ questions	7 days days before the submission deadline
12	31	Contact Details for submitting clarifications/questions	<p>Focal Person: Procurement unit</p> <p>Email address: procurement.yemen@undp.org</p> <p>Any delay in UNDP's response shall be not used as a reason for extending the deadline for submission, unless UNDP determines that such an extension is necessary and communicates a new deadline to the Proposers.</p>
13	18, 19 and 21	Manner of Disseminating Supplemental Information to the RFP and responses/clarifications to queries	<p>Posted directly to eTendering</p> <p>A bid bulletin will be prepared and uploaded into the e-Tendering portal . It is the responsibility of the bidders to view the respective changes and clarifications in the following system, but not limited to, as follows:</p> <p>https://etendering.partneragencies.org</p>
14	23	Deadline for Submission	As indicated in eTendering system. Note that system time zone is in EST/EDT (New York) time zone.
14	22	Allowable Manner of Submitting Proposals	<p>As prescribed in e-Tendering Event ID</p> <p>Also refer to this link:</p> <p>http://www.undp.org/content/undp/en/home/operations/procurement/business/procurement-notice/resources/</p> <p>Any email or hard-copy submission or email submission will be rejected without assigning any reason whatsoever</p>
15	22	Proposal Submission Address	<p>https://etendering.partneragencies.org</p> <p>YEM10 and Event ID number: 0000011450</p>
16	22	Electronic submission (email or eTendering) requirements	<ul style="list-style-type: none"> ▪ Password for financial proposal must not be provided to UNDP until requested by UNDP ▪ Proposal Bid Security PDF copy should be sent to e-Tendering portal. Note: The bidder may request to provide original bid security during the evaluation process.

			<ul style="list-style-type: none"> ▪ All files must be free of viruses and not corrupted. ▪ Format: PDF files only ▪ File names must be maximum 60 characters long and must not contain any letter or special character other than from Latin alphabet/keyboard. ▪ All files must be free of viruses and not corrupted. ▪ Max. File Size per transmission: 5MB <p>If you are uploading a large number of files (ex. 15 or more), please zip the files into a ZIP/rar folder and upload the folder instead of each file individually. You can upload several ZIP/rar folders, but if you do this, Mandatory subject of email: RFP-YEM-0007-2022.</p>
17	27 36	Evaluation Method for the Award of Contract	<p>Combined Scoring Method, using the 70%-30% distribution for technical and financial proposals respectively</p> <p>The minimum technical score required to pass is 70%.</p> <p>The bidders are required to prepare technical and financial proposals separately, one each for technical and financial through the e-Tendering Process. The minimum pass mark in the technical evaluation is 70%. The financial proposal MUST be encrypted by password and the password ONLY given to UNDP when it requests officially.</p> <p>The interested firms/entities should submit their proposals to the UNDP YEMEN using the e-Tendering Portal.</p> <p>Evaluation Criteria:</p> <p>The Request for Proposal (RFP) evaluation is comprised of the following main parts:</p> <p><u>(Preliminary Examination)</u></p> <p>Upon the expiration of the RFP submission deadline, UNDP will only contact those applicant who have submitted the proposals that meet the following basic criteria:</p> <ul style="list-style-type: none"> - offer submitted before deadline. - Proposal received (Technical and financial) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with passwords and clearly labelled. The bidder who disclose their financial proposal will be DISQUALIFIED, at this stage, from further evaluation process. - The vendor proposal meets the bid validity period. - The bid security is provided and meet the bid security validity period; bidder failed to meet the condition will be DISQUALIFIED from further evaluation process. <p><u>Technical Evaluation:</u></p> <p>The Technical evaluation will evaluate the proposal using the following documents and technical evaluation Criteria:</p>

			<p>a) Form A: Technical Proposal Submission Form b) Form B: Bidder Information Form c) Form C: Joint Venture/Consortium/ Association Information Form d) Form D: Qualification Form e) Form E: Format of Technical Proposal f) Form F: Financial proposal submission Form g) Form G: Financial proposal Form</p> <p><u>Summary of technical evaluation score</u> Expertise of the firm = 30% Proposed Methodology and Approach = 40% Management Structure and Key Personnel= 30% Total : 100% Note: for more technical evaluation detail scoring matrix please refer to the attached TOR.</p>
18		Expected date for commencement of Contract	March 15, 2022
19		Maximum expected duration of contract	6 months
20	35	UNDP will award the contract to:	<p>One or more Proposers, depending on the following factors :</p> <p>Highest Combined Scorer Per Lot. In case only one company happens to be the highest scorer for both the Lots, both contracts will be awarded to one company.</p>
21	39	Type of Contract	Contract for Services to UNDP and Purchase Orders or both depending on the value
22	39	UNDP Contract Terms and Conditions that will apply	<p>UNDP General Terms and Conditions for Goods and Services</p> <p>http://www.undp.org/content/undp/en/home/procurement/business/how-we-buy.html</p>
23		Other Information Related to the RFP	<p>a) This RFP is issued for International AND National companies to send their proposal;</p> <p>b) Bidder's qualification, capacity and experience, Proposed Methodology, Approach and Implementation Plan AND Management Structure and Key Personnel's CV should be submitted as the main document for the score calculation;</p> <p>c) Copy of the Bid Security must be submitted with the Technical proposal, failing which bid will be disqualified at the outset during the preliminary evaluation stage;</p> <p>d) Each Lot will be evaluated separately.</p>

SECTION 4. EVALUATION CRITERIA

Preliminary Examination Criteria

Proposals will be examined to determine whether they are complete and submitted in accordance with RFP requirements as per below criteria on a Yes/No basis:

- Appropriate signatures
- Minimum documents provided (Business Registration documents, Company Profile, previous contracts and required CVs)
- Proposal received (Technical and financial) The Technical Proposal and the Financial Proposal files MUST BE COMPLETELY SEPARATE. The financial proposal shall be encrypted with passwords and clearly labelled. The bidder who disclose their financial proposal will be DISQUALIFIED from further evaluation process
- Bid Validity
- Bid Security submitted as per RFP requirements with compliant validity period

Minimum Eligibility and Qualification Criteria

Eligibility and Qualification will be evaluated on Pass/Fail basis.

If the Proposal is submitted as a Joint Venture/Consortium/Association, each member should meet minimum criteria, unless otherwise specified in the criterion.

Subject	Criteria	Document Submission requirement
ELIGIBILITY		
Legal Status	Vendor is a legally registered entity.	Form B: Bidder Information Form
Eligibility	Vendor is not suspended, nor debarred, nor otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization in accordance with ITB/RFP clause 3.	Form A: Technical Proposal Submission Form
Conflict of Interest	No conflicts of interest in accordance with ITB/RFP clause 4.	Form A: Technical Proposal Submission Form
Bankruptcy	Not declared bankruptcy, not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against the vendor that could impair its operations in the foreseeable future.	Form A: Technical Proposal Submission Form
QUALIFICATION		
History of Non-Performing Contracts¹	Non-performance of a contract did not occur as a result of contractor default for the last 3 years.	Form D: Qualification Form
Litigation History	No consistent history of court/arbitral award decisions against the Bidder for the last 3 years.	Form D: Qualification Form
Previous Experience	5 years of relevant experience. (for more details please see attached TOR).	Form D: Qualification Form

¹ Non-performance, as decided by UNDP, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

	Minimum 3 similar contracts over the last 5 years <i>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</i>	Form D: Qualification Form
Financial Standing	Audited Financial statement for the last 2 years ; Income and Expenditure Report (optional) <i>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</i>	Form D: Qualification Form
	Bidder must demonstrate the current soundness of its financial standing and indicate its prospective long-term profitability. <i>(For JV/Consortium/Association, all Parties cumulatively should meet requirement).</i>	Form D: Qualification Form
	Ability to Submit Bid Security .	

