**Grant Support Agreement**

IN SUPPORT OF

[Insert short grant activity or project title]

GRANTEE NAME:

GRANT NUMBER: …/…/……./…./…

This Grant Support Agreement (hereinafter referred to as the “**Agreement**”) made is between the United Nations Office for Project Services (hereinafter referred to as “**UNOPS**”) and [insert Grantee’s name and address] (hereinafter referred to as the “**Grantee**”), (collectively referred to hereinafter as the “**Parties**”)

**WHEREAS** UNOPS desires to provide grant support to the Grantee in the context of the implementation of [insert short grant activity or primary project/programme description] (hereinafter referred to as the “**Activity**”), as more specifically described in Annex A, on the terms and conditions hereinafter set forth, and

**WHEREAS** the Grantee is ready and willing to accept such funds from UNOPS for the above-mentioned activities on the terms and conditions as herein set forth.

**NOW, THEREFORE**, the Grantee and UNOPS agree as follows:

1. **Agreement Documents**
   1. The following documents attached hereto shall be deemed to form an integral part of this Agreement in the following order of precedence:
2. This Instrument of Agreement
3. Annex A: Terms of Reference
4. Annex B: Grant Budget
5. Annex C: UNOPS General Conditions for Grant Support Agreements
6. Annex D: Progress Reporting Templates, including Grantee Procurement Plan and Asset Log (attached separately)
   1. This Agreement and the Annexes attached hereto shall form the entire Agreement between the Grantee and UNOPS, superseding the contents of any other negotiations and/or agreements, whether oral or in writing, pertaining to the subject of this Agreement.

1. **Purpose of the Agreement**
   1. The purpose of this Agreement is to provide support for the Activity being [insert short grant activity description] in [insert programme country] as described in Annex A (the Terms of Reference). None of the funds provided pursuant to this Agreement may be used for any purposes other than those expressly set forth in Annex A.
   2. Grant support is being provided to the Grantee on the condition that the action is implemented, and the funds are administered by the Grantee, in accordance with this Agreement.

1. **Duration of this Agreement**
   1. This Agreement is effective and funds are granted by UNOPS as of [write start date out in full] or the date of the last signature below, whichever is the latter.
   2. The Grantee is expected to implement the Agreement as per the indicative timelines in Clause 3.1 and the Annex A: Terms of Reference. However, the continued implementation of the Agreement is subject to funding outside the control of UNOPS, and as such, UNOPS reserves the right to terminate the Agreement, in accordance with Article 16.1 of the UNOPS General Conditions for Grant Support Agreements (Annex C), where sufficient funds are not made available to UNOPS by its funding sources.
2. **Role of the Grantee**

4.1 The Grantee shall:

1. Have full responsibility for ensuring that the Activity is implemented in accordance with the Agreement
2. Be responsible, in the event of financial review, audit or evaluation for providing the necessary accounting documents
3. Be responsible for providing all documents and information to UNOPS which may be required under the relevant payment requests
4. Make the arrangements for providing the financial status documentation and financial guarantee, when requested
5. Ensure professional management of the Activity, including performance monitoring and reporting activities.

1. **Grant Amount and Payments**
   1. UNOPS hereby grants to the Grantee a maximum total amount of USD [insert US Dollar amount in figures and words] as shown in the Grant Budget in Annex B.
   2. Subject to the Grantee’s satisfactory performance of its obligations under this Agreement (including the submission of Progress Reports), UNOPS shall make payments to the Grantee in accordance with the following Payment Schedule up to the maximum reimbursable amount for each milestone, taking into account the Grantee’s Budget Forecast, and allowable costs incurred during the relevant periods in accordance with Article 4.1 of Annex C:[[1]](#footnote-0)

[The below amounts are exemplars based on a total grant amount of USD 1,000,000]

|  |  |  |
| --- | --- | --- |
| **Milestone #** | **Maximum Reimbursable Amount (USD)** | **Requirement** |
| 1 | 250,000 | Upon signature of this Agreement by both Parties. (*Initial payment may be up to 30% of the total grant amount, but not exceeding USD 250,000.)* |
| 2 | 150,000  *(to be released upon Financial Report showing cumulative expenditure of 175,000)* | Upon certification by UNOPS of receipt and acceptance, no earlier than [insert end date], of the following:   * Progress Report (including all applicable Appendices) reflecting a minimum of 70% cumulative expenditure of all previous Milestone instalments; and * Completed Procurement Plan and Asset Log. |
| 3 | 150,000  *(to be released upon Financial Report showing cumulative expenditure of 280,000)* | Upon certification by UNOPS of receipt and acceptance, no earlier than [insert end date], of the following:   * Progress Report (including all applicable Appendices) reflecting a minimum of 70% cumulative expenditure of all previous Milestone instalments; and * First Procurement Plan and Asset Log update. |
| 4 | 150,000  *(to be released upon Financial Report showing cumulative expenditure of 385,000)* | Upon certification by UNOPS of receipt and acceptance, no earlier than [insert end date], of the following:   * Progress Report (including all applicable Appendices) reflecting a minimum of 70% cumulative expenditure of all previous Milestone instalments; and * Second Procurement Plan and Asset Log update. |
| 5 | 150,000  *(to be released upon Financial Report showing cumulative expenditure of 490,000)* | Upon certification by UNOPS of receipt and acceptance, no earlier than [insert end date], of the following:   * Progress Report (including all applicable Appendices) reflecting a minimum of 70% cumulative expenditure of all previous Milestone instalments; and * Third Procurement Plan and Asset Log update. |
| 6 | 150,000  *(to be released upon Financial Report showing cumulative expenditure of 595,000)* | Upon certification by UNOPS of receipt and acceptance, no earlier than [insert end date], of the following:   * Final Substantive Report (including all applicable Appendices) reflecting a minimum of 70% cumulative expenditure of all previous Milestone instalments; and * Fourth Procurement Plan and Asset Log update. |
| **Total:** | **1000,000** | |

* 1. [Choose one of the following two clause options. Option A deals with the situation where the Grantee has a bank account. Option B deals with the situation where the Grantee has no bank account. *Please delete the clause which is not relevant.*]

**Option A**:

All payments to the Grantee shall be in US dollars, and shall be deposited into the Grantee’s bank account in accordance with the following details:

|  |  |
| --- | --- |
| **Bank Name** |  |
| **Account Title** | **Account No:**  **Currency:**  **Swift Code:**  **IBAN:**  **Telephone No.:**  **E-mail:**  **Web Site:** |
| **Intermediary**  **Institution** | **Bank Name:**  **Account No.:**  **Swift Code:** |

or

**Option B:**

All amounts in this Section 5 of the Instrument of Agreement are expressed in US dollars but shall be paid to the **Grantee** in local currency, calculated by reference to the UN rate of exchange as at the month and year of the payment. Payment amounts shall be paid in accordance with the Payment Schedule set out in Clause 5.2 by cheque to the representative of **the Grantee** authorized in writing by **the Grantee** to accept such payment on its behalf.

5.4 The amount of payment of such Grant funds is not subject to any adjustment or revision because of price or currency fluctuations or the actual costs incurred by the **Grantee** in the performance of the activities under this Agreement.

1. **Reporting and Evaluation**
   1. The Grantee shall submit Progress Reports, electronically (and in hard copy when requested), during the life of this Agreement as per the above Payment Schedule, (Clause 5.2), which comprises the following contents as provided in Annex D (attached separately):

|  |  |
| --- | --- |
| **Contents of Progress Report** | **Description** |
| Executive Summary and narrative update | Describes in a narrative format the progress made towards achievement of the project objectives.  Final Substantive Report: Describes the overall achievements of the project against its original targets and objectives. |
| Appendix A: Progress Against Logical Framework | Providing an update of progress as against the Grantee’s Logical Framework. |
| Appendix B: Progress Against Implementation Plan | Providing an update as against the Grantee’s Implementation Plan. |
| Appendix C: Case Study Report | Illustrates the impact of the project as derived from systematic research into affected stakeholders. Please refer to the “UNOPS Case Study Guidelines for Grantees” for further information. |
| Appendix D: Financial Report (Interim or Certified Final) | Provides information on the Grantee’s progress with regard to expenditure against its approved budget for the corresponding period of the Grant.  Certified Final Financial Report: This report provides financial details regarding the Grantee’s progress against budget for the entire period of the Grant, and must be certified by an accountant. |
| Appendix E: Grantee Procurement Plan and Asset Log | Tracks any procurement associated with the implementation of the grant, as well as all Category 2 and 3 assets as defined in the “Special Conditions” of this Agreement. |
| Appendix F: Personnel/Recruitment Update | Provides an update on the progress of recruitment for the grant (as applicable); as well as tracks any changes to Personnel budgeted by the Grantee for implementation. |

* 1. Failure to submit the reports specified in Clause 5.2 without due cause shall constitute a failure to fulfil a substantial obligation of this Agreement, in accordance with Article 15 of the General Conditions.
  2. The Grantee shall be deemed discharged from its obligation under this Agreement only upon the receipt and acceptance of the reports referred to in Clause 5.2 and the return of any unspent funds in accordance with this Agreement.

1. **Audit**

7.1 The activities under this Grant shall be audited as part of the annual audit by an independent third party. The audit shall be conducted according to the Terms of Reference attached at Annex [X]. The audit report shall be provided to UNOPS within three (3) months after the end of the grant. The cost of the audit shall be borne by UNOPS. The audit shall be carried out by the auditors of a qualified audit firm contracted by UNOPS, which shall produce an audit report.

1. **Special Conditions**

7.1 Article 11 of the General Conditions (Annex D) is replaced in its entirety by the following:

**11.1 Types of Assets**

11.1.1 This Agreement envisages the use by the Grantee of the following four categories of assets:

1. Category 1: An asset purchased not using funds in this Agreement. For greater clarity, this includes any separately identifiable assets leased to UNOPS for the furtherance of activities described in this Agreement.
2. Category 2 (Non-expendable): An asset provided by UNOPS or purchased using funds in this Agreement, which has a value of US $2,500 or higher at the time of purchase.
3. Category 3 (Non-expendable): An asset provided by UNOPS or purchased using funds in this Agreement, which has a value under US $2,500 at the time of purchase but is considered an attractive or special item.[[2]](#footnote-1)
4. Category 4 (Expendable): Items provided by UNOPS or purchased using funds in this Agreement, which is not included in Category 2 or Category 3.

11.1.2 All assets falling into Category 1 shall be clearly marked in a manner that allows such assets to be clearly differentiated from assets in Categories 2, 3 and 4. Category 1 assets remain the property of the Grantee at all times.

**11.2 Use of Assets**

11.2.1 Any asset(s) falling into Categories 1, 2, 3 or 4 that shall be used exclusively by the Grantee for the purposes of delivering the Activity during the Implementation Period are hereinafter referred to as the “**Asset(s)**”. Breach of this clause shall constitute grounds for termination of this Agreement in accordance with Article 13.1.