TECHNICAL SCORING CRITERIA

Summary of Technical Proposal Evaluation Forms		Points Obtainable
1.	Bidder's qualification, capacity and experience	300
2.	Proposed Methodology, Approach and Implementation Plan	400
3.	Management Structure and Key Personnel	300
	Total	1,000

Section 1. Bidder's qualification, capacity and experience		Points obtainable
1.1	<p>Reputation of Organization and Staff Credibility / Reliability / Industry Standing</p> <ul style="list-style-type: none"> Proven track record, of at least 5 years and established reputation in conducting training as per the requirements set out in the TOR which is part of this RFP Background: Provide a brief description of the organization submitting the proposal, including if relevant years of experience, staff attributes, organization and country of incorporation, types of activities undertaken etc. 	50
1.2	<p>General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted</p> <ul style="list-style-type: none"> Technical capacity – a legacy and solid reputation in conducting procurement and financial capacity building training (previous training report could be provided); Management structure and its suitability to deliver the TOR; Track Record including client satisfaction from the previously delivered relevant contracts 	90
1.3	<p>Relevance of specialized knowledge and experience on similar engagements done in the region/country</p> <ul style="list-style-type: none"> Experts with several years of demonstrated experience in Capacity Building History of successfully carrying out similar, high-quality studies, involving field research on human beings, using mixed methods. Specific experience in Yemen, experience in Arab Region with countries ravaged by war 	100
1.4	<p>Quality assurance procedures and risk mitigation measures</p> <p>Relevance and reliability of proposed Quality Assurance and Risk Mitigation approach (Bidders are required to explain, not exceeding in one pager, what quality assurance would be necessary, what risks they foresee and how would they manage it. This shall be included into the technical proposal)</p>	60
Total Section 1		300

Section 2. Proposed Methodology, Approach and Implementation Plan			Points obtainable
2.1	Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the different components of the project adequately weighted relative to one another?		50
2.2	Description of the approach and methodology for meeting or exceeding the requirements of the Terms of Reference. In this study, detailing how they will collect data from all of Yemen is essential to obtain maximum points		100
2.3	Details on how the different service elements shall be organized, controlled and delivered		100
2.4	Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement		50
2.5	Work plan proposed including whether the activities are properly sequenced and if these are logical and realistic		50
2.6	Demonstration of ability to plan, integrate and effectively implement sustainability measures in the execution of the contract		50
Total Section 2			400

Section 3. Management Structure and Key Personnel			Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?		100
3.2	Qualifications of key personnel proposed		200
3.2 A	Team Leader		
	Required qualification LOT 1 : Masters' degree in Procurement or related field of study Required qualification LOT 2 : Masters' degree in finance management, accounting, or related field.	50	
	Experience LOT 1 : Specific Experience relevant to the assignment: A minimum experience of 10 years of work proven experience at national or international level in procurement, procurement systems and procurement risk analysis. Experience LOT 2 : Specific Experience relevant to the assignment: A minimum experience of 10 years of work proven experience at national or international level in in finance, financial risk management and fund accounting and related bases of accounting and auditing.	50	
3.2 B	Procurement specialist for LOT 1 Finance Analyst for LOT 2	50	

	Qualification: LOT 1 : Bachelor in procurement Qualification LOT 2 : Bachelor in Finance and accounting analysis	25	
	Years of Experience: 5 years of experience in the required areas.	25	
3.2.C	Procurement Risk Management Specialist LOT 1 Financial Risk Management Specialist LOT 2	50	
	Qualification: LOT 1 Bachelor's degree in Procurement and related fields. Qualification LOT 2 Bachelor's degree in financial risk management or related fields.	25	
	Experience LOT 1 : 5 years of experience in assessing risks involved in procurement management and establishing robust tracking systems in this regards. Experience LOT2 : 5 years of experience in assessing risks involved in financial management and establishing robust tracking systems in this regards.	25	
Total Section 3			300

SECTION 5. TERMS OF REFERENCES

Terms of Reference (ToR) **LOT 1**

SFD Procurement Capacity Building

2022

Background

The protracted conflict has made Yemen one of the poorest and most food insecure countries in the world. The conflict has destroyed livelihoods and the ability to purchase food. It has also caused major disruptions in the food supply chain and increases in the price of imported foods, which Yemen is heavily reliant on, resulting in substantial increases in food prices. About two-thirds of the population are food insecure with ten million are at risk of famine, and two million children require treatment for acute malnutrition. Most of the population also lacks access to basic services such as education, health services, water, and sanitation. This is being compounded by the impact of climate-related shocks, including the desert locust plague and recent floods. In addition, the COVID-19 pandemic is also poised to have a devastating effect on an already devastated economy and impoverished population. In response to the protracted conflict and crisis the Yemen Social Protection Enhancement and COVID-19 Response Project (ESPECRP) aims to provide nutrition-sensitive cash transfers, temporary employment, and increased access to basic services and economic opportunities to food-insecure populations affected by COVID-19, Yemen's conflict, and climate-related shocks, as well as to strengthen the capacity of national service delivery institutions. The project is implemented through the same national Responsible Parties (RPs) that implemented the Yemen Emergency Crisis Response Project (ECRP): Social Fund for Development (SFD), the Small and Micro Enterprise Promotion Service (SMEPs) and the Public Works Project (PWP). The project aims to achieve the following results: Enhanced protection of households most at risk of malnutrition with short term and partial complementary services; Enhanced protection of vulnerable working people with temporary employment opportunities; Increased access to basic services and food with improved community assets; and Sustainable economic opportunities generated with increased access to financial and food markets.

Under ESPECRP, a key delivery is building the capacities of SFD, PWP and SMEPs in different priority areas that have been identified through capacity assessments conducted by the World Bank as well as feedback from previous audits and micro-assessment reports prepared through UNDP. Accordingly, a capacity building action plan was developed for each RP and this ToR is intended to strengthen SFD's Procurement capacities as one of the identified priorities cleared by the World Bank and UNDP.

Objective of the project

UNDP is seeking qualified procurement consulting firms or specialized capacity development companies to do the following:

Procurement Manuals:

1. Study and update SFD's Procurement Manual in comparison with donors' recent procurement regulations/requirements, international standard and fulfil SFD's projects needs and donors' requirements updates, with focusing on conflict and emergency situations.
2. Refreshment training on the internationally accepted procurement standards, mainly of the World Bank and the UNDP.

Electronic System:

1. Assess SFD's Procurement System within MIS and suggest an action plan for improving and modernizing it for the use of e-procurement, taking into consideration the international

experience in this field for such system to be high secured and efficient to carry out the procurement process in sustainable manner.

2. Assess current Contract Management System and develop an action plan for improving the system, then training the staff on the improved system.
3. Assess the different Procurement Data Basis (for contractor, suppliers and non-consultant and consultants' services.), and suggest an action plan for improvement.

Scope and key tasks

Procurement Manuals:

In order to achieve the assignment objectives stated above the following activities and tasks shall be carried out in a comprehensive manner:

1. The firm shall study in depth SFD procurement manual, relevant manuals/guidelines (community contracting manual, on site management mechanism, labor intensive works program manual, Procurement Procedures for Re-construction and Procurement Manual under Emergency Situations.), as well as all available data, documents in use in the procurement process. Such study shall specially emphasis the compatibility of the present procedures, practices, regulations, manuals etc. to the requirements and the recent procurement regulations/guidelines of the various donors especially the World Bank as well as their adequacy to the current and future SFD needs (in a harmonized manner acceptable to all donors). This detailed survey, review study etc. of the existing situation is a pre-requisite to any amendments, updating, changes to be recommended and made by the firm concerning the procurement manual which will be reflected into the bidding procedures, bidding documents... etc.
2. The firm will conduct refreshment training sessions/courses for the SFD procurement staff covering the following topics:
 - a. The recent World Bank updated regulations especially in the area of emergency and fragile situations.
 - b. World Bank's and UNDP's updated Standard Procurement Documents.
 - c. Framework agreement types and procedures and the use of its Standard Procurement Documents.
 - d. Training to contract management improvement; and
 - e. Procurement strategy, including market research and analysis in accordance with recent donors' requirements and SFD projects needs in light of using SFD's procurement and work mechanisms and its regulations and procedures (community contracting manual, on site management mechanism, labor intensive works programme manual, and Procurement Procedures for Re-construction and Procurement Manual under Emergency Situations,etc.); and
 - f. Training on handling complaint mechanism including handling international arbitration.
3. The firm shall conduct the following:
 - a. Study the World Bank's, UNDP's and other donors' related manuals/regulations and documents as well as SFD related procurement and mechanism literature.
 - b. Accordingly, prepare the training material which include case studies as a practice for the procurement/contract management process with focusing on the different types of framework agreement and the procurement in emergency and fragile situations
 - c. Submit the training material to UNDP, WB and SFD prior to the training in sufficient time for approval.
 - d. Issuance the training compilation certificate for the trainees who fulfill the training requirements.

- e. Preparing the appropriate tools /way to assess the extent of benefit obtained by the trainees in the end of the training sessions.
 - f. Design an evaluation form to be submitted to UNDP, WB and SFD, which will be filled out by the trainees to evaluate the training sessions at the end of training.
4. The training should be carried out in accordance with the international standards.

Electronic System:

1. Conduct an assessment for the readiness of the existing internal procurement electronic system, which is a part of the MIS system to develop/use of e-procurement system and recommend the required actions to develop the system. For this assessment, the firm should conduct direct interviews, meetings, discussions, etc. with the related units like Procurement Unit (PU) and Information Technology unit (IT), Technical Unit (TU), Finance Unit and Internal Auditing Unit. The consultant must take into consideration for the assessment the following:
 - a. The international experience in this field for the system to be high secured and efficient to carry out the procurement process in sustainable manner from the beginning to the end of the procurement process.
 - b. The e-procurement systems should be able to conduct the procurement process for all types of procurement and all its methods.
 - c. Studying and propose how can incentive the service providers to participate in and use the e-procurement in future.
 - d. Studying the steps of at least one operation from each method for all types of procurement to raise the awareness of the consultant about how the procurement process conducted in SFD.
 - e. Preparing users handbook/guidelines to guide the staff on using e-procurement.
 - f. Designing initial prototype of e-procurement system with Dataflow diagram for e-procurement process.
 - g. The staff working in this assignment must be from the main high-qualified staff of the firm with excellent experience in the field of design of e-procurement.
 - h. Ensuring reasonable access to, and quality of e-services and their sustainable development and maintenance.
 - i. Ensuring to be connected and easy to use by all SFD branch offices within their authorization.
 - j. E-procurement system should be capable for extracting related reports and its performance can be monitored.
 - k. The assessment also will include any other factors that may be found affecting the readiness, and the crucial changes required to implement e-procurement.
 - l. Clarity of Roles & Responsibilities with clearly defined administrative framework for procurement and fiscal management.
 - m. Public availability of full information on planning, execution, and monitoring of Public Procurement.
2. Conducting an assessment for current Contract Management system. For this purpose, the consultant shall conduct the following:

- a. Direct interviews, meetings, discussions, etc. with the related units like Procurement Unit (PU) and Information Technology unit (IT), Technical Unit (TU), Finance Unit and Internal Auditing Unit as well as the implementation units and branch offices.
 - b. The consultant should familiarize himself with the current contract management system and listen to an explanation from those in charge of the system for more diagnoses.
 - c. Developing an action plan for improving the contract management system, and training on improved contract management system.
3. Assessment the different Procurement Databases (for contractor, suppliers and non-consultant and consultants' services.). In order to implement this assessment, the consultant shall conduct the following:
- a. Direct interviews, meetings, discussions, etc. with the related units like Procurement Unit (PU) and Information Technology unit (IT), Technical Unit (TU).
 - b. The consultant should familiarize himself with the current contract management system and listen to an explanation from those in charge of the system for more diagnoses.
 - c. Developing an action plan for improvement.
4. Prepare estimated costs, which will include but not limited to the following items:
- a. Cost of development of an e-procurement system/cost of licence for a fully functional e-Tendering system, developing contract management system as well as the development of procurement databases.
 - b. Cost of any needed of hardware, networking, back-up and storage systems etc. for operating a fully functional e-tendering system, developing contract management system and the development of procurement databases.
 - c. Cost of skills development, training of staff on the developed systems etc.
 - d. Indication of any other costs for any elements the consultant sees it necessary to be added

e. Expected key deliverables

The following reports are required to be prepared and submitted:

Task	Details	Percentage	Time frame	Officer in charge
Draft inception report	detailing the action plans needed to meet the objectives of each deliverable along with the methodology and the designated team with lead responsibility for each task/deliverable <u>(3 weeks)</u> UNDP to provide the feedback <u>(one week)</u>	10%	1 month	ESPECRP Project Manager
Updated procurement manuals; refreshment procurement training manual; and training	Updated procurement manuals of SFD, along with the related refreshment procurement training manual (English and Arabic), and deliver the training (preferably in Arabic) to the identified staff of SFD.	40%	2 months	ESPECRP Project Manager

delivered				
Final Reports	<p>Final report including the two main deliverables as follows:</p> <p>1) Procurement Manuals: detailing all the aspects involved in updating the manuals after integrating feedback from UNDP, SFD and WB.</p> <p>2) detailing all the studied aspects of the electronic procurement system and covering:</p> <ul style="list-style-type: none"> I. the readiness of the system as described in the scope of work point (1and 4). I. the assessment of the contract management according to point (2&4) in the scope of work. I. the assessment of the procurement database in accordance with what mentioned in point (3&4) in the scope of work <p>The final reports should incorporate the feedback of UNDP, SFD and WB; and all other supporting details, information, document ...etc. shall be included as appendices.</p>	50%	3 months	ESPECRP Project Manager

Scope of service prices and payment schedule

The payment will be lump sum based according to three suggested milestones:

- 10% of the contract value upon submission and approval of the inception report of the procurement manual and the electronic system,
- 40% on the delivery of the updated procurement manuals and refresher training manuals approved by UNDP, and training the identified staff.
- 50% on the delivery of the final report of the process involved in updating the procurement manuals and in the electronic procurement system requirements approved by UNDP as stated in the table above, and training of relevant staff.

Contract duration period

The assignment is expected to start in February 2022 and end in six months in total.

Duty station

The firm will ideally work in Sana'a. The firm is expected to frequently visit SFD office in Sana'a.