11.2.2 Assets falling into Categories 2, 3 or 4 remain the property of UNOPS at all times but shall remain in the Grantee’s custody. These Assets are governed by the following right of use conditions:

1. The right of use of these Asset(s) is revocable by UNOPS at any time. UNOPS may revoke the Right-of-Use with immediate effect and demand return, within fifteen (15) calendar days, of any or all Asset(s) in a fit condition subject only to normal wear and tear. Any revocation of Asset(s) shall trigger an immediate discussion between the Parties regarding the Grantee’s obligations in respect of the Asset(s):
   1. In cases where the right of use of the Asset(s) is revoked for reasons beyond the control of the Grantee, the Grantee shall not be responsible for non-performance of its obligations under the Agreement that are dependent on the uninterrupted right of use of the Asset(s).
   2. In cases where the right of use of the Asset(s) is revoked as a consequence of the actions or omissions of the Grantee, but the Agreement is not terminated, UNOPS and the Grantee shall work together to ensure the necessary contingencies in respect of the Asset(s) are reached in order to allow the Grantee to continue performing its obligations under the Agreement.
2. The Grantee agrees that the Asset(s) will be deployed to its duly authorized and responsible personnel for the purposes of delivering the activities in accordance with the Agreement.
3. Before handover of the Asset(s) from UNOPS to the Grantee, the relevant **UNOPS Asset Handover Form** must be completed and signed by the authorized representatives of both Parties; and the Grantee must take out and provide proof of insurance coverage for the Asset(s), which shall:
   1. Include third party liability coverage;
   2. Name UNOPS and the Secretariat (United Nations) as additional insured parties, including, if required, as a separate endorsement under the policy;
   3. Include a waiver of subrogation of the Grantee’s insurance carrier’s rights against UNOPS and the Secretariat (United Nations);
   4. Provide that UNOPs shall receive written notice from the Recipient’s insurance carrier not less than thirty (30) days prior to any cancellation or material change of coverage;
   5. Include a provision for response on a primary and non-contributing basis with respect to any other insurance that may be available to UNOPS; and
   6. Include the insurance Policy Number and the contact details of the insurance provider.
4. The Grantee shall be solely responsible for the Asset(s), their conditions and their use, including compliance with regulations or laws for use in the locations indicated above and any third party claims related to such use.
5. The Grantee shall properly operate and maintain the Asset(s) and bear all costs associated therewith. The Grantee shall be wholly responsible for the provision of a scheduled maintenance program and shall assume the costs of all spare parts, servicing and maintenance and repairs where necessary. The Grantee shall maintain proof of maintenance, including service records.
6. The Grantee shall provide appropriate personnel to operate the Asset(s). Any such personnel shall be duly qualified for operating the Asset(s) and be licensed if so required in the territory of operation. The Grantee shall also provide appropriate death and disability insurance for any personnel responsible for operating any of the asset(s).UNOPS undertake no responsibilities whatsoever in respect of life, health, accident, travel or any other insurance coverage of any person operating the asset(s).
7. In addition to the regular update of the “Grantee Procurement Plan and Asset Log”, the Grantee shall provide a written report to UNOPS every six months on its use of the Asset(s), including the condition and the current location of the Asset(s).
8. Any Asset(s) that is/are covered by a warranty will be annotated accordingly in the “Grantee Procurement Plan and Asset Log”.
   1. Any repairs, servicing and/or maintenance on the Asset(s) is to be carried out in full compliance with the terms of the relevant warranty for the Asset(s). Full details of the repairs, servicing and/or maintenance relating to the Asset(s) are to be included in the Grantee’s biannual report on its use of the Asset(s) and on final handover of the Asset(s). Documentary evidence of the repairs, servicing and/or maintenance shall be provided on final handover of the Asset(s).
9. The Grantee agrees to obtain the prior written agreement of UNOPS before redeploying the Asset(s) for any other purpose or to any location other than specified in the Agreement. Under no circumstances may the Recipient sell, transfer, exchange, lend, or otherwise transfer custody of the Asset(s) to any person or entity without the prior written consent of UNOPS.

**11.3 Category 2 and 3 Assets: Inventory Management**

11.3.1 Category 2 and 3 assets must be strictly controlled and accounted for on a regular basis. Complete and accurate records shall be kept in respect of these assets. Records shall ensure the sufficiency, accuracy and integrity of information.

11.3.2 Where the Grantee purchases Category 2 and 3 assets, the following information and supporting documents are required for each purchase and to be provided to UNOPS in both hard copy (original) and electronic version:

### Billed cost of asset, including a notional breakdown of asset cost, freight cost, insurance cost, installation cost, customs clearance cost, and other related cost;

### Receipt and Inspection Report (RIR);

### Import documentation;

### Registration.

11.3.3 All assets purchased by the Grantee shall be inspected on arrival in-country by a UNOPS representative and documented in the RIR. A UNOPS representative will tag all Category 2 and 3 assets.

11.3.4 In countries where purchases of Category 1, 2 and 3 assets by a Grantee are considered exempt from national taxes as per an agreement between UNOPS and the Government, the Grantee may request UNOPS to provide a tax exemption support letter. (The Grantee may request clarification from UNOPS regarding the existence of an agreement between UNOPS and the Government.) In such cases, in the event the Grantee nevertheless pays taxes on the purchase of a Category 1, 2 or 3 asset, UNOPS may deduct the amount of the taxes paid from the Grantee’s payments.

11.3.5 The Grantee shall provide UNOPS with an updated Asset Log of all Category 2 and 3 assets on a regular basis as defined in Section 6 of the Grant Support Agreement.

11.3.6 The Grantee shall be responsible for inventory control in terms of reconciling and resolving any discrepancies between the physical inventory count and the existing inventory records. Information derived from physical inspections shall be reconciled with available records as soon as possible.

11.3.7 The Grantee shall perform periodic inventory reconciliation to verify assets against existing inventory reports. The Grantee shall ensure a complete and accurate check of all assets and identify items, if any, that require further investigation or reconciliation.

**11.4 Disposal or Return of Category 2, 3 and 4 Assets**

11.4.1 Unless otherwise advised by UNOPS, Category 4 assets shall automatically become the property of the Grantee at the end of the Agreement. The Grantee accepts such assets on an “as is” basis.

11.4.2 Fifteen (15) calendar days before the end of the Operational Phase[[3]](#footnote-2) of the Agreement, the dates of which are set out in the , the Grantee shall provide UNOPS with an updated Asset Log. The Grantee may include a request for the future use of any asset in the Asset Log, including donation to the Grantee. Within the next fifteen (15) calendar days, UNOPS shall either: (a) concur with Grantee’s request, if applicable; or (b) instruct the Grantee to return the assets to UNOPS’ custody.

11.4.3 Should the Grantee be instructed by UNOPS to return the Asset(s), the Grantee shall do the following before the end-date of the Agreement:

1. The Grantee is required to service and clean any Asset(s) prior to its return to UNOPS.
2. The Asset(s) shall be jointly inspected by both Parties to ensure that they are operational and have been maintained to the satisfaction of UNOPS.
3. Where it is determined by UNOPS upon inspection that the Asset(s) have been damaged beyond reasonable wear and tear, recovery for such damage shall be applied in accordance with Articles 11.5.2 – 11.5.4 below.

11.4.4 Where the Grantee fails to return the Asset(s) by the end date of the Agreement, UNOPS will consider the Asset(s) as being lost. In such a circumstance, UNOPS reserves the right to withhold the final Grant payment until the Asset(s) are returned or replaced in a condition deemed suitable by UNOPS.

11.4.5 Where the Grantee fails to return the Asset(s) within thirty (30) calendar days of receipt of written notice of termination of the right of use pursuant to Article 11.4.8 below; or within fifteen (15) calendar days following the early revocation of the right of use in accordance with Article 11.2.2(a); UNOPS will consider the Asset(s) as being lost. In such a circumstance, UNOPS reserves the right to withhold the Grant payment corresponding to the return date of the Asset(s) until the Asset(s) are returned or replaced in a condition deemed suitable by UNOPS.

11.4.6 Where the Grantee fails to return or replace the Asset(s) within thirty (30) calendar days of being considered lost pursuant to Article 11.4.4, UNOPS reserves the right to:

1. Deduct from the Grant total the Purchase Cost of the Asset(s); or
2. File a claim directly with the Insurance Provider for the loss of the Asset(s), at Purchase Costs.

11.4.7 The right of use will come into effect upon signature by both Parties of the relevant UNOPS Asset Handover Form, and shall expire upon the end date of the Grant unless otherwise earlier terminated.

11.4.8 Notwithstanding UNOPS’ right to revoke the right of use pursuant to Article 11.2.2(a), the right of use may be terminated by either Party giving thirty (30) calendar days’ written notice to the other Party.

**11.5 Loss, Damage and Theft of Category 2, 3 and 4 Assets**

11.5.1 If a Category 2 or 3 asset is damaged, stolen or lost, the Grantee shall immediately (but no later than 24 hours) contact UNOPS to advise them of the situation. The Grantee shall cooperate with any investigation launched by the United Nations Department of Safety and Security (UNDSS).

11.5.2 Within ten (10) calendar days of the damage, theft or other loss of a Category 2 or 3 asset, the Grantee shall provide UNOPS with a comprehensive Asset Loss/Damage Report regarding the circumstances. The following documents shall be included in the Grantee’s report, which shall be signed by the Grantee’s Director:

1. circumstance of the incident;
2. any negligence of personnel involved;
3. supportive documentation (i.e. statement from personnel, photos, etc);
4. details related to the asset lost (description, quantity, serial number, purchase value);
5. police report for the incident or an explanation why the police report cannot be obtained;
6. estimated repair or replacement cost.

11.5.3 Where the Grantee is unable to provide the documents above due to circumstances beyond its control, it shall inform UNOPS accordingly and propose alternate documentation or information. The Grantee shall also cooperate with any investigation launched by the United Nations Department of Safety and Security (UNDSS) in relation to these circumstances.

11.5.4 Where the Grantee invokes Force Majeure as the cause of loss, or damage to the Asset(s), the Grantee shall seek recovery equal to the repair or replacement cost of the Asset(s) (Incoterm 2010 DAP to [Insert Location] and insurance as necessary) under the applicable insurance.

11.5.5 Where Force Majeure cannot be invoked as the cause of loss, or damage to the Asset(s), the Grantee shall, within thirty (30) calendar days of receipt of the Asset Loss/Damage Report:

1. Repair the damage at its own expense; or
2. Replace and deliver the Asset(s) with assets of an equivalent type and condition, as deemed suitable for, and agreed to, by UNOPS; or
3. Pay to UNOPS the Purchase Cost of the Asset(s) (Incoterm 2010 DAP to [Insert Location] and insurance as necessary) where any irreparable damage, theft, or loss of the Asset(s) has occurred.

11.5.6 Where Force Majeure cannot be invoked as the cause of loss, or damage to the Asset(s), and the Grantee is unable to meet the requirements under Article 11.5.5, UNOPS shall deduct the from the Grant total the Purchase Cost[[4]](#footnote-3) of the Asset(s).

**11.6 Buy-back option for Category 1 Assets**

11.6.1 At any time during the Implementation Period of the Agreement, the Parties may enter into discussions for the purposes of agreeing to the purchase by UNOPS of any Category 1 assets leased to the project (“**buy-back**”). Should the Parties reach an agreement on the buy-back, the Grantee shall provide UNOPS with proof of ownership title to the Asset(s).

7.2 New Articles 20 and 21 are inserted in the General Conditions for Grant Support (Annex D) as follows:

**20 Child Labour**

20.1 The Grantee represents and warrants that neither it, nor any of its suppliers is engaged in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child, including Article 32 thereof, which, inter alia, requires that a child shall be protected from performing any work that is likely to be hazardous or to interfere with the child's education, or to be harmful to the child's health or physical mental, spiritual, moral or social development.