Qualification of successful service provider

Essential requirements

- The consulting firms should have at least 5 years of experience in Procurement and Procurement systems.
- International certifications or credentials in the field of assessment.

- Knowledge of the current socioeconomic environment and the type and scale of activities of the SFD is an advantage.
- Arabic and English language mainly for the training manuals and training delivery.
- The firm should designate a team leader for each main part (the procurement manuals and the electronic procurement requirements) with at least 10 years of experience in relevant field as well as an adequate number of experienced specialists to implement the assignment to high quality and in timely manner. The CVs of the team leader and the designated staff should be provided.

Institutional arrangements

The awarded firm will report directly to the ESPECRP Project Manager and will work in close collaboration with the SFD team. UNDP team will liaise regularly with SFD management to ensure all deliverables are met to the required quality.

EVALUATION CRITERIA

Highest Combined Score (based on the 70% technical offer and 30% price weight distribution)

Technical Proposal (70%)

- ☒ Expertise of the organization 30%
- ☒ Methodology, Its Appropriateness to the Condition and Timeliness 40%
- ☒ Management Structure and Qualification of Key Personnel 30%

A proposal is selected based on *cumulative analysis*; the total score is obtained by combining technical and financial attributes.

A two-stage procedure will be utilized in evaluating the proposals:

The **technical proposal** will be evaluated with a minimum pass requirement of 70% of the obtainable 700 points assigned for technical proposal. A proposal shall be rejected at this stage if it fails to achieve the minimum technical threshold of 70% of the obtainable score of 700 points prior to any price proposal being opened and compared.

Financial Proposal (30%)

Bidder must identify in the proposal the cost per activity as per the following table.

D. Cost Breakdown per Deliverable*

Deliverables <i>[list them as referred to in the RFP]</i>	Percentage of Total Price <i>(Weight for payment)</i>	Price <i>(Lump Sum, All Inclusive)</i>
1		Deliverable 1
2		Deliverable 2
3	
Total		100%

To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.

The **financial proposal** will be opened only for those entities whose technical proposal achieved the minimum technical threshold of 70% of the obtainable score of 700 points and are determined to be compliant. Non-compliant proposals will not be eligible for further consideration.

300 points will be allocated based on financial proposal. In this methodology, the maximum number of points assigned to the financial proposal is allocated to the lowest price proposal. All other price proposals receive points in inverse proportion.

A formula is as follows:

$$p = y (\mu/z)$$

Where:

p = points for the financial proposal being evaluated

y = maximum number of points for the financial proposal

μ = price of the lowest priced proposal

z = price of the proposal being evaluated

The contract shall be awarded to the proposal obtaining the overall highest score after adding the score of the technical proposal and the financial proposal.

The total number of points (“maximum number of points”) which a firm/institution may obtain for its proposal is as follows:

Technical proposal: 1,000

Financial proposal: ?

Total number of points: 1,000

TECHNICAL EVALUATION CRITERIA

Summary of Technical Proposal Evaluation Forms		Points Obtainable
1.	Bidder’s qualification, capacity and experience	300
2.	Proposed Methodology, Approach and Implementation Plan	400
3.	Management Structure and Key Personnel	300
	Total	1000
Section 1. Bidder’s qualification, capacity and experience		Points obtainable
1.1	Reputation of Organization and Staff Credibility / Reliability	50
1.2	General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted	90
1.3	Relevance of specialized knowledge and experience on similar engagements done in the region/country	100
1.4	Quality assurance procedures and risk mitigation measures	60
Total Section 1		300
Section 2. Proposed Methodology, Approach and Implementation Plan		Points obtainable
2.1	Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the tasks of the assignment adequately weighted relative to one another?	50
2.2	Description of the approach and methodology for meeting or exceeding the requirements of the Terms of Reference	100
2.3	Details on how the tasks shall be organized, controlled and delivered	100
2.4	Description of available performance monitoring and evaluation mechanisms to track the task	50
2.5	Work plan proposed including whether the activities are properly sequenced	50

	and if these are logical and realistic	
2.6	Demonstration of ability to plan, integrate and effectively execution of the contract	50
Total Section 2		400

Section 3. Management Structure and Key Personnel			Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?		100
3.2	Qualifications of key personnel proposed		200
3.2 A	Team Leader	100	
	<u>Required qualification:</u> Masters' degree in Procurement or related field of study	50	
	<u>Experience :</u> Specific Experience relevant to the assignment: A minimum experience of 10 years of work proven experience at national or international level in procurement, procurement systems and procurement risk analysis.	50	
3.2 B	Procurement specialist	50	
	<u>Qualification:</u> Bachelor in procurement	25	
	<u>Experience:</u> 5 years of experience in this area.	25	
3.2.C	Procurement Risk Management Specialist	50	
	<u>Qualification:</u> Bachelor's degree in related fields.	25	
	<u>Experience:</u> 5 years of experience in assessing risks involved in procurement management and establishing robust tracking systems in this regard.	25	
Total Section 3			300

Terms of Reference (ToR) LOT 2

SFD Financial Capacity Building

2022

Background

The protracted conflict has made Yemen one of the poorest and most food insecure countries in the world. The conflict has destroyed livelihoods and the ability to purchase food. It has also caused major disruptions in the food supply chain and increases in the price of imported foods, which Yemen is heavily reliant on, resulting in substantial increases in food prices. About two-thirds of the population are food insecure with ten million are at risk of famine, and two million children require treatment for acute malnutrition. Most of the population also lacks access to basic services such as education, health services, water and sanitation. This is being compounded by the impact of climate-related shocks, including the desert locust plague and recent floods. In addition, the COVID-19 pandemic is also poised to have a devastating effect on an already devastated economy and impoverished population. In response to the protracted conflict and crisis the Yemen Social Protection Enhancement and COVID-19 Response Project (ESPECRP) aims to provide nutrition-sensitive cash transfers, temporary employment, and increased access to basic services and economic opportunities to food-insecure populations affected by COVID-19, Yemen's conflict and climate-related shocks, as well as to strengthen the capacity of national service delivery institutions. The project is implemented through the same national Responsible Parties (RPs) that implemented the Yemen Emergency Crisis Response Project (ECRP): the Social Fund for Development (SFD), the Small and Micro Enterprise Promotion Service (SMEPs) and the Public Works Project (PWP). The project aims to achieve the following results: Enhanced protection of households most at risk of malnutrition with short term and partial complementary services; Enhanced protection of vulnerable working people with temporary employment opportunities; Increased access to basic services and food with improved community assets; and, Sustainable economic opportunities generated with increased access to financial and food markets.

Under ESPECRP, a key delivery is building the capacities of SFD, PWP and SMEPs in different priority areas that have been identified through capacity assessments conducted by the World Bank as well as feedback from previous audits and micro-assessment reports prepared through UNDP. Accordingly, a capacity building action plan was developed for each RP and this ToR is intended to strengthening SFD's financial capacities as one of the identified priorities cleared by the World Bank and UNDP.

Objective

UNDP is seeking qualified financial consultancies, a credited audit firms or specialized financial capacity building companies to carry out a gap analysis of SFD's financial risk management policies against best applicable practices in financial risk management as well as of its cash-based accounting practices against the requirement of IPSAS (International Public Sector Accounting Standards) under SFD's current cash basis of accounting.