**21 Mines**

22.1 The Grantee represents and warrants that neither it nor any of its suppliers is actively and directly engaged in patent activities, development, assembly, production, trade or manufacture of mines or in such activities in respect of components primarily utilized in the manufacture of Mines. The term “Mines” means those devices defined in Article 2, Paragraphs 1, 4 and 5 of Protocol II annexed to the Convention on Prohibitions and Restrictions on the Use of Certain Conventional Weapons Which May Be Deemed to Be Excessively Injurious or to Have Indiscriminate Effects of 1980.

1. **Correspondence**

8.1 All further correspondence regarding the implementation of this Agreement should be addressed to:

|  |  |
| --- | --- |
| **For UNOPS:**  [insert name, address, e-mail, phone] | **For the Grantee:**  [insert name, address, e-mail, phone] |

8.2 Any notice given by UNOPS or the Grantee shall be sufficient only if in writing and delivered in person, mailed or delivered electronically to the respective addresses specified in Clause 8.1 above.

**9. Good Faith**

9.1 The Parties undertake to act in good faith with respect to each other's rights and obligations under this Agreement and to adopt all reasonable measures to ensure the realization of the objectives of this Agreement, particularly in respect of circumstances directly related to the COVID-19 pandemic that may affect the completion of said objectives.

9.2 In view of the uncertainty surrounding project implementation timelines as a consequence of the COVID-19 pandemic, the Grantee shall, in good faith, do its utmost to refrain from undertaking commitments (whether financial or otherwise) that are surplus to the operational needs of the Agreement at any given time.

**IN WITNESS WHEREOF**, the undersigned, duly appointed representatives of UNOPS and of the Grantee, have on behalf of UNOPS and the Grantee, respectively, signed the present Agreement on the dates indicated below their respective signatures.

|  |  |
| --- | --- |
| **FOR UNOPS:**  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  [insert name]  [title], [office]  Date (**mandatory**): | **FOR THE GRANTEE:**  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  [insert name]  [title]  Date (**mandatory**): |

**ANNEX A**

**TERMS OF REFERENCE:**

Description and scope of grant activity/project

[Note for draft: Please include brief description of the selected proposal or a simple concept note[[5]](#footnote-4) by the grantee].

**ANNEX B**

**GRANT BUDGET**

[Note for draft: Please include simple cost breakdown of the selected proposal or simple concept note[[6]](#footnote-5) by the grantee].

**ANNEX C**

**GENERAL CONDITIONS FOR GRANT SUPPORT AGREEMENTS**

1. **Liability and General Obligations of Grantee**
   1. The Grantee shall be responsible for complying with any legal obligations incumbent on them.
   2. The Grantee shall carry out all activities for which it is responsible under this Agreement with due diligence and efficiency.
   3. UNOPS shall not, under any circumstances or any grounds, be held liable in the event of a claim under the Agreement relating to any damage caused during the Activity’s execution.
   4. The Grantees shall make good any damage sustained by UNOPS as a result of the execution or faulty execution of the Activity.
   5. Subject to the express terms of this Agreement, it is understood that the Grantee shall have exclusive control over the administration and implementation of this Agreement and that UNOPS shall not interfere in the exercise of such control. However, both the quality of the Grantee’s work and the progress being made toward successfully achieving the goals of such activities shall be subject to review by UNOPS. If at any time UNOPS is not satisfied with the quality of work or the progress being made toward achieving such goals, UNOPS may in its discretion (i) withhold payment of funds until in its opinion the situation has been corrected; or (ii) declare this Agreement terminated by written notice to the Grantee; and/or (iii) seek any other remedy as may be necessary. UNOPS’ determination as to the quality of work being performed and the progress being made toward such goals shall be final and shall be binding and conclusive upon the Grantee insofar as further payments by UNOPS are concerned.
   6. UNOPS undertakes no responsibilities in respect of life, health, accident, travel or any other insurance coverage for any person who may be necessary or desirable for the purpose of this Agreement or for any personnel undertaking activities under this Agreement. Such responsibilities shall be borne by the Grantee.
   7. The rights and obligations of the Grantee are limited to the terms and conditions of this Agreement. Accordingly, the Grantee and personnel performing services on its behalf shall not be entitled to any benefit, payment, compensation or entitlement except as expressly provided in this Agreement.
2. **Intellectual Property Rights**
   1. All intellectual property rights, including but not limited to maps, drawings, photographs, mosaics, plans, manuscripts, records, reports, recommendations, estimates, documents, images, sounds and other materials, except pre-existing materials, publicly or privately owned, collected, created, developed or prepared as a consequence of or in the course of the performance of this Activity, shall become the sole property of the Funding Source, unless otherwise stipulated in the Project Agreement.
   2. The Grantee shall hold harmless and fully indemnify UNOPS from and against all claims and proceedings for infringement of any patent rights, design trademark or name or other protected rights resulting from Grantee’s performance.
3. **Confidentiality**
   1. UNOPS and the Grantee undertake to preserve the confidentiality of any document, information or other material directly related to the Activity that is deemed or classified as confidential, where disclosure could cause prejudice to the other party.
4. **Allowable Costs**
   1. The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Agreement which are determined by UNOPS to be reasonable, allocable, and allowable in accordance with the terms of this Agreement. The following definitions of what may be considered as reasonable, allocable, and allowable costs apply:
5. Reasonable: shall mean those costs which are generally recognized as ordinary and necessary and would be incurred by a prudent person in the conduct of normal business.
6. Allocable costs: shall mean those costs which are incurred specifically in connection to the Agreement, and are provided in the estimated budget at Annex C.
7. Allowable costs: shall mean those costs which conform to any limitations in the Agreement.
   1. The eligible costs must be incurred during the period of the Activity, specified in Article 3 of the Agreement and recorded in the Grantee’s accounts in accordance with accepted accounting procedures.
   2. Prior to incurring a questionable or unique cost, the Grantee shall obtain UNOPS's written determination on whether the cost will be allowable.
   3. It is UNOPS policy that no funds shall be paid as profit or fee to a Grantee under this Agreement or any sub-Grantee. This restriction does not apply to contractual relationships entered into by the Grantee under this Agreement.
8. **Accounting, Audit and Records**
   1. The Grantee undertakes to provide any detailed information requested by UNOPS to verify that the Activity and the provisions of the Agreement are being properly implemented.
   2. The Grantee shall maintain all financial records, supporting documents, statistical records and all other records pertinent to this Agreement in accordance with generally accepted accounting principles [or applicable national legislation] to sufficiently substantiate charges to this Agreement. Accounting records that are supported by documentation will as a minimum be adequate to verify all costs incurred under the Agreement, receipt, and use of goods and services acquired under the Agreement, the costs of the program supplied from other sources, and the overall progress of the program. Unless otherwise notified, the Grantee’s records and sub-Grantee records which pertain to this Agreement shall be retained for a period of seven years from the date of submission of the final financial report and may be audited by UNOPS and/or its representatives.
   3. The Grantee shall furnish, compile and make available at all times to UNOPS any records or information, oral or written, which UNOPS may reasonably request in respect of the funds received by the Grantee.
   4. UNOPS shall retain the right to conduct a financial review, require an audit, or otherwise ensure adequate accountability of organizations expending UNOPS funds.
   5. The Grantee shall allow UNOPS staff and outside personnel (including third party entities engaged by UNOPS) the appropriate right of access to sites and premises of the Activity, and to all records and information required in order to conduct a financial review or audit.
   6. This provision in its entirety shall be incorporated into all sub-grants to eligible sub-Grantees which exceed USD 30,000. Sub-grants to eligible sub-Grantees which are for more than USD 2,500 but less than USD 30,000 shall at a minimum incorporate Article 5.2 of this provision.
9. **Bank accounts, Payment Advances and Refunds**
   1. The Grantee shall maintain advances of UNOPS funds in dedicated and separate accounts to the Grantee’s ordinary funds. Such accounts must be interest bearing, unless:
10. The Grantee receives less than $100,000 in UNOPS awards per year;
11. The best reasonably available interest bearing account would not be expected to earn interest in excess of $250 per year on UNOPS cash balances; or
12. The depository would require an average or minimum balance so high that it would not be practical to maintain the advance in an interest bearing account.
    1. Interest earned on advances will be remitted to UNOPS. However, the Grantee may retain up to $250 of interest earnings per account per year, for administrative expenses.
    2. At the time the Agreement expires or is terminated, the following types of funds shall immediately revert to UNOPS:
13. Any balance of funds that has not been disbursed to the Grantee; or
14. UNOPS has advanced funds to the Grantee, but the Grantee has not expended them.
    1. Notwithstanding 6.3 (a) and (b) above, funds which the Grantee has obligated in legally binding transactions applicable to this Agreement will not revert to UNOPS.
    2. UNOPS reserves the right to require refund by the Grantee of any amount which the Grantee did not spend in accordance with the terms and conditions of this Agreement. In the event that a final audit has not been performed prior to the closeout of this Agreement, UNOPS retains the right to a refund until all claims which may result from the final audit have been resolved between UNOPS and the Grantee.
    3. The Grantee acknowledges that UNOPS and its representatives have made no actual or implied promise of funding except for the amounts specified by this Agreement. If any of the funds are returned to UNOPS or if this Agreement is rescinded, the Grantee acknowledges that UNOPS will have no further obligation to the Grantee as a result of such return or rescission.
15. **Revision of Agreement Budget**
    1. The approved Agreement budget is the financial expression of the Grantee's programme as approved during the award of the Agreement process.
    2. The Grantee is required to report, in writing, deviations from budget and programme plans, and request prior approvals from UNOPS for any of the following reasons:
    3. To change the scope or the objectives of the programme and/or revise the funding allocated among project objectives.
    4. To change a key person where specified in the Agreement, or allow a 25% reduction in time devoted to the project**.**
    5. Additional funding is needed.
    6. Where indirect costs have been authorized, the Grantee plans to transfer funds budgeted for indirect costs to absorb increases in direct costs or vice versa.
    7. The Grantee intends to contract or sub-grant any of the work under this Agreement, and such contracts or sub-grants were not included in the approved Agreement budget.
    8. The Grantee is further restricted from transferring funds among cost categories. The Grantee is required to get the prior approval of UNOPS before making budget shifts which expect to exceed 50 % of the total Grant budget.
    9. UNOPS is under no obligation to reimburse the Grantee for costs incurred in excess of the total grant amount specified in this Agreement. An increase to the total grant amount shall require an amendment to the Agreement in writing.
    10. The total grant amount under this Agreement is not subject to any adjustment or revision because of price or currency fluctuations or the actual costs incurred by the Grantee in the performance of the activities under this Agreement.
16. **Procurement of Goods and Services**
    1. Where implementation of the Activity requires the award of procurement contracts, the Grantee shall maintain a written code or standards of conduct that shall govern the performance of its employees engaged in the awarding and administration of contracts. No employee, officer, or agent shall participate in the selection, award, or administration of a contract supported by UNOPS funds if a real or apparent conflict of interest would be involved. Such conflict would arise when the employee, officer or agent, or any member of the employee’s immediate family, the employee’s partner, or an organization which employs or is about to employ any of the parties indicated herein, has a financial or other interest in the firm selected for an award. The officers, employees, and agents of the Grantee shall neither solicit nor accept gratuities, favours, or anything of monetary value from contractors or parties to sub-agreements. However, the Grantee may set standards for situations in which the financial interest is not substantial or the gift is an unsolicited item of nominal value. The standards of conduct shall provide for disciplinary actions to be applied for violations of such standards by officers, employees, or agents of the Grantee.
    2. The Grantee shall establish written procurement procedures if procurement of goods or services in excess of USD 2,500 is envisaged under this Agreement. All procurement transactions shall be conducted in a manner to provide, to the maximum extent practical, transparent, open and free competition and the use of resources in an ethical, efficient and effective manner. The Grantee shall be alert to organizational conflicts of interest as well as non-competitive practices among contractors that may restrict or eliminate competition or otherwise restrain trade. In order to ensure objective contractor performance and eliminate unfair competitive advantage, contractors that develop or draft specifications, requirements, statements of work, invitations for bids, and/or requests for proposals shall be excluded from competing for such procurements. Contracts shall be made to the offeror whose offer is responsive to the solicitation and is most advantageous to the Grantee, price, quality, and other factors considered. Solicitations shall clearly establish all requirements that the bidder or offeror shall fulfil in order to be evaluated by the Grantee. Any and all offers may be rejected when it is in the Grantee's interest to do so.
    3. Contracts shall be made only with responsible contractors who possess the potential ability to perform successfully under the terms and conditions of the proposed procurement. Consideration shall be given to such matters as contractor integrity, record of past performance, financial and technical resources, or accessibility to other necessary resources.
    4. Grantees shall ensure that the conditions applicable under these General Conditions are also applicable to the contractor.
17. **Sub-Grant Agreements**
    1. Sub-grant agreements shall be made only with responsible Grantees who possess the potential ability to perform successfully under the terms and conditions of a proposed agreement. Consideration shall be given to such matters as integrity, record of past performance, financial and technical resources, or accessibility to other necessary resources.
    2. All sub-grant agreements shall at a minimum contain provisions to define a sound and complete agreement in addition to those that are specifically required by any other provisions in this Agreement. Whenever a provision within this Agreement is required to be inserted in a sub-agreement, the Grantee shall insert a statement in the sub-agreement that in all instances where UNOPS is mentioned, the Grantee's name will be substituted.
    3. Grantees shall ensure that the conditions applicable under these General Conditions are also applicable to the sub-grantees.
18. **Third Party Claims**
    1. The Grantee shall be solely liable for claims by third parties arising from the Grantee’s acts or omissions in the course of performing this Agreement and under no circumstances shall UNOPS be held liable for such claims by third parties. The Grantee shall indemnify, defend, save and hold UNOPS harmless in respect of such claims. This indemnity shall survive the termination or expiration of the Agreement.
19. **Non-expendable equipment**
    1. Title to all non-expendable equipment purchased with project funds supplied by UNOPS shall be the property of the Funding Source.
    2. The Grantee shall maintain records of non-expendable equipment with an acquisition value of USD 500 or more purchased with project funds supplied by UNOPS. The Grantee will submit an inventory of such equipment to UNOPS, indicating description, serial no., date of purchase, original cost, present condition, location of each item attached to each half yearly milestone report. Equipment purchased by the Grantee with funds supplied by UNOPS shall be used solely for the purposes indicated in Annex B throughout the duration of this Agreement.
    3. Within 90 calendar days after the end of the Agreement, the Grantee will provide a list, for UNOPS’ review and approval, of each item that has an acquisition value of USD 500 or more, with a corresponding detailed proposal relating to the future status of that item, namely whether it is intended for sale, transfer or donation, Where the Grantee sells the property, or item, it will transfer the proceeds of the sale to UNOPS within 30 calendar days.
20. **Anti-corruption**
    1. The Grantee warrants that it has not and shall not offer any direct or indirect benefit arising from or related to the performance of this Agreement or the award thereof to any representative, official, employee, or other agent of UNOPS or any organization of the UN system.
    2. The Parties declare their commitment to counteract corrupt practices in the execution of this Agreement. Further, the Parties commit themselves not to accept, either directly or indirectly, as an inducement or reward in relation to the execution of this Agreement, any kind of offer, gift, payments or benefits, which would or could be construed as a corrupt practice.