Scope and key tasks

Financial Risk Management (FRM):

The objective of the gap analysis in relation to financial risk management is to assess the current policies of SFD pertaining to risk management and, as a result of that, to design or/and update the policies to fill any gaps including holding necessary training to help implement the new/updated policies. The consultant will carry out the following tasks:

- Understand the current environment and the current interventions of SFD including the type of business relationships the SFD enters into with donors, lenders, awardees, and borrowers.
- Assess the SFD finance policies and identify any gaps missing in managing the financial risks. Special emphasis shall be given to managing risks in the current economic and political environment. Priority is given to assessing management strategies for managing currency risk, liquidity risk, credit risk, and borrowing risk. Specifically, the consultant should assess:
 - Strategies for managing credit risk and borrowing risk including default risk from transactions with counterparties such as local NGOs and banks. Strategies include payment to awardees or loanees on an installment basis, profile analysis of the potential borrower/awardee, covenants (financial, operational...) in agreements signed with awardees that serve an early warning system, caps on sectors and diversification of sectors, coordination between program and finance, and regular reporting required from counterparties.
 - Strategies for managing liquidity risk and currency risks such as cash flow forecasting, frequent financial review or analysis of significant areas, cash management, stable funding, and the policy of payment of advances to and liquidations from branches in governorates with a different exchange rate of Yemen Riyal to the foreign currencies.
 - Strategies for dealing with any other risks relevant to the operation of SFD.
- The consultant should utilize well-known maturity models to take into account the maturity of practices, if not written, as part of the analysis and reflect them in the development stage as described below.
- Develop new financial risk management manuals or update the current ones as needed based on the assessment carried out.
- Train finance unit staff and other relevant staff on the developed/updated policies.

Cash Basis of Accounting per IPSAS Requirements

The objective of the gap analysis in relation to the cash basis consultancy is to assess the current accounting practices of SFD and their conformity to the cash-basis requirements and, accordingly, to establish a task force to address the gaps identified including holding necessary training to help implement any IPSAS requirements. The consultant will carry out the following tasks:

- Assess the SFD accounting policies used and their conformance to the cash basis of accounting under IPSAS. This includes consideration of:
 - The requirement of IPSAS for items presented in the body of the financial statement(s).
 - The requirement of IPSAS for disclosures in the financial statement(s).
 - Any optional further disclosures are recommended by IPSAS.
- The consultant should look into detail in the IPSAS required and recommended treatments for items such as long-term assets, advances, payables, and other related assets and liabilities.
- Establish an IPSAS task force to lead the implementation of recommendations to close the gap between the IPSAS requirements and the current practices followed by SFD.
- Train the finance unit staff and other relevant staff from other departments on the new requirements identified.

Expected key deliverables

Task	Details	Percentage	Time frame	Officer in charge
Gap Analysis Report	<p>The firm will prepare gap analysis reports for the two deliverables indicated in the scope above, along with a detailed implementation plan outlining the proposed schedule of tasks, activities and deliverables, the methodology they will pursue to meet the objective, as well as designating a team with the lead responsibility for each task or deliverable.</p> <p>The report should be submitted to UNDP within three weeks after signing the contract.</p>	20%	3 weeks	ESPECRP Project Manager
	UNDP will provide its feedback		1 weeks	
Financial Risk Management (FRM)	<p>the current FRM practices should include:</p> <ol style="list-style-type: none"> 1) The firm will prepare an assessment report regarding the current financial risk management practices as indicated in the scope above which should specify the gap and the corrective steps to update the financial policies. 2) Update the Operational Manual (Financial Part) with the above results of the financial assessment. 3) Training manual on the new FRM. 4) Train the relevant staff on the updated FRM. 	35%	3 months (starting after UNDP's approval of the gap analysis indicated above)	ESPECRP Project Manager
Cash Basis of Accounting per IPSAS (International Public Sector)	1- Assess the current accounting practices of SFD and their conformity to the cash-basis requirements and, accordingly, to establish a	45%	2 months (simultaneously with the 2 nd deliverable)	ESPECRP Project Manager

Accounting Standards) Requirements	task force to address the gaps identified 2- Training manual on the new approach 3- Train relevant staff to help implement any IPSAS requirements		above)	
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Scope of service prices and payment schedule

The payment will be lump sum based according to three suggested milestones:

- 20% of the contract value upon submission and approval of the gap analysis report,
- 35% on the delivery of the FRM approved by UNDP, and training the identified staff,
- 45% on the delivery of the IPSAS requirements approved by UNDP, the training manual and the training of relevant staff.

Contract duration period

The assignment is expected to start in February 2022 and end in six months in total, with the FRM to be ready in three to four months and the IPSAS related enhancement in five to six months including the training for each.

Duty station

The firm will ideally work in Sana'a and is expected to frequently visit SFD office in Sana'a.

Qualification of successful service provider

Essential requirements

- The consulting firm should have at least 5 years of experience in finance analyst, finance accounting, financial risk management, fund management, or any related financial experience.
- International certifications or credentials in the field of assessment and accounting and auditing.
- Knowledge of the current socioeconomic environment and the type and scale of activities of the SFD is an advantage.
- Arabic and English language mainly for the training manuals and training delivery.
- The firm should designate a team leader for the assignment with at least 10 years of experience in relevant field as well as an adequate number of experienced specialists to implement the assignment to high quality and in timely manner. The CVs of the team leader and the designated staff should be provided.

Institutional arrangements

The awarded firm will report directly to the ESPECRP Project Manager and will work in close collaboration with the SFD team. UNDP team will liaise regularly with SFD management to ensure all deliverables are met to the required quality.

EVALUATION CRITERIA

Highest Combined Score (based on the 70% technical offer and 30% price weight distribution)

Technical Proposal (70%)

- ☒ Expertise of the organization 30%
- ☒ Methodology, Its Appropriateness to the Condition and Timeliness 40%
- ☒ Management Structure and Qualification of Key Personnel 30%

A proposal is selected based on *cumulative analysis*; the total score is obtained by combining

technical and financial attributes.

A two-stage procedure will be utilized in evaluating the proposals.

The **technical proposal** will be evaluated with a minimum pass requirement of 70% of the obtainable 700 points assigned for technical proposal. A proposal shall be rejected at this stage if it fails to achieve the minimum technical threshold of 70% of the obtainable score of 700 points prior to any price proposal being opened and compared.

Financial Proposal (30%)

Bidder must identify in the proposal the cost per activity as per the following table.

D. Cost Breakdown per Deliverable*

Deliverables <i>[list them as referred to in the RFP]</i>	Percentage of Total Price <i>(Weight for payment)</i>	Price <i>(Lump Sum, All Inclusive)</i>
1		Deliverable 1
2		Deliverable 2
3	
Total		100%

To be computed as a ratio of the Proposal's offer to the lowest price among the proposals received by UNDP.

The **financial proposal** will be opened only for those entities whose technical proposal achieved the minimum technical threshold of 70% of the obtainable score of 700 points and are determined to be compliant. Non-compliant proposals will not be eligible for further consideration.

300 points will be allocated based on financial proposal. In this methodology, the maximum number of points assigned to the financial proposal is allocated to the lowest price proposal. All other price proposals receive points in inverse proportion.

A formula is as follows:

$$p = y (\mu/z)$$

Where:

p = points for the financial proposal being evaluated

y = maximum number of points for the financial proposal

μ = price of the lowest priced proposal

z = price of the proposal being evaluated

The contract shall be awarded to the proposal obtaining the overall highest score after adding the score of the technical proposal and the financial proposal.

The total number of points ("maximum number of points") which a firm/institution may obtain for its proposal is as follows:

Technical proposal: 1000

Financial proposal: ?

Total number of points: 1,000

TECHNICAL EVALUATION CRITERIA

Summary of Technical Proposal Evaluation Forms		Points Obtainable
1.	Bidder's qualification, capacity and experience	300
2.	Proposed Methodology, Approach and Implementation Plan	400
3.	Management Structure and Key Personnel	300
	Total	1000

Section 1. Bidder's qualification, capacity and experience			Points obtainable
1.1	Reputation of Organization and Staff Credibility / Reliability		50
1.2	General Organizational Capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted		90
1.3	Relevance of specialized knowledge and experience on similar engagements done in the region/country		100
1.4	Quality assurance procedures and risk mitigation measures		60
Total Section 1			300
Section 2. Proposed Methodology, Approach, and Implementation Plan			Points obtainable
2.1	Understanding of the requirement: Have the important aspects of the task been addressed in sufficient detail? Are the tasks of the assignment adequately weighted relative to one another?		50
2.2	Description of the approach and methodology for meeting or exceeding the requirements of the Terms of Reference		100
2.3	Details on how the tasks shall be organized, controlled and delivered		100
2.4	Description of available performance monitoring and evaluation mechanisms to track the task		50
2.5	Work plan proposed including whether the activities are properly sequenced and if these are logical and realistic		50
2.6	Demonstration of ability to plan, integrate and effectively execution of the contract		50
Total Section 2			400

Section 3. Management Structure and Key Personnel			Points obtainable
3.1	Composition and structure of the team proposed. Are the proposed roles of the management and the team of key personnel suitable for the provision of the necessary services?		100
3.2	Qualifications of key personnel proposed		200
3.2 A	Team Leader	100	
	Required qualification: Masters' degree in finance management, accounting, or related field.	50	

	<u>Experience:</u> Specific Experience relevant to the assignment: A minimum experience of 10 years of work proven experience at national or international level in in finance, financial risk management and fund accounting and related bases of accounting and auditing.	50	
3.2 B	Finance Analyst	50	
	<u>Qualification:</u> Bachelor's in finance or finance analysis	25	
	<u>Experience:</u> 5 years of experience in these areas.	25	
3.2.C	Financial Risk Management Specialist	50	
	<u>Qualification:</u> Bachelor's degree in finance and accounting analysis.	25	
	<u>Experience:</u> 5 years of experience in assessing risks involved in financial management and establishing robust tracking systems in this regard.	25	
Total Section 3			300

BIDDER CAN SUBMIT HIS PROPOSAL FOR LOT 1 AND LOT 2 OR ONE OF THE TWO LOTS

SECTION 6: RETURNABLE BIDD

ING FORMS / CHECKLIST

This form serves as a checklist for preparation of your Proposal. Please complete the Returnable Bidding Forms in accordance with the instructions in the forms and return them as part of your Proposal submission. No alteration to format of forms shall be permitted and no substitution shall be accepted.

Before submitting your Proposal, please ensure compliance with the Proposal Submission instructions of the BDS 22.

Technical Proposal Envelope:

Have you duly completed all the Returnable Bidding Forms?	
▪ Form A: Technical Proposal Submission Form	<input type="checkbox"/>
▪ Form B: Bidder Information Form	<input type="checkbox"/>
▪ Form C: Joint Venture/Consortium/ Association Information Form	<input type="checkbox"/>
▪ Form D: Qualification Form	<input type="checkbox"/>
▪ Form E: Format of Technical Proposal	<input type="checkbox"/>
▪ Form H: Proposal Security Form	<input type="checkbox"/>
Have you provided the required documents to establish compliance with the evaluation criteria ?	<input type="checkbox"/>

Financial Proposal Envelope

(Must be submitted in a separate password protected email)

▪ Form F: Financial Proposal Submission Form	<input type="checkbox"/>
▪ Form G: Financial Proposal Form	<input type="checkbox"/>

FORM A: TECHNICAL PROPOSAL SUBMISSION FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

We hereby declare that our firm, its affiliates or subsidiaries or employees, including any JV/Consortium /Association members or subcontractors or suppliers for any part of the contract:

- a) is not under procurement prohibition by the United Nations, including but not limited to prohibitions derived from the Compendium of United Nations Security Council Sanctions Lists;
- b) have not been suspended, debarred, sanctioned or otherwise identified as ineligible by any UN Organization or the World Bank Group or any other international Organization;
- c) have no conflict of interest in accordance with Instruction to Bidders Clause 4;
- d) do not employ, or anticipate employing, any person(s) who is, or has been a UN staff member within the last year, if said UN staff member has or had prior professional dealings with our firm in his/her capacity as UN staff member within the last three years of service with the UN (in accordance with UN post-employment restrictions published in ST/SGB/2006/15);
- e) have not declared bankruptcy, are not involved in bankruptcy or receivership proceedings, and there is no judgment or pending legal action against them that could impair their operations in the foreseeable future;
- f) undertake not to engage in proscribed practices, including but not limited to corruption, fraud, coercion, collusion, obstruction, or any other unethical practice, with the UN or any other party, and to conduct business in a manner that averts any financial, operational, reputational or other undue risk to the UN and we *embrace the principles of the United Nations Supplier Code of Conduct and adhere to the principles of the United Nations Global Compact.*

We declare that all the information and statements made in this Proposal are true and we accept that any misinterpretation or misrepresentation contained in this Proposal may lead to our disqualification and/or sanctioning by the UNDP.

We offer to provide services in conformity with the Bidding documents, including the UNDP General Conditions of Contract and in accordance with the Terms of Reference

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand and recognize that you are not bound to accept any Proposal you receive.

I, the undersigned, certify that I am duly authorized by [Insert Name of Bidder] to sign this Proposal and bind it should UNDP accept this Proposal.

Name: _____

Title: _____

Date: _____

Signature: _____

[Stamp with official stamp of the Bidder]

FORM B: BIDDER INFORMATION FORM

Legal name of Bidder	[Complete]
Legal address	[Complete]
Year of registration	[Complete]
Bidder's Authorized Representative Information	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Are you a UNGM registered vendor?	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, [insert UGNM vendor number]
Are you a UNDP vendor?	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, [insert UNDP vendor number]
Countries of operation	[Complete]
No. of full-time employees	[Complete]
Quality Assurance Certification (e.g. ISO 9000 or Equivalent) (If yes, provide a Copy of the valid Certificate):	[Complete]
Does your Company hold any accreditation such as ISO 14001 related to the environment? (If yes, provide a Copy of the valid Certificate):	[Complete]
Does your Company have a written Statement of its Environmental Policy? (If yes, provide a Copy)	[Complete]
Contact person UNDP may contact for requests for clarification during Proposal evaluation	Name and Title: [Complete] Telephone numbers: [Complete] Email: [Complete]
Please attach the following documents:	<ul style="list-style-type: none"> ▪ Company Profile, which should <u>not</u> exceed fifteen (15) pages, including the organigram showing the Management structure of the company; ▪ Valid Business registration Certificate; ▪ Bid Security (copy to be attached with the technical proposal); ▪ Detailed Proposed Work Plan for the assignment as to how the task will be performed ▪ 3 Contracts/PO with the previous Clients in the past 5 years; ▪ CVs of all the experts as stated in the TOR prepared using the format/template provided in this RFP and with upto date information; ▪ Technical and financial proposal 1 each. The financial proposal MUST encrypted by password. ▪ Financial proposal (with breakdown as detailed on the Financial Form

- Audited Financial Report for the last 3 years (optional);
- Quality Certificates such as ISO or equivalent Certificates awarded to the company, if available;
- Proposed Approach and Methodology (1 -2 pager);
- Reference Letters from top 3 clients in the last 5 years who were provided with similar services – 1 Reference Letter per Client;

FORM C: JOINT VENTURE/CONSORTIUM/ASSOCIATION INFORMATION FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

To be completed and returned with your Proposal if the Proposal is submitted as a Joint Venture/Consortium/Association.