1. **Anti-terrorism**
   1. The Grantee agrees to undertake all reasonable efforts to ensure that none of the UNOPS funds received pursuant to this Agreement are used to provide support to individuals or entities associated with terrorism and that the Grantee or any sub-grantees of any amounts provided by UNOPS hereunder do not appear on the list maintained by the Security Council Committee established pursuant to resolution 1267 (1999). The list is established and maintained by the 1267/1989 Committee and can be accessed in the web page of the United Nations (<http://www.un.org>), or directly through the following link: <https://www.un.org/sc/suborg/en/sanctions/1267/aq_sanctions_list>. This provision must be included in all sub-contracts or sub-agreements entered into under this Agreement.
2. **Child Protection**
   1. The Grantee will not engage in any practice inconsistent with the rights set forth in the Convention on the Rights of the Child. The Grantee will undertake to protect children from abuse of all kinds in the implementation of the Activity. This provision in its entirety shall be incorporated into all sub-grants to eligible sub-Grantees.
3. **Suspension**
   1. Whenever UNOPS considers that the Grantee is not performing to a satisfactory standard, UNOPS may suspend, in whole or in part, the Activity under the Agreement in order to renegotiate and/or propose necessary amendments to the Agreement to redress the situation. When UNOPS suspends the Activity, in whole or in part, it must give immediate written notice to the Grantee, detailing the problems and the conditions required to reinstate the Activity.
   2. The suspension will take effect on the date the Grantee receives the notification.
   3. Upon receipt of a suspension notice, the Grantee shall not incur any costs relating to the Activity, or part of the Activity, which has been suspended.
   4. The Activity, in whole or in part, which has been suspended, can be resumed once UNOPS and the Grantee have agreed on the terms of the continuation (including any extension of duration of the Activity). Any such agreement shall be in the form of a written amendment to the Agreement, pursuant to Article 17 of the General Conditions.
   5. Any portion of this Agreement not suspended shall remain in full effect.
4. **Termination**
   1. UNOPS may terminate this Agreement at any time, in whole or in part, upon 14 calendar days’ written notice to the Grantee, whenever it is determined that the Grantee has failed to fulfil a substantial obligation incumbent on it, under the terms and conditions of the Agreement, or where sufficient funds have not been made available to UNOPS by its funding sources.
   2. This Agreement may be terminated at any time, in whole or in part, by UNOPS with the consent of the Grantee. Both parties shall agree upon termination conditions, including the effective date and, in the case of partial terminations, the portion of the Agreement to be terminated. The agreement to terminate shall be set forth in a letter from UNOPS to the Grantee.
   3. UNOPS may terminate this Agreement or portion of this Agreement with immediate effect upon written notice to the Grantee if it determines that corrupt, fraudulent or misrepresentative practices were engaged in by representatives of the Grantee during award or during the execution of this Agreement without the Grantee having taken timely and appropriate action satisfactory to UNOPS to remedy the situation.
   4. Upon receipt of and in accordance with a termination notice as specified above, the Grantee shall take immediate action to minimize all expenditures and obligations financed by this Agreement and shall cancel such unliquidated obligations whenever possible. Except as provided below, the Grantee shall not incur costs after the effective date of termination.
   5. The Grantee shall within 30 calendar days after the effective date of such termination repay to UNOPS all unexpended UNOPS funds which are not otherwise obligated by a legally binding transaction applicable to this Agreement. Should the funds paid by UNOPS to the Grantee prior to the effective date of the termination of this Agreement be insufficient to cover the Grantee's obligations in the legally binding transaction, the Grantee may submit to UNOPS within 90 calendar days after the effective date of such termination a written request for payment covering such obligations. UNOPS shall determine the amount(s) to be paid by UNOPS to the Grantee under such claim in accordance with this Agreement. This provision must be included in all sub-agreements.
   6. Any portion of this Agreement not terminated shall remain in full effect.
5. **Amendment**
   1. No modification of or change in this Agreement, waiver of any of its provisions or additional contractual provisions shall be valid or enforceable unless previously approved in writing by the parties to this Agreement or their duly authorized representatives in the form of an amendment to this Agreement duly signed by the parties hereto.
6. **Dispute Resolution**
   1. Any controversy or claim arising out of, or in accordance with this Agreement or any breach thereof, shall unless it is settled by direct negotiation, be settled in accordance with the UNCITRAL Arbitration Rules as at present in force. Where, in the course of such direct negotiation referred to above, the parties wish to seek an amicable settlement of such dispute, controversy or claim by conciliation, the conciliation shall take place in accordance with the UNCITRAL Conciliation Rules as at present in force. The parties shall be bound by any arbitration award rendered as a result of such arbitration as the final adjudication of any such controversy or claim.
7. **Privileges and Immunities**
   1. Nothing in or relating to this Agreement shall be deemed a waiver of any privileges and immunities of the United Nations and/or UNOPS.
8. **Protections Against Exploitation Sexual Exploitation and Abuse**
   1. Grantee shall take all appropriate measures to prevent sexual exploitation or abuse of anyone by its Personnel or any other persons engaged and controlled by Grantee to perform any activities under the Agreement. For these purposes, sexual activity with any person less than eighteen years of age, regardless of any laws relating to consent, shall constitute the sexual exploitation and abuse of such person. In addition, Grantee shall refrain from, and shall take all reasonable and appropriate measures to prohibit its Personnel or other persons engaged and controlled by it from exchanging any money, goods, services, or other things of value, for sexual favors or activities, or from engaging any sexual activities that are exploitative or degrading to any person. The United Nations shall not apply the foregoing standard relating to age in any case in which Grantee’s Personnel or any other person who may be engaged by Grantee to perform any activities under the Agreement is married to the person less than the age of eighteen years with whom sexual activity has occurred and in which such marriage is recognized as valid under the laws of the country of citizenship of such Grantee’s Personnel or other such person who may be engaged by Grantee to perform any activities under the Agreement.