No	Name of Partner and contact information (address, telephone numbers, fax numbers, e-mail address)	Proposed proportion of responsibilities (in %) and type of services to be performed
1	[Complete]	[Complete]
2	[Complete]	[Complete]
3	[Complete]	[Complete]

Name of leading partner (with authority to bind the JV, Consortium, Association during the RFP process and, in the event a Contract is awarded, during contract execution)	[Complete]
--	------------

We have attached a copy of the below document signed by every partner, which details the likely legal structure of and the confirmation of joint and severable liability of the members of the said joint venture:

☐ Letter of intent to form a joint venture **OR** ☐ JV/Consortium/Association agreement

We hereby confirm that if the contract is awarded, all parties of the Joint Venture/Consortium/Association shall be jointly and severally liable to UNDP for the fulfillment of the provisions of the Contract.

Name of partner: _____ Name of partner: _____

Signature: _____ Signature: _____

Date: _____ Date: _____

Name of partner: _____ Name of partner: _____

Signature: _____ Signature: _____

Date: _____ Date: _____

FORM D: QUALIFICATION FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

If JV/Consortium/Association, to be completed by each partner.

Historical Contract Non-Performance

☐ Contract non-performance did not occur for the last 3 years

☐ Contract(s) not performed for the last 3 years

Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value in US\$)
		Name of Client: Address of Client: Reason(s) for non-performance:	

Litigation History (including pending litigation)

☐ No litigation history for the last 3 years

☐ Litigation History as indicated below

Year of dispute	Amount in dispute (in US\$)	Contract Identification	Total Contract Amount (current value in US\$)
		Name of Client: Address of Client: Matter in dispute: Party who initiated the dispute: Status of dispute: Party awarded if resolved:	

Previous Relevant Experience

Please list only previous similar assignments successfully completed in the last 3 years.
List only those assignments for which the Bidder was legally contracted or sub-contracted by the Client as a company or was one of the Consortium/JV partners. Assignments completed by the Bidder’s individual experts working privately or through other firms cannot be claimed as the relevant experience of the Bidder, or that of the Bidder’s partners or sub-consultants, but can be claimed by the Experts themselves in their CVs. The Bidder should be prepared to substantiate the claimed experience by presenting copies of relevant documents and references if so requested by UNDP.

Project name & Country of Assignment	Client & Reference Contact Details	Contract Value	Period of activity and status	Types of activities undertaken

Bidders may also attach their own Project Data Sheets with more details for assignments above.

☐ Attached are the Statements of Satisfactory Performance from the Top 3 (three) Clients or more.

Financial Standing

Annual Turnover for the last 3 years	Year	USD
	Year	USD
	Year	USD
Latest Credit Rating (if any), indicate the source		

Financial information (in US\$ equivalent)	Historic information for the last 3 years		
	Year 1	Year 2	Year 3
	<i>Information from Balance Sheet</i>		
Total Assets (TA)			
Total Liabilities (TL)			
Current Assets (CA)			
Current Liabilities (CL)			
	<i>Information from Income Statement</i>		
Total / Gross Revenue (TR)			
Profits Before Taxes (PBT)			
Net Profit			
Current Ratio			

☐ Attached are copies of the audited financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following condition:

- Must reflect the financial situation of the Bidder or party to a JV, and not sister or parent companies;
- Historic financial statements must be audited by a certified public accountant;
- Historic financial statements must correspond to accounting periods already completed and audited. No statements for partial periods shall be accepted.

FORM E: FORMAT OF TECHNICAL PROPOSAL

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder's proposal should be organized to follow this format of Technical Proposal. Where the bidder is presented with a requirement or asked to use a specific approach, the bidder must not only state its acceptance, but also describe how it intends to comply with the requirements. Where a descriptive response is requested, failure to provide the same will be viewed as non-responsive.

SECTION 1: Bidder's qualification, capacity and expertise

- 1.1 Brief description of the organization, including the year and country of incorporation, and types of activities undertaken.
- 1.2 General organizational capability which is likely to affect implementation: management structure, financial stability and project financing capacity, project management controls, extent to which any work would be subcontracted (if so, provide details).
- 1.3 Relevance of specialized knowledge and experience on similar engagements done in the region/country.
- 1.4 Quality assurance procedures and risk mitigation measures.
- 1.5 Organization's commitment to sustainability.

SECTION 2: Proposed Methodology, Approach and Implementation Plan

This section should demonstrate the bidder's responsiveness to the TOR by identifying the specific components proposed, addressing the requirements, providing a detailed description of the essential performance characteristics proposed and demonstrating how the proposed approach and methodology meets or exceeds the requirements. All important aspects should be addressed in sufficient detail and different components of the project should be adequately weighted relative to one another.

- 2.1 A detailed description of the approach and methodology for how the Bidder will achieve the Terms of Reference of the project, keeping in mind the appropriateness to local conditions and project environment. Details how the different service elements shall be organized, controlled and delivered.
- 2.2 The methodology shall also include details of the Bidder's internal technical and quality assurance review mechanisms.
- 2.3 Explain whether any work would be subcontracted, to whom, how much percentage of the work, the rationale for such, and the roles of the proposed sub-contractors and how everyone will function as a team.
- 2.4 Description of available performance monitoring and evaluation mechanisms and tools; how they shall be adopted and used for a specific requirement.
- 2.5 Implementation plan including a Gantt Chart or Project Schedule indicating the detailed sequence of activities that will be undertaken and their corresponding timing.
- 2.6 Demonstrate how you plan to integrate sustainability measures in the execution of the contract.
- 2.7 Any other comments or information regarding the project approach and methodology that will be adopted.

SECTION 2A: Bidder's Comments and Suggestions on the Terms of Reference

Provide comments and suggestions on the Terms of Reference, or additional services that will be rendered beyond the requirements of the TOR, if any.

SECTION 3: Management Structure and Key Personnel

- 3.1 Describe the overall management approach toward planning and implementing the project. Include an organization chart for the management of the project describing the relationship of key positions and designations. Provide a spreadsheet to show the activities of each personnel and the time allocated for his/her involvement.
- 3.2 Provide CVs for key personnel that will be provided to support the implementation of this project using the format below. CVs should demonstrate qualifications in areas relevant to the Scope of Services.

Format for CV of Proposed Key Personnel

Below :

NAME OF PERSONNEL	[INSERT]
POSITION FOR THIS ASSIGNMENT	[INSERT]
NATIONALITY	[INSERT]
LANGUAGE PROFICIENCY	[INSERT]
EDUCATION/ QUALIFICATIONS	[SUMMARIZE COLLEGE/UNIVERSITY AND OTHER SPECIALIZED EDUCATION OF PERSONNEL MEMBER, GIVING NAMES OF SCHOOLS, DATES ATTENDED, AND DEGREES/QUALIFICATIONS OBTAINED.]

	<div>[INSERT]</div>
PROFESSIONAL CERTIFICATIONS	<div>[PROVIDE DETAILS OF PROFESSIONAL CERTIFICATIONS RELEVANT TO THE SCOPE OF SERVICES]</div>
	<div><div>▪ NAME OF INSTITUTION: [INSERT]</div><div>▪ DATE OF CERTIFICATION: [INSERT]</div></div>
EMPLOYMENT RECORD/ EXPERIENCE	<div>[LIST ALL POSITIONS HELD BY PERSONNEL (STARTING WITH PRESENT POSITION, LIST IN REVERSE ORDER), GIVING DATES, NAMES OF EMPLOYING ORGANIZATION, TITLE OF POSITION HELD AND LOCATION OF EMPLOYMENT. FOR EXPERIENCE IN LAST FIVE YEARS, DETAIL THE TYPE OF ACTIVITIES PERFORMED, DEGREE OF RESPONSIBILITIES, LOCATION OF ASSIGNMENTS AND ANY OTHER INFORMATION OR PROFESSIONAL EXPERIENCE CONSIDERED PERTINENT FOR THIS ASSIGNMENT.]</div>
	<div>[INSERT]</div>

REFERENCES

[PROVIDE NAMES, ADDRESSES, PHONE AND EMAIL CONTACT INFORMATION FOR TWO (2) REFERENCES]

REFERENCE 1:

[INSERT]

REFERENCE 2:

[INSERT]

I, the undersigned, certify that to the best of my knowledge and belief, these data correctly describe my qualifications, my experiences, and other relevant information about myself.

Signature of Personnel

Date (Day/Month/Year)

FORM F: FINANCIAL PROPOSAL SUBMISSION FORM

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

We, the undersigned, offer to provide the services for [Insert Title of services] in accordance with your Request for Proposal No. [Insert RFP Reference Number] and our Proposal. We are hereby submitting our Proposal, which includes this Technical Proposal and our Financial Proposal sealed under a separate envelope.

Our attached Financial Proposal is for the sum of [Insert amount in words and figures].

Our Proposal shall be valid and remain binding upon us for the period of time specified in the Bid Data Sheet.

We understand you are not bound to accept any Proposal you receive.

Name: _____
Title: _____
Date: _____
Signature: _____

[Stamp with official stamp of the Bidder]

FORM G: FINANCIAL PROPOSAL FORM LOT 1**Procurement Capacity Building**

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

Currency of the proposal: [Insert Currency]

Table: Breakdown of Prices

S. No	Deliverables <i>[list them as referred to in the RFP]</i>	Percentage of Total Price (<i>Weight for payment</i>)	Price (<i>Lump Sum, All Inclusive</i>)	
1		20%		
2		35%		
3		45%		
	Others (please specify)			
				Total

Task	Details	Percentage	Time frame	Officer in charge
Gap Analysis Report	The firm will prepare gap analysis reports for the two deliverables indicated in the scope above, along with a detailed implementation plan outlining the proposed schedule of tasks, activities and deliverables, the methodology they will pursue to meet the objective, as well as designating a team with the lead responsibility for each task or deliverable. The report should be submitted to UNDP within three weeks after signing the contract and UNDP will provide its feedback within a week.	20%	1 month	UNDP
Financial Risk	The FRM should include:	35%	3 – 4 months	UNDP

Management (FRM)	5) Risk management practices applicable to SFD and its environment. 6) Training manual on the new RFM. 7) Training the relevant staff on the FRM.		(starting after UNDP's approval of the gap analysis indicated above)	
Cash Basis of Accounting per IPSAS (International Public Sector Accounting Standards) Requirements	4- IPSAS requirements integrated under the cash basis of accounting, 5- Training manual on the new approach, 6- Training relevant staff.	45%	5 - 6 months (simultaneously with the 2nd deliverable above)	UNDP

FORM G: FINANCIAL PROPOSAL FORM LOT 2

Financial Capacity Building

Name of Bidder:	[Insert Name of Bidder]	Date:	Select date
RFP reference:	[Insert RFP Reference Number]		

The Bidder is required to prepare the Financial Proposal following the below format and submit it in an envelope separate from the Technical Proposal as indicated in the Instruction to Bidders. Any Financial information provided in the Technical Proposal shall lead to Bidder's disqualification.

The Financial Proposal should align with the requirements in the Terms of Reference and the Bidder's Technical Proposal.

Currency of the proposal: [Insert Currency]

Table: Breakdown of Prices

S. No	Deliverables <i>[list them as referred to in the RFP]</i>	Percentage of Total Price (Weight for payment)	Price (Lump Sum, All Inclusive)	
1		20%		
2		35%		
3		45%		
	Others (please specify)			
				Total

Task	Details	Percentage	Time frame	Officer in charge
Gap Analysis Report	The firm will prepare gap analysis reports for the two deliverables indicated in the scope above, along with a detailed implementation plan outlining the proposed schedule of tasks, activities and deliverables, the methodology they will pursue to meet the objective, as well as designating a team with the lead responsibility for each task or deliverable. The report should be submitted to UNDP within three weeks after signing the contract and UNDP will provide its feedback within a week.	20%	1 month	UNDP
Financial Risk Management (FRM)	The FRM should include:	35%	3 – 4 months (starting after UNDP's approval of the gap	UNDP

	8) Risk management practices applicable to SFD and its environment. 9) Training manual on the new RFM. 10) Training the relevant staff on the FRM.		analysis indicated above)	
Cash Basis of Accounting per IPSAS (International Public Sector Accounting Standards) Requirements	7- IPSAS requirements integrated under the cash basis of accounting, 8- Training manual on the new approach, 9- Training relevant staff.	45%	5 - 6 months (simultaneously with the 2nd deliverable above)	UNDP

Currency of the proposal: [Insert Currency]

FORM H: FORM OF PROPOSAL SECURITY

Proposal Security must be issued using the official letterhead of the Issuing Bank.

Except for indicated fields, no changes may be made on this template.

To: UNDP

[Insert contact information as provided in Data Sheet]

WHEREAS [Name and address of Bidder] (hereinafter called "the Bidder") has submitted a Proposal to UNDP dated [Click here to enter a date](#) to execute Services [Insert Title of Services] (hereinafter called "the Proposal"):

AND WHEREAS it has been stipulated by you that the Bidder shall furnish you with a Bank Guarantee by a recognized bank for the sum specified therein as security in the event that the Bidder:

- a) Fails to sign the Contract after UNDP has awarded it;
- b) Withdraws its Proposal after the date of the opening of the Proposals;
- c) Fails to comply with UNDP's variation of requirement, as per RFP instructions; or
- d) Fails to furnish Performance Security, insurances, or other documents that UNDP may require as a condition to rendering the contract effective.

AND WHEREAS we have agreed to give the Bidder such this Bank Guarantee:

NOW THEREFORE we hereby affirm that we are the Guarantor and responsible to you, on behalf of the Bidder, up to a total of *[amount of guarantee] [in words and numbers]*, such sum being payable in the types and proportions of currencies in which the Price Proposal is payable, and we undertake to pay you, upon your first written demand and without cavil or argument, any sum or sums within the limits of *[amount of guarantee as aforesaid]* without your needing to prove or to show grounds or reasons for your demand for the sum specified therein.

This guarantee shall be valid up to 30 days after the final date of validity of bids.

SIGNATURE AND SEAL OF THE GUARANTOR BANK

Signature: _____

Name: _____

Title: _____

Date: _____

Name of Bank _____

Address _____ *[Stamp with official stamp of the Bank]*