**ANNEX D: Progress Reporting Templates**

Please see templates attached separately.

1. Any advance payment made under the Agreement totalling more than USD30,000 of the total Grant Amount be conditional on the provision of a justification and subsequent approval by UNOPS. Moreover, following the receipt of such exceptional justification, UNOPS may, at its own discretion, further request the Grantee to submit documentation regarding its financial status together with reasonable cash flow estimates. Any advance payment exceeding USD250,000 shall be conditional on a financial guarantee of an amount equivalent to the advance payment. [↑](#footnote-ref-0)
2. Attractive and special items are deemed any items that have a serial number and is expected to last longer than 12 months. If the Grantee is unsure whether an asset is considered “attractive” or “special”, the Grantee shall seek advice from UNOPS. [↑](#footnote-ref-1)
3. The “Operational Phase” of a Grantee is the implementation period commencing upon completion of the mobilization of the Grantee, and directly preceding the demobilization of the Grantee. [↑](#footnote-ref-2)
4. The “Purchase Cost” of an asset is its purchase price, including import duties and non-refundable purchase taxes, after deducting trade discounts and rebates. [↑](#footnote-ref-3)
5. The grant concept can be used for smaller grant support activities (below US$ 50,000) often with small capacity community groups. [↑](#footnote-ref-4)
6. The grant concept along with basic cost breakdown can be used for smaller grant support activities (below US$ 50,000) often with limited capacity community groups. [↑](#footnote-ref-5